

# SECURITIES AND EXCHANGE COMMISSION

## FORM 13F-HR

Initial quarterly Form 13F holdings report filed by institutional managers

Filing Date: **2001-08-03** | Period of Report: **2001-06-30**  
SEC Accession No. **0001005477-01-500302**

([HTML Version](#) on [secdatabase.com](http://secdatabase.com))

### FILER

#### **NOMURA ASSET MANAGEMENT U S A INC**

CIK: **1054879** | IRS No.: **132866631** | State of Incorporation: **NY** | Fiscal Year End: **0331**  
Type: **13F-HR** | Act: **34** | File No.: **028-06692** | Film No.: **01697054**

Mailing Address  
*100 MAIDEN LANE  
NEW YORK NY 10038*

Business Address  
*180 MAIDEN LANE  
NEW YORK NY 10038  
2125091538*

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 13F

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: June 30, 2001

Check here if Amendment ; Amendment Number:  
This Amendment (Check only one.):  is a restatement.  
 adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: Noruma Asset Management U.S.A. Inc.  
Address: 180 Maiden Lane  
New York  
New York 10038

13F File Number: 28-6746

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: John J. Boretti  
Title: Senior Vice President  
Phone: (212) 509-1538

Signature, Place, and Date of Signing:

/s/ John J. Boretti, New York, New York, August 3, 2001  
-----

Report Type (Check only one.):

13F HOLDINGS REPORT.  
 13F NOTICE.  
 13F COMBINATION REPORT.

List of Other Managers Reporting for this Manager:  
-----  
-----  
-----

I AM SIGNING THIS REPORT AS REQUIRED BY THE SECURITIES EXCHANGE ACT OF 1934.

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers:

Form 13F Information Table Entry Total: 78

Form 13F Information Table Value Total: 446,780

List of Other Included Managers:

No.	13F File Number	Name
-----	-----------------	------

FORM13F

<TABLE>  
<CAPTION>

Item 1	Item 2	Item 3	Item 4	Item 5	Item 6	Item 7		
Name of Issuer	Type of Class	CUSIP	Fair Market Value (In Thousands)	Shares of Principal Amount	Share Principal	Put Call	Investment Discretion	Other Manager
<S>	<C>	<C>	<C>	<C>	<C>	<C>	<C>	<C>
ADVANCE SEMICONDUCTOR	ADR	00756M404	959	314,500	SH		Sole	
AMERICAN HOME PRODS	COM	026609107	12,769	218,500	SH		Sole	
ANHEUSER BUSCH COS I	COM	035229103	3,774	91,600	SH		Sole	
AOL TIME WARNER	COM	00184A105	13,769	259,800	SH		Sole	
APPLIED MATERIALS IN	COM	038222105	5,990	122,000	SH		Sole	
ASIAINFO HOLDINGS	COM	04518A104	93	5,000	SH		Sole	
BANK MONTREAL QUE	COM	063671101	2,066	80,000	SH		Sole	
BCE INC	COM	05534B109	1,644	62,500	SH		Sole	
BRISTOL MYERS SQUIBB	COM	110122108	4,315	82,500	SH		Sole	
CABLEVISION RAINBOW	COM	12686C844	1,547	59,950	SH		Sole	
CABLEVISION SYSTEMS	COM	12686C109	7,014	119,900	SH		Sole	
CANADIAN NAT RAILWAY	COM	136375102	2,479	61,200	SH		Sole	
CANADIAN PACIFIC LTD	COM	135923100	1,585	40,900	SH		Sole	
CELESTICA INC	COM	15101Q108	1,148	22,300	SH		Sole	
CHINA STEEL CORP	SPONS. ADR	169417102	1,007	106,020	SH		Sole	
CIENA CORP	COM	171779101	1,843	48,500	SH		Sole	
CISCO SYS INC	COM	17275R102	6,761	371,500	SH		Sole	
CITIGROUP INC	COM	172967101	16,063	304,000	SH		Sole	
COCA COLA CO	COM	191216100	4,662	103,600	SH		Sole	
COMPUTER ASSOC INTL	COM	204912109	7,103	197,300	SH		Sole	
DYNEGY INC CL A	COM	26816Q101	5,975	128,500	SH		Sole	
EL PASO CORP	COM	28336L109	4,198	79,900	SH		Sole	
ENRON CORP	COM	293561106	2,901	59,200	SH		Sole	
ESTEE LAUDER COMPANY	COM	518439104	5,732	133,000	SH		Sole	
EXXON MOBIL CORP	COM	30231G102	10,920	125,011	SH		Sole	
FAR EASTERN TEXTILE	COM	307331207	612	120,000	SH		Sole	
FEDERAL HOME LOAN	COM	313400301	9,639	137,700	SH		Sole	
FLEXTRONICS INTL LTD	COM	Y2573F102	377	10,000	SH		Sole	
GENERAL ELEC CO	COM	369604103	18,447	378,400	SH		Sole	
GILLETTE COMPANY	COM	375766102	4,485	154,700	SH		Sole	
HALLIBURTON CO	COM	406216101	5,312	149,200	SH		Sole	
HOME DEPOT INC	COM	437076102	11,507	247,200	SH		Sole	
ICICI LTD - ADR	ADR	44926P202	224	24,350	SH		Sole	
INCO LTD	COM	453258402	882	51,100	SH		Sole	

