#### SECURITIES AND EXCHANGE COMMISSION

## **FORM D**

Official notice of an offering of securities that is made without registration under the Securities Act in reliance on an exemption provided by Regulation D and Section 4(6) under the Act.

Filing Date: **2013-06-10 SEC Accession No.** 0001065949-13-000069

(HTML Version on secdatabase.com)

#### **FILER**

#### **HINTO ENERGY, INC**

CIK:1087734| IRS No.: 841384961 | State of Incorp.:WY | Fiscal Year End: 1231

Type: **D** | Act: **33** | File No.: **021-197733** | Film No.: **13903803** 

SIC: 1381 Drilling oil & gas wells

Mailing Address 7609 RALSTON ROAD ARVADA CO 80002

Business Address 10200 W. 44TH AVENUE, SUITE 400 WHEAT RIDGE CO 80033 303-422-8127

#### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549 **FORM D** 

### **Notice of Exempt Offering of Securities**

OMB APPROVAL

OMB Number: 3235-0076

Expires: June 30, 2012

Estimated average burden hours per 4.00

response:

1. Issuer's Identity		
CIK (Filer ID Number)	Previous Name(s)   None	Entity Type
0001087734	GARNER INVESTMENTS INC	☑Corporation
Name of Issuer		□ Limited Partnership
HINTO ENERGY, INC		☐ Limited Liability Company
Jurisdiction of Incorporation/		☐ General Partnership
Organization		☐ Business Trust
WYOMING		□ Other
Year of Incorporation/Organization		□Other
☑ Over Five Years Ago		
☐ Within Last Five Years (Specify Year)		
☐ Yet to Be Formed		
2. Principal Place of Business and Co	ontact Information	
Name of Issuer		
HINTO ENERGY, INC		
Street Address 1	Street Address 2	
7609 RALSTON ROAD	710/0	51 11 61
City State/Province/Coun	•	Phone No. of Issuer
ARVADA COLORADO	80002	303-422-8127
3. Related Persons		
Last Name	First Name	Middle Name
HARRIS	GEORGE	
Street Address 1	Street Address 2	
7609 RALSTON ROAD		
City	State/Province/Country	ZIP/Postal Code
ARVADA	COLORADO	80002
Relationship: 🗷 Executive Officer 🗷 Dir	rector □ Promoter	
Clarification of Response (if Necessary)		
	<del></del>	
Last Name	First Name	Middle Name
HERICK	GARY	
Street Address 1	Street Address 2	
7609 RALSTON ROAD City	State/Province/Country	ZIP/Postal Code
CILV	State/FTOVITICE/COUTITY	ZIF/FUSIAI COUE

Relationship: ▼ Executive Officer ▼ Director □ Promoter

Clarification of Response (if Necessary)

Last Name First Name Middle Name

KELLER J. DAVID

Street Address 1 Street Address 2

7609 RALSTON ROAD

City State/Province/Country ZIP/Postal Code

ARVADA COLORADO 80002

Clarification of Response (if Necessary)

Last Name First Name Middle Name

SOMMER MAX

Street Address 1 Street Address 2

7609 RALSTON ROAD

City State/Province/Country ZIP/Postal Code

ARVADA COLORADO 80002

Relationship: ☐ Executive Officer ☑ Director ☐ Promoter

Clarification of Response (if Necessary)

Last Name First Name Middle Name

BLAIR KEVIN

Street Address 1 Street Address 2

7609 RALSTON ROAD

City State/Province/Country ZIP/Postal Code

ARVADA COLORADO 80002

Relationship: ☐ Executive Officer ☑ Director ☐ Promoter

Clarification of Response (if Necessary)

