

SECURITIES AND EXCHANGE COMMISSION

FORM NSAR-A

Semi-annual report for management companies filed on Form N-SAR

Filing Date: **2013-01-28** | Period of Report: **2012-11-30**
SEC Accession No. [0000869392-13-000017](#)

([HTML Version](#) on [secdatabase.com](#))

FILER

PUTNAM CAPITAL APPRECIATION FUND

CIK:[902475](#) | IRS No.: **046733578** | State of Incorp.:**MA** | Fiscal Year End: **0531**
Type: **NSAR-A** | Act: **40** | File No.: [811-07061](#) | Film No.: **13551128**

Business Address
*ONE POST OFFICE SQUARE
MAILSTOP A 14
BOSTON MA 02109
8002251581*

PAGE 1

000 A000000 11/30/2012
000 C000000 0000902475
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 PUTNAM CAPITAL APPRECIATION FUND
001 B000000 811-07061
001 C000000 6172921000
002 A000000 ONE POST OFFICE SQUARE
002 B000000 BOSTON
002 C000000 MA
002 D010000 02109
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 PUTNAM INVESTMENT MANAGEMENT, LLC
008 B000001 A
008 C000001 801-7974
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
011 A000001 PUTNAM RETAIL MANAGEMENT LIMITED PARTNERSHIP
011 B000001 8-5088
011 C010001 BOSTON
011 C020001 MA
011 C030001 02109
012 A000001 PUTNAM INVESTOR SERVICES INC.
012 B000001 84-06331
012 C010001 BOSTON

012 C020001 MA
 012 C030001 02109
 013 A000001 PRICEWATERHOUSECOOPERS LLP
 013 B010001 BOSTON
 013 B020001 MA
 PAGE 2
 013 B030001 02110
 015 A000001 STATE STREET BANK AND TRUST COMPANY
 015 B000001 C
 015 C010001 BOSTON
 015 C020001 MA
 015 C030001 02111
 015 E010001 X
 018 000000 Y
 019 A000000 Y
 019 B000000 105
 019 C000000 PUTNAMFUND
 020 C000001 0
 020 C000002 0
 020 C000003 0
 020 C000004 0
 020 C000005 0
 020 C000006 0
 020 C000007 0
 020 C000008 0
 020 C000009 0
 020 C000010 0
 021 000000 0
 022 C000001 0
 022 D000001 0
 022 C000002 0
 022 D000002 0
 022 C000003 0
 022 D000003 0
 022 C000004 0
 022 D000004 0
 022 C000005 0
 022 D000005 0
 022 C000006 0
 022 D000006 0
 022 C000007 0
 022 D000007 0
 022 C000008 0
 022 D000008 0
 022 C000009 0
 022 D000009 0
 022 C000010 0
 022 D000010 0
 023 C000000 0

023	D000000	0
024	000000	N
025	D000001	0
025	D000002	0
025	D000003	0
025	D000004	0
025	D000005	0
025	D000006	0
	PAGE	3
025	D000007	0
025	D000008	0
026	A000000	N
026	B000000	Y
026	C000000	Y
026	D000000	Y
026	E000000	N
026	F000000	N
026	G010000	Y
026	G020000	Y
026	H000000	N
027	000000	Y
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0

028	H000000		0
029	000000	Y	
030	A000000		0
030	B000000		5.75
030	C000000		0.00
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
034	000000	Y	
035	000000		0
	PAGE	4	
036	A000000	N	
036	B000000		0
037	000000	Y	
038	000000		0
039	000000	N	
040	000000	Y	
041	000000	Y	
042	A000000		0
042	B000000		0
042	C000000		100
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		0.000
048	A010000		500000
048	A020000		0.650
048	B010000		500000
048	B020000		0.550
048	C010000		500000
048	C020000		0.500
048	D010000		5000000
048	D020000		0.450
048	E010000		5000000
048	E020000		0.425
048	F010000		5000000
048	F020000		0.405
048	G010000		5000000
048	G020000		0.390
048	H010000		0
048	H020000		0.000

048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	21500000
048	K020000	0.380
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	Y
053	B000000	N
053	C000000	N
054	A000000	Y
	PAGE	5
054	B000000	Y
054	C000000	Y
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	N
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	Y
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	N
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	500
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0
062	K000000	0.0

062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y
066 C000000 Y
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
PAGE 6
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 N
070 K010000 Y
070 K020000 N
070 L010000 Y
070 L020000 N
070 M010000 Y
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 Y
070 P020000 N
070 Q010000 N

070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		0
071	B000000		0
071	C000000		0
071	D000000	0	
072	A000000	0	
072	B000000		0
072	C000000		0
072	D000000		0
072	E000000		0
072	F000000		0
072	G000000		0
072	H000000		0
072	I000000		0
072	J000000		0
072	K000000		0
072	L000000		0
072	M000000		0
	PAGE	7	
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0
072	R000000		0
072	S000000		0
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		0
072	X000000		0
072	Y000000		0
072	Z000000		0
072	AA000000		0
072	BB000000		0
072	CC010000		0
072	CC020000		0
072	DD010000		0
072	DD020000		0
072	EE000000		0
073	A010000	0.0000	
073	A020000	0.0000	
073	B000000	0.0000	
073	C000000	0.0000	
074	A000000		0
074	B000000		0
074	C000000		0
074	D000000		0

074	E000000	0
074	F000000	0
074	G000000	0
074	H000000	0
074	I000000	0
074	J000000	0
074	K000000	0
074	L000000	0
074	M000000	0
074	N000000	0
074	O000000	0
074	P000000	0
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	0
074	S000000	0
074	T000000	0
074	U010000	0
074	U020000	0
074	V010000	0.00
074	V020000	0.00
	PAGE	8
074	W000000	0.0000
074	X000000	0
074	Y000000	0
075	A000000	0
075	B000000	0
076	000000	0.00
077	A000000	N
078	000000	N
080	A000000	NATL UNION FIRE/ACE AMER/GREAT AMER/FID & DEP
080	B000000	FEDERAL INSURANCE COMPANY
080	C000000	80000
081	A000000	Y
081	B000000	104
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0

086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0

SIGNATURE JANET C. SMITH
TITLE PRINC. ACCT. OFFICER