<CAPTION>

(SEC USE ONLY)

Item 1	Item 8		
Name of Issuer	Voting Authority (shares)		
	a) sole	(b) Shared	(c) None
<S>	<C>	<C>	<C>
ADVANCE SEMICONDUCTOR			314,500
AMERICAN HOME PRODS	218,500		
ANHEUSER BUSCH COS I	91,600		
AOL TIME WARNER	259,800		
APPLIED MATERIALS IN	122,000		
ASIAINFO HOLDINGS	5,000		
BANK MONTREAL QUE	80,000		
BCE INC	62,500		
BRISTOL MYERS SQUIBB	82,500		
CABLEVISION RAINBOW	59,950		
CABLEVISION SYSTEMS	119,900		
CANADIAN NAT RAILWAY	61,200		
CANADIAN PACIFIC LTD	40,900		
CELESTICA INC	22,300		
CHINA STEEL CORP		106,020	
CIENA CORP	48,500		
CISCO SYS INC	371,500		
CITIGROUP INC	304,000		
COCA COLA CO	103,600		
COMPUTER ASSOC INTL	197,300		

DYNEGY INC CL A	128,500	
EL PASO CORP	79,900	
ENRON CORP	59,200	
ESTEE LAUDER COMPANY	133,000	
EXXON MOBIL CORP	125,011	
FAR EASTERN TEXTILE		120,000
FEDERAL HOME LOAN	137,700	
FLEXTRONICS INTL LTD	10,000	
GENERAL ELEC CO	378,400	
GILLETTE COMPANY	154,700	
HALLIBURTON CO	149,200	
HOME DEPOT INC	247,200	
ICICI LTD - ADR	24,350	
INCO LTD	51,100	

FORM13F

Name of Manager: NOMURA ASSET MANAGEMENT U.S.A. INC.

<TABLE>  
<CAPTION>

Item 1	Item 2	Item 3	Item 4	Item 5	Item 6	Item 7		
Name of Issuer	Type of Class	CUSIP	Fair Market Value (In Thousands)	Shares of Principal Amount	Share Principal	Put Call	Investment Discretion	Other Manager
<S>	<C>	<C>	<C>	<C>	<C>	<C>	<C>	<C>
INFOSYS TECHN LGY ADR	ADR	456788108	213	3,310	SH		Sole	
INTEL CORP	COM	458140100	6,377	218,000	SH		Sole	
INTERNATIONAL BUSINE	COM	459200101	11,131	98,500	SH		Sole	
JOHNSON & JOHNSON	COM	478160104	10,600	212,000	SH		Sole	
JP MORGAN CHASE & CO	COM	46625H100	12,216	273,900	SH		Sole	
KOREA ELEC PWR CORP	SPONS. ADR	500631106	95	10,000	SH		Sole	
KOREA TELECOM CORP	SPONS. ADR	50063P103	972	44,600	SH		Sole	
MELLON FINANCIAL	COM	58551A108	12,167	264,500	SH		Sole	
MERCK CO INC	COM	589331107	6,270	98,100	SH		Sole	
MICROSOFT CORP	COM	594918104	19,389	265,600	SH		Sole	
MINNESOTA MINING&MFG	COM	604059105	10,383	91,000	SH		Sole	
MIRANT CORP	COM	604675108	2,612	75,943	SH		Sole	
MORGAN STAN DEAN WIT	COM	617446448	9,936	154,700	SH		Sole	
ORACLE CORPORATION	COM	68389X105	5,026	264,500	SH		Sole	
PFIZER INC	COM	717081103	11,102	277,200	SH		Sole	
PHARMACIA CORP.	COM	71713U102	4,517	98,300	SH		Sole	
PHILLIPINE LONG DIST	SPONS. ADR	718252604	49	3,500	SH		Sole	
POHANG IRON+STEEL	SPONS. ADR	730450103	119	6,000	SH		Sole	
PPL CORPORATION	COM	69351T106	8,388	152,500	SH		Sole	
PROCTER & GAMBLE CO	COM	742718109	10,265	160,900	SH		Sole	
ROC TAIWAN FD	COM	749651105	1,016	220,000	SH		Sole	
ROYAL BANK OF CANADA	COM	780087102	1,548	48,600	SH		Sole	
SBC COMMUNICATIONS I	COM	78387G103	9,342	233,200	SH		Sole	
SCHERING PLOUGH CORP	COM	806605101	4,023	111,000	SH		Sole	
SCHLUMBERGER LTD	COM	806857108	1,295	24,600	SH		Sole	
SK TELECOM LTD	SPONS. ADR	78440P108	477	30,000	SH		Sole	
SOUTHERN CO	COM	842587107	5,836	251,000	SH		Sole	
SPX CORP	COM	784635104	12,193	97,400	SH		Sole	
SUNCOR ENERGY	COM	867229106	1,308	50,900	SH		Sole	
TAIWAN FD INC	COM	874036106	1,812	162,500	SH		Sole	
TAIWAN SEMICNDCTR CO	SPONS. ADR	874039100	5,590	367,993	SH		Sole	
TARGET CORP	COM	87612E106	7,301	211,000	SH		Sole	
TECHNITROL INC.	COM	878555101	3,749	144,200	SH		Sole	
TRANSOCEAN SEDCO FX	COM	G90078109	4,455	108,000	SH		Sole	