4. Industry Group

	Agriculture	Health Care  ☐ Retailing
	Banking & Financial Service	
	☐ Commercial Banking	☐ Health Insurance Technology
	☐ Insurance	☐ Hospitals & Physicians ☐ Computers
	□ Investing	☐ Pharmaceuticals ☐ Telecommunications
	☐ Investment Banking	☐ Other Health Care ☐ Other Technology
	☐ Pooled Investment Fund	☐ Manufacturing Travel
	☐ Other Banking & Financial	Real Estate  Commercial  Real Estate  Airlines & Airports
	Services	☐ Construction ☐ Lodging & Conventions
	Business Services	☐ REITS & Finance ☐ Tourism & Travel Services
	Energy	☐ Residential ☐ Other Travel
	☐ Coal Mining	☐ Other Real Estate ☐ Other
	☐ Electric Utilities	
	☐ Energy Conservation	
	<ul><li>☐ Environmental Services</li><li>☑ Oil &amp; Gas</li></ul>	
	<ul><li>☑ Oil &amp; Gas</li><li>☐ Other Energy</li></ul>	
F 1	ssuer Size	
		A garagete Net A gget Velve Bange
	venue Range No Revenues	Aggregate Net Asset Value Range  ☐ No Aggregate Net Asset Value
X	\$1 - \$1,000,000	
	\$1,000,001 - \$5,000,000	□ \$5,000,001 - \$25,000,000 □ \$35,000,001 \$50,000,000
	\$5,000,001 - \$25,000,000	□ \$25,000,001 - \$50,000,000 □ \$50,000,001 - \$400,000,000
	\$25,000,001 - \$100,000,000	□ \$50,000,001 - \$100,000,000
	Over \$100,000,000	Over \$100,000,000
	Decline to Disclose	□ Decline to Disclose
	Not Applicable	□ Not Applicable
6. F	ederal Exemption(s) and Exc	usion(s) Claimed (select all that apply)
	Rule 504(b)(1) (not (i), (ii) or (iii))	□Rule 505
	Rule 504 (b)(1)(i)	☑Rule 506
	Rule 504 (b)(1)(ii)	□Securities Act Section 4(6)
□F	Rule 504 (b)(1)(iii)	□Investment Company Act Section 3(c)
		$\square$ Section 3(c)(1) $\square$ Section 3(c)(9)
		$\square$ Section 3(c)(2) $\square$ Section 3(c)(10)
		$\square$ Section 3(c)(3) $\square$ Section 3(c)(11)
		$\square$ Section 3(c)(4) $\square$ Section 3(c)(12)
		$\square$ Section 3(c)(5) $\square$ Section 3(c)(13)
		□Section 3(c)(6) □Section 3(c)(14)
		□Section 3(c)(7)
7. T	ype of Filing	
		013-04-04 ☐ First Sale Yet to Occur
<u> </u>	NOW INCLINE DATE OF LIPS OUT 7	

Amendment			
8. Duration of Offering			
Does the Issuer intend this offering to last more that	an one year? ☐ Yes ☒	No	
9. Type(s) of Securities Offered (select all that a	pply)		
☐ Pooled Investment Fund Interests			
☐ Tenant-in-Common Securities		□Debt	
☐ Mineral Property Securities		Option, Warrant or Caracter Another Security	Other Right to Acquire
Security to be Acquired Upon Exercise of Option Right to Acquire Security	, Warrant or Other	☐ Other (describe)	
10. Business Combination Transaction			
Is this offering being made in connection with a bus acquisition or exchange offer?	siness combination trar	nsaction, such as a merç	ger, □ Yes 🗷 No
Clarification of Response (if Necessary)			
11. Minimum Investment			
Minimum investment accepted from any outside inv	vestor\$ 25,000 USD		
12. Sales Compensation			
Recipient	Recipient CRD N	umber □ None	
(Associated) Broker or Dealer ☐ None	(Associated) Brok Number	ker or Dealer CRD	□None
Street Address 1	Street Address 2		
City	State/Province/Co	ountry	ZIP/Postal Code
State(s) of Solicitation (select all that apply) Check "All States" or check individual States	es □ Foreign/non-US	S	
13. Offering and Sales Amounts			
Total Offering Amount \$500,000 USD or ☐ Inc	definite		
Total Amount Sold \$75,000 USD			
Total Remaining to be Sold \$ 425,000 USD or ☐ Inc	definite		
Clarification of Response (if Necessary)			
14. Investors			

	Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors,	
	Number of such non-accredited investors who already have invested in the offering	
	Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:	2
15. S	Sales Commissions & Finders' Fees Expenses	
	ride separately the amounts of sales commissions and finders' fees expenses, if any. If the amount of an expendit by the known, provide an estimate and check the box next to the amount.	ure
Sale	s Commissions \$ 0 USD  ☐ Estimate	
Finde	ers' Fees \$ 0 USD   Estimate	
Clari	ification of Response (if Necessary)	
16. L	Jse of Proceeds	
the p	ride the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount, provide an estimate and check the box next to the amount.	
\$ <b>0</b>	USD  ☐ Estimate	
Clari	fication of Response (if Necessary)	
Sign	ature and Submission	
	ase verify the information you have entered and review the Terms of Submission below before signing arking SUBMIT below to file this notice.	ıd

#### **Terms of Submission**

In submitting this notice, each Issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees.
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that the Issuer is not disqualified from relying on any Regulation D exemption it has identified in Item 6 above for one of the reasons stated in Rule 505(b)(2)(iii).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
HINTO ENERGY, INC	/s/ George Harris	George Harris	CFO and Director	2013-06-10

# Persons who respond to the collection of information contained in this form are not required to respond

unless the form displays a currently valid OMB number.

\* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.