

SECURITIES AND EXCHANGE COMMISSION

FORM 5

Annual statement of changes in beneficial ownership of securities

Filing Date: **2003-02-10** | Period of Report: **2002-12-31**
SEC Accession No. **0000950144-03-001383**

([HTML Version](#) on secdatabase.com)

REPORTING OWNER

DODD DAVID A

CIK: **1193607**
Type: **5**

Mailing Address
*C/O SEROLOGICALS CORP
5565 SPALDING DR
NORCROSS GA 30092*

SUBJECT COMPANY

SEROLOGICALS CORP

CIK: **767673** | IRS No.: **582152225** | State of Incorporation: **DE** | Fiscal Year End: **1227**
Type: **5** | Act: **34** | File No.: **000-26126** | Film No.: **03545856**
SIC: **2836** Biological products, (no diagnostic substances)

Mailing Address
*5655 SPALDING DRIVE
5655 SPALDING DRIVE
NORCROSS GA 30092*

Business Address
*5655 SPALDING DRIVE
5655 SPALDING DRIVE
NORCROSS GA 30092
4042965595*

OMB APPROVAL
OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response...1.0

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549**

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940**

Check box if no longer
subject to Section 16.

- Form 4 or Form 5
obligations may continue.
See Instruction 1(b).
- Form 3 Holdings Reported
- Form 4 Transactions Reported

1. Name and Address of Reporting Person* Dodd, David A. <i>(Last) (First) (Middle)</i>	2. Issuer Name and Ticker or Trading Symbol Serologicals Corporation (SERO)	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)
5655 Spalding Drive <i>(Street)</i>	4. Statement for Month/Year December 2002	5. If Amendment, Date of Original (Month/Year)
Norcross, GA 30092 <i>(City) (State) (Zip)</i>	6. Relationship of Reporting Person(s) to Issuer (Check All Applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <i>(give title below)</i> <input type="checkbox"/> Other <i>(specify below)</i>	7. Individual or Joint/Group Reporting (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

President, Chief Executive Officer
and Director

* If the form is filed by more than one reporting person, see instruction 4(b)(v).

Table I – Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Security	2. Date	2A. Date, if any	3. Code	4. Securities Acquired (A) or Disposed of (D)	5. Amount of Securities Beneficially Owned at the End of Issuer's Fiscal Year	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
<i>(Instr. 3)</i>	<i>(Month/Day/Year)</i>	<i>(Month/Day/Year)</i>	<i>(Instr. 8)</i>	<i>(Instr. 3, 4 and 5)</i>	<i>(Instr. 3 and 4)</i>	<i>(Instr. 4)</i>	<i>(Instr. 4)</i>

(A)
Amount or Price
(D)

Common Stock, \$.01 par value					34,531	D	(1) (2)
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Table II – Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Security <i>(Instr. 3)</i>	2. Price of Derivative Security	3. Transaction Date <i>(Month/Day/Year)</i>	Deemed Execution		4. Transaction Code <i>(Instr. 8)</i>	5. Number of Derivative Securities Acquired (A) or Disposed of (D) <i>(Instr. 3, 4 and 5)</i>	
			3A. Date, if any <i>(Month/Day/Year)</i>			(A)	(D)
Stock Option	5.00	5/15/00					
Stock Option	5.375	5/16/00					

Table II – Derivative Securities Acquired, Disposed of, or Beneficially Owned – Continued
(e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and Expiration Date <i>(Month/Day/Year)</i>	7. Title and Amount of Underlying Securities <i>(Instr. 3 and 4)</i>	8. Price of Derivative Security <i>(Instr. 5)</i>	9. Number of Derivative Securities Beneficially Owned at End of Year <i>(Instr. 4)</i>	10. Ownership of Derivative Security: Direct (D) or Indirect (I) <i>(Instr. 4)</i>	11. Nature of Indirect Beneficial Ownership <i>(Instr. 4)</i>
5/15/01	5/15/06 Common stock, \$0.01 par value	225,000		D	(2)
5/16/01	5/16/06 Common stock, \$0.01 par value	225,000		D	(2)

Explanation of Responses:

- (1) Includes 2,326 shares acquired through the Company's Employee Stock Purchase Plan.
- (2) Includes 13,515 Deferred Stock Units, 7,843 of which represent shares of Common Stock distributable to Mr. Dodd if his employment with the Corporation is terminated, and 5,672 of which vest 33 1/3% on each anniversary date of the grant, at which time Mr. Dodd becomes entitled to receive a like number of shares of Common Stock.
- (3) Options shall vest at a rate of 25% per year from the date of grant and are fully vested in four years.

/s/ Craig L. Brown, Attorney in fact for
 David A. Dodd

February 7, 2003

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

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