## SECURITIES AND EXCHANGE COMMISSION

# FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2013-01-28 | Period of Report: 2013-01-25 SEC Accession No. 0001077771-13-000007

(HTML Version on secdatabase.com)

## REPORTING OWNER

### **MCLAUGHLIN NEAL T**

CIK:1398955

Type: 4 | Act: 34 | File No.: 001-34624 | Film No.: 13550952

Mailing Address

ONE SW COLUMBIA ST STE

1200

PORTLAND OR 97258

## **ISSUER**

#### **UMPQUA HOLDINGS CORP**

CIK:1077771| IRS No.: 931261319 | State of Incorp.: OR | Fiscal Year End: 1231 SIC: 6035 Savings institution, federally chartered

Mailing Address **SUITE 1200** PORTLAND OR 97258

**Business Address** ONE SW COLUMBIA STREETONE SW COLUMBIA STREET **SUITE 1200** PORTLAND OR 97258 503-727-4100

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL											
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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address  MCLAUGHLIN	, ,	n <u>*</u>	2. Issuer Name and Ticker or Trading Symbol  UMPOUA HOLDINGS CORP [UMPQ]	Relationship of Reporting Person(s) to Issuer     (Check all applicable)     Director     10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/25/2013	X_ Officer (give title Other (specify below) below) EVP/Treasurer				
ONE SW COLUM	IBIA STREET, S	SUITE 1200						
PORTLAND, OR	(Street) 97258		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line)  X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	Date, if any	Code (Instr		4. Securities Aco Disposed of (D)		: 3, 4 and 5)	5. Amount of Securities Beneficially Owned	Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		(Month/ Day/Year)	Code	V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	01/25/2013		<u>D</u>		555 <sup>(1)</sup>	D	\$12.74	34,142	D	
Common Stock	01/25/2013		<u>J</u>		20	A	(2)	753 <sup>(<u>3</u>)</sup>	I	by 401(k)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Titl Deriva Secui (Instr.	ative rity	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transa Code (Instr. 8			rative rities ired r osed )	6. Date Exercisal Expiration Date (I Year)			8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qual Stock Optio (righ buy)	lified k on at to	\$9.23							02/02/2010 (4)	02/01/2019	Common Stock	10,000		10,000	D	

Non- Qualified Stock Option (right to buy)	\$10.97			01/31/2014 (5)	01/30/2021	Common Stock	5,000	5,000	D	
Non- Qualified Stock Option (right to buy)	\$11.59			07/21/2009 <sup>(6)</sup>	07/20/2018	Common Stock	10,000	10,000	D	
Non- Qualified Stock Option (right to buy)	\$11.89			02/05/2011 (7)	02/05/2020	Common Stock	20,000	20,000	D	
Non- Qualified Stock Option (right to buy)	\$15.5			01/28/2009 (8)	01/27/2018	Common Stock	15,000	15,000	D	
Non- Qualified Stock Option (right to buy)	\$22.94			04/19/2006 <sup>(9)</sup>	04/19/2015	Common Stock	5,000	5,000	D	

#### **Explanation of Responses:**

- 1. Payment of tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3.
- 2. Not required.
- 3. Holdings reported include shares acquired in the 401(k)/Profit Sharing plan through dividend reinvestment, payroll deferrals and/or employer contributions.
- 4. Option granted 2/2/09. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- 5. Option granted 1/31/2011. The options vest three years following the grant date to the extent that the issuer's total shareholder return achieves specified targets as compared with the KRXTR regional bank stock index.
- 6. Option granted 7/21/08. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- 7. Option granted 2/5/10. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- 8. Option granted 1/28/08. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- 9. All options are fully vested.

#### **Signatures**

By: Steven L. Philpott, Attorney for For: Neal T. McLaughlin

01/25/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.