### SECURITIES AND EXCHANGE COMMISSION

# **FORM D**

Official notice of an offering of securities that is made without registration under the Securities Act in reliance on an exemption provided by Regulation D and Section 4(6) under the Act.

Filing Date: **2013-01-11 SEC Accession No.** 0001566648-13-000001

(HTML Version on secdatabase.com)

### **FILER**

Bany	an I	Inves	tmen	ts LP

CIK:1566648| IRS No.: 271241695 | State of Incorp.:CA | Fiscal Year End: 1231 Type: D | Act: 33 | File No.: 021-190092 | Film No.: 13526150

Mailing Address P.O. BOX 1420 LOS ALTOS CA 94023

Business Address P.O. BOX 1420 LOS ALTOS CA 94023 650-530-0636

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**Notice of Exempt Offering of Securities** 

# FORM D

OMB APPROVAL

OMB Number: 3235-0076

Expires: June 30, 2012

Estimated average burden hours per 4.00

response:

1. Issuer's Identity			
CIK (Filer ID Number)	Previous Name(s) [	x None	Entity Type
0001566648			□Corporation
Name of Issuer			☑ Limited Partnership
Banyan Investments			☐ Limited Liability Company
Jurisdiction of Incorpo	oration/		☐ General Partnership
Organization			☐ Business Trust
CALIFORNIA	Organization		□ Other
Year of Incorporation/	•		□Otnei
Over Five Years Ag			
	ears (Specify Year) 2009		
☐ Yet to Be Formed			
	Business and Contact Information		
Name of Issuer			
Banyan Investments	LP		
Street Address 1		Street Address 2	
77 Sylvian Way			
City	State/Province/Country	ZIP/Postal Code	Phone No. of Issuer
LOS ALTOS	CALIFORNIA	94023	650-530-0636
3. Related Persons			
Last Name	First Name		Middle Name
Klein	Stuart		L.
Street Address 1	Street Address 2		
P.O. Box 1420			
City	State/Province/Country		ZIP/Postal Code
Los Altos	CALIFORNIA		94023
Relationship: 🗷 Exec	cutive Officer  Director Promoter		
Clarification of Respon	nse (if Necessary)		
Manager of General F	Partner		
4 Industry Group			

	Ag	riculture		He	alth Care	•			Retailing
	Ba	nking & Financial Service	es		Biotechn	olo	gy	П	Restaurants
		Commercial Banking			Health In	ısuı	rance		Technology
		Insurance			Hospitals	s &	Physicians		☐ Computers
		Investing			Pharmad	eu	ticals		·
		Investment Banking			Other He	ealtl	h Care		☐ Telecommunications
	X	Pooled Investment Fund		Ma	anufactur	ing	<u> </u>		☐ Other Technology
		☐ Hedge Fund			al Estate	_			Travel
		☐ Private Equity Fund			Commer	cial	I		☐ Airlines & Airports
		☐ Venture Capital Fund			Construc	ctio	n		☐ Lodging & Conventions
					REITS &		nance		☐ Tourism & Travel Services
		*Is the issuer registered as investment company under Investment Company Act of 1940?	the		Resident Other Re		Estate		☐ Other Travel Other
		☐ Yes ☒No							
		Other Banking & Financial							
		Services							
		siness Services							
	_	ergy							
	Ш	Coal Mining							
		Electric Utilities							
		Energy Conservation							
	Ш	Environmental Services							
		Oil & Gas							
- 1	Ш	Other Energy							
		er Size					4 NT 4 A 4 X7	1	D
		ie Range					regate Net Asset Va		<b>G</b>
		Revenues					No Aggregate Net A	sset	value
_		- \$1,000,000				_	\$1 - \$5,000,000		
		,000,001 - \$5,000,000			X		\$5,000,001 - \$25,00		
		,000,001 - \$25,000,000					\$25,000,001 - \$50,0		
		5,000,001 - \$100,000,000					\$50,000,001 - \$100,	000	,000
		ver \$100,000,000				]	Over \$100,000,000		
		ecline to Disclose				_	Decline to Disclose		
	No	ot Applicable				]	Not Applicable		
6. F	ede	ral Exemption(s) and Excl	usion(s)	Cla	imed (sel	ect	all that apply)		
□R	lule	504(b)(1) (not (i), (ii) or (iii))	□Rule 50	05					
□R	lule	504 (b)(1)(i)	☑Rule 50	06					
□R	lule	504 (b)(1)(ii)	□Securit	ies /	Act Sectio	n 4	(6)		
□R	ule	504 (b)(1)(iii)	ℤInvestn	nent	Company	у А	ct Section 3(c)		
			⊠Se	ectio	n 3(c)(1)		Section 3(c)(9)		
			□Se	ectio	n 3(c)(2)		Section 3(c)(10)		
			□Se	ectio	n 3(c)(3)		Section 3(c)(11)		

