

# SECURITIES AND EXCHANGE COMMISSION

## FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2011-11-07** | Period of Report: **2011-11-03**  
SEC Accession No. **0001179110-11-014896**

([HTML Version](#) on [secdatabase.com](#))

### REPORTING OWNER

**Sharpe Robert Francis JR**

CIK: **1340573**

Type: **4** | Act: **34** | File No.: **001-32525** | Film No.: **111185976**

Mailing Address  
*AMERIPRISE FINANCIAL, INC.  
50591 AMERIPRISE  
FINANCIAL CENTER  
MINNEAPOLIS MN 55474*

### ISSUER

**AMERIPRISE FINANCIAL INC**

CIK: **820027** | IRS No.: **133180631** | State of Incorpor.: **DE** | Fiscal Year End: **1231**  
SIC: **6282** Investment advice

Mailing Address  
*1099 AMERIPRISE FINANCIAL  
CENTER  
MINNEAPOLIS MN 55474*

Business Address  
*1099 AMERIPRISE FINANCIAL  
CENTER  
MINNEAPOLIS MN 55474  
612-671-2018*

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### OMB APPROVAL

OMB Number: 3235-0287  
 Expires: 02/28/2011  
 Estimated average burden  
 hours per response 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <b>Sharpe Robert Francis JR</b>			2. Issuer Name and Ticker or Trading Symbol <b>AMERIPRISE FINANCIAL INC [AMP]</b>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/03/2011					
GENERAL COUNSEL'S OFFICE, 1098 AMERIPRISE FINANCIAL CENTER			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing (Check applicable line) <input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person		
(Street) MINNEAPOLIS, MN 55474								
(City)	(State)	(Zip)						

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/03/2011		P		12,000	A	\$45.1283 <sup>(1)</sup>	15,000	D	
Common Stock	11/03/2011		P		4,000	A	\$46.6716	19,150	I	By the Robert F. Sharpe, Sr. Revocable Trust

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

**Explanation of Responses:**

1. Reflects the weighted average price of 12,000 shares of common stock of Ameriprise Financial, Inc. purchased by the reporting person in multiple transactions on November 3, 2011 with purchase prices ranging from \$44.94 to \$45.5846 per share. The reporting person undertakes to provide upon

request by the U.S. Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares purchased at each separate price.

### Signatures

/s/ Thomas R. Moore for Robert F. Sharpe, Jr.

\*\* Signature of Reporting Person

11/07/2011

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**