

# SECURITIES AND EXCHANGE COMMISSION

## FORM 3

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### REPORTING OWNER

#### HERMAN MARK

CIK: [1135249](#) | State of Incorp.: **D0**  
Type: **3** | Act: **34** | File No.: [001-05823](#) | Film No.: **13521673**

Mailing Address  
*ACE BUILDING, 300  
WOODBOURNE AVE  
HAMILTON HM08  
BERMUDA D0 00000*

### ISSUER

#### CNA FINANCIAL CORP

CIK: [21175](#) | IRS No.: **366169860** | State of Incorp.: **DE** | Fiscal Year End: **1212**  
SIC: **6331** Fire, marine & casualty insurance

Mailing Address  
*CNA  
333 S. WABASH  
CHICAGO IL 60604*

Business Address  
*CNA  
333 S. WABASH  
CHICAGO IL 60604  
3128225000*

# FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0104
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### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

<p>1. Name and Address of Reporting Person</p> <p><u>HERMAN MARK</u></p> <p>(Last) (First) (Middle)</p> <p><u>333 S. WABASH AVE.</u></p> <p>(Street)</p> <p><u>CHICAGO, IL 60604</u></p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement (Month/Day/Year)</p> <p><u>01/01/2013</u></p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p><u>CNA FINANCIAL CORP [CNA]</u></p> <p>4. Relationship of Reporting Person(s) to Issuer (Check all applicable)</p> <p>____ Director _____ 10% Owner</p> <p><u>X</u> Officer (give title below) _____ Other (specify below)</p> <p><u>President &amp; COO, CNA Specialty</u></p>	<p>5. If Amendment, Date Original Filed (Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing (Check applicable line)</p> <p><u>X</u> Form Filed by One Reporting Person</p> <p>____ Form Filed by More than One Reporting Person</p>
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**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
<u>Common Stock</u>	<u>0</u>	<u>D</u>	

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

**Signatures**

Mark Herman  
\*\* Signature of Reporting Person

01/03/2013  
Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  
 \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).  
 \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.  
**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**