

# SECURITIES AND EXCHANGE COMMISSION

## FORM NT 10-K

Notice under Rule 12b25 of inability to timely file all or part of a Form 10-K, 10-KSB, or 10KT

Filing Date: **1996-12-30** | Period of Report: **1996-09-30**  
SEC Accession No. **0000899078-96-000271**

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### FILER

#### **POLYPHASE CORP**

CIK: **748212** | IRS No.: **232708876** | State of Incorpor.: **NV** | Fiscal Year End: **0930**  
Type: **NT 10-K** | Act: **34** | File No.: **001-09083** | Film No.: **96687927**  
SIC: **5082** Construction & mining (no petro) machinery & equip

Mailing Address  
16885 DALLAS PKWY  
DALLAS TX 75248

Business Address  
16885 DALLAS PARKWAY  
DALLAS TX 75248  
2147320010

U.S. SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 12B-25

NOTIFICATION OF LATE FILING

SEC FILE NUMBER

1-9083

CUSIP NUMBER

731791109

(Check One):

Form 10-K  Form 20-F  Form 11-K  Form 10-Q  
 Form N-SAR For Period Ended: September 30, 1996

Transition Report on Form 10-K  
 Transition Report on Form 20-F  
 Transition Report on Form 11-K  
 Transition Report on Form 10-Q  
 Transition Report on Form N-SAR  
For the Transition Period Ended: \_\_\_\_\_

Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:

PART I--REGISTRATION INFORMATION

Full Name of Registrant: Polyphase Corporation

Former Name if Applicable: N/A

Address of Principal Executive Office (Street and Number)

16885 Dallas Parkway  
Dallas, Texas 75248  
(City, State and Zip Code)

PART II--RULES 12B-25 (B) AND (C)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b- 25(b), the following should be completed. (Check box if appropriate)

(a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;

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(b) The subject annual report, semi-annual report, transition report on Form 10-K, 20-F, 11-K, 10-Q or N-SAR, or portion thereof will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and

(c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III--NARRATIVE

State below in reasonable detail the reasons why annual report, quarterly report, transition report on Form 10-K, 20-F, 11-K, 10-Q or N-SAR or portion thereof could not be filed within the prescribed period.

The Company experienced unavoidable delays in the receipt of financial information from certain subsidiaries.

PART IV--OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification

Paul A. Tanner  
(Name)

(972)  
(Area Code)

732-0010  
(Telephone Number)

(2) Have all other periodic reports required under section 13 or 15(d) of the Securities Exchange Act of 1934 or section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If the answer is no, identify report(s).

Yes     No

(3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes     No

If so: attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

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Polyphase Corporation  
(Name of Registrant as specified in charter)

has caused this notification to be signed on its behalf by the undersigned thereunto duly authorized.

Date: December 27, 1996

By: /s/ Paul A. Tanner

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Paul A. Tanner, President

INSTRUCTION: The form may be signed by an executive officer of the registrant or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the registrant by an authorized representative

(other than an executive officer), evidence of the representative's authority to sign on behalf of the registrant shall be filed with the form.

ATTENTION

Intentional misstatements or omissions of fact constitute Federal Criminal Violations (See 18 U.S.C. 1001).

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