

SECURITIES AND EXCHANGE COMMISSION

FORM U-12-IB

Three-year ownership statements by persons employed or retained by registered holding companies

Filing Date: **1994-01-26**
SEC Accession No. **0000205716-94-000003**

(HTML Version on secdatabase.com)

SUBJECT COMPANY

NORTHEAST UTILITIES SERVICE CO

CIK: **205716** | IRS No.: **060810627** | State of Incorporation: **CT** | Fiscal Year End: **1231**
Type: **U-12-IB** | Act: **35** | File No.: **012-00001** | Film No.: **94503226**

Business Address
*SELDEN ST
BERLIN CT 06037
0000000000*

FILED BY

NORTHEAST UTILITIES SERVICE CO

CIK: **205716** | IRS No.: **060810627** | State of Incorporation: **CT** | Fiscal Year End: **1231**
Type: **U-12-IB**

Business Address
*SELDEN ST
BERLIN CT 06037
0000000000*

Effective August 1, 1938
Revised December 31, 1941

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON

Form U-12(I)-B (Annual Statement)

Calendar Year 1994

STATEMENT PURSUANT TO SECTION 12(i) OF PUBLIC UTILITY HOLDING COMPANY ACT OF 1935 BY A PERSON REGULARLY EMPLOYED OR RETAINED BY A REGISTERED HOLDING COMPANY OR A SUBSIDIARY THEREOF AND WHOSE EMPLOYMENT CONTEMPLATES ONLY ROUTINE EXPENSES AS SPECIFIED IN RULE

U-71 (b) .

(To be filed in DUPLICATE. If acknowledgement is desired, file in triplicate)

(SEE INSTRUCTIONS ON BACK OF THIS FORM)

1. Name and business address of person filing statement.

William B. Ellis, Chairman
Northeast Utilities Service Company
P.O. Box 270, Hartford, Connecticut 06141-0270

2. Name and business addresses of any persons through whom the undersigned proposes to act in matters included within the exemption provided by paragraph (b) of Rule U-71.

None.

3. Registered holding companies and subsidiary companies by which the undersigned is regularly employed or retained.

Northeast Utilities Service Company, a subsidiary of Northeast Utilities. The Service Company provides services for Northeast Utilities and its subsidiaries.

4. Position or relationship in which the undersigned is employed or retained by each of the companies named in item 3, and

brief description of nature of services to be rendered in each such position or relationship.

The undersigned is Chairman and a Director of Northeast Utilities Service Company. He will represent Northeast Utilities system companies, as and when appropriate, in connection with the issuance of securities, rate matters, affiliations, acquisitions and dispositions, the licensing of hydroelectric projects, and other matters before the Securities and Exchange Commission, the Department of Energy and Congress or some of such bodies.

- 5. (a) Compensation received during the prior year and to be received during the calendar year by the undersigned or others, directly or indirectly, for services rendered by the undersigned, from each of the companies designated in item 3. (Use column (a) as supplementary statement only.)

Name of recipient	Salary or other compensations received during prior year (a)	to be received (b)	Person or company from whom received or to be received
William B. Ellis	\$779,572.84	To be included in supplemental statement.	Northeast Utilities Service Company

(b) Basis for compensation if other than salary.

- 6. (To be answered in supplementary statement only. See instructions.) Expenses incurred by the undersigned or any person named in Item 2, above, during the calendar year in connection with the activities described in Item 4, above, and the source or sources of reimbursement for same.

(a) Total amount of routine expenses charged to client: None

(b) Itemized list of all other expenses: No other expenses.

Date January 19, 1994 (Signed) /s/ William B. Ellis