<CAPTION>

(SEC USE ONLY)

Item 1	Item 8
Name of Issuer	Voting Authority (shares) a) sole (b) Shared (c) None
<S>	<C> <C> <C>

INFOSYS TECHNLOGY ADR	3,310	
INTEL CORP	218,000	
INTERNATIONAL BUSINE	98,500	
JOHNSON & JOHNSON	212,000	
JP MORGAN CHASE & CO	273,900	
KOREA ELEC PWR CORP	10,000	
KOREA TELECOM CORP	44,600	
MELLON FINANCIAL	264,500	
MERCK CO INC	98,100	
MICROSOFT CORP	265,600	
MINNESOTA MINING&MFG	91,000	
MIRANT CORP	75,943	
MORGAN STAN DEAN WIT	154,700	
ORACLE CORPORATION	264,500	
PFIZER INC	277,200	
PHARMACIA CORP.	98,300	
PHILLIPINE LONG DIST	3,500	
POHANG IRON+STEEL	6,000	
PPL CORPORATION	152,500	
PROCTER & GAMBLE CO	160,900	
ROC TAIWAN FD		220,000
ROYAL BANK OF CANADA	48,600	
SBC COMMUNICATIONS I	233,200	
SCHERING PLOUGH CORP	111,000	
SCHLUMBERGER LTD	24,600	
SK TELECOM LTD	30,000	
SOUTHERN CO	251,000	
SPX CORP	97,400	
SUNCOR ENERGY	50,900	
TAIWAN FD INC		162,500
TAIWAN SEMICNDCTR CO	31,692	336,301
TARGET CORP	211,000	
TECHNITROL INC.	144,200	
TRANSOCEAN SEDCO FX	108,000	

</TABLE>

FORM13F

Name of Manager: NOMURA ASSET MANAGEMENT U.S.A. INC.

<TABLE>  
<CAPTION>

Item 1	Item 2	Item 3	Item 4	Item 5	Item 6	Item 7		
Name of Issuer	Type of Class	CUSIP	Fair Market Value (In Thousands)	Shares of Principal Amount	Share Principal	Put Call	Investment Discretion	Other Manager
<S>	<C>	<C>	<C>	<C>	<C>	<C>	<C>	<C>
TXU CORPORATION	COM	873168108	6,612	137,200	SH		Sole	
TYCO INTL LTD NEW	COM	902124106	18,666	342,500	SH		Sole	
UNION PAC CORP	COM	907818108	3,273	59,600	SH		Sole	
UNITED MICROELECTRON	COM	910873207	1,513	170,000	SH		Sole	
UTSTARCOM INC	COM	918076100	121	5,000	SH		Sole	
VERIZON COMMUNICAT.	COM	92343V104	9,586	179,172	SH		Sole	
WAL MART STORES INC	COM	931142103	12,342	252,900	SH		Sole	
WALGREEN CO	COM	931422109	6,605	193,400	SH		Sole	
WORLDCOM INC	COM	98157D106	3,131	220,500	SH		Sole	
XILINX INC	COM	983919101	5,361	130,000	SH		Sole	
GRAND TOTAL			446,780					

<CAPTION>

(SEC USE ONLY)

Item 1	Item 8
Name of Issuer	Voting Authority (shares) a) sole (b) Shared (c) None
<S>	<C> <C> <C>
TXU CORPORATION	137,200
TYCO INTL LTD NEW	342,500
UNION PAC CORP	59,600
UNITED MICROELECTRON	170,000

UTSTARCOM INC	5,000
VERIZON COMMUNICAT.	179,172
WAL MART STORES INC	252,900
WALGREEN CO	193,400
WORLDCOM INC	220,500
XILINX INC	130,000
GRAND TOTAL	

</TABLE>