□Section	n 3(c)(4) $\square$ Section 3(c)	(12)	
□Section	n 3(c)(5) $\square$ Section 3(c)	(13)	
□Section	n 3(c)(6) □Section 3(c)	(14)	
□Section	n 3(c)(7)		
7. Type of Filing			
▼ New Notice Date of First Sale 2013-01-11 □	First Sale Yet to Occur		
☐ Amendment			
8. Duration of Offering			
Does the Issuer intend this offering to last more the	han one year? ☐ Yes ☒	No	
9. Type(s) of Securities Offered (select all that	apply)		
▼ Pooled Investment Fund Interests		□ Equity	
☐ Tenant-in-Common Securities		□Debt	
☐ Mineral Property Securities		Option, Warrant or Another Security	Other Right to Acquire
Security to be Acquired Upon Exercise of Option Right to Acquire Security	on, Warrant or Other	☑ Other (describe)	
<b>3</b>		Limited Partnershi	p Interests
10. Business Combination Transaction			
Is this offering being made in connection with a b acquisition or exchange offer?	usiness combination trar	nsaction, such as a me	rger, □ Yes∡ No
Clarification of Response (if Necessary)			
11. Minimum Investment			
Minimum investment accepted from any outside i	investor\$ 500,000 USD		
12. Sales Compensation			
Recipient	Recipient CRD N	umber 🗆 None	
(Associated) Broker or Dealer ☐ None	(Associated) Brok Number	ker or Dealer CRD	□None
Street Address 1	Street Address 2		71D (D
City	State/Province/Co	ountry	ZIP/Postal Code
State(s) of Solicitation (select all that apply)			
	ates □ Foreign/non-U\$	S	
13. Offering and Sales Amounts			
Total Offering Amount \$ 1,500,000 USD or	] Indefinite		

\$ 1,500,000 USD

**Total Amount Sold** 

Total	I Remaining to be Sold\$ 0 USD or □ Indefinite	
Clari	ification of Response (if Necessary)	
14. I	Investors	
	Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors,	
	Number of such non-accredited investors who already have invested in the offering	
	Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:	2
15. §	Sales Commissions & Finders' Fees Expenses	
	vide separately the amounts of sales commissions and finders' fees expenses, if any. If the amount of an expend ot known, provide an estimate and check the box next to the amount.	diture
Sale	es Commissions \$ 0 USD   Estimate	
Find	lers' Fees \$ 0 USD □ Estimate	
Clar	rification of Response (if Necessary)	
16. l	Use of Proceeds	
the	vide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to an persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the anknown, provide an estimate and check the box next to the amount.	
\$ <b>1</b>	18,750 USD ☐ Estimate	
Clari	ification of Response (if Necessary)	
	nature and Submission	
Ple	ease verify the information you have entered and review the Terms of Submission below before signing a	and

clicking SUBMIT below to file this notice.

#### **Terms of Submission**

In submitting this notice, each Issuer named above is:

- · Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees.
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.

 Certifying that the Issuer is not disqualified from relying on any Regulation D exemption it has identified in Item 6 above for one of the reasons stated in Rule 505(b)(2)(iii).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
Banyan Investments LP	/s/Stuart L. Klein	Stuart L. Klein	Manager of General Partner	2013-01-11

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

<sup>\*</sup> This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.