## SECURITIES AND EXCHANGE COMMISSION

# FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2013-01-28** | Period of Report: **2013-01-24** SEC Accession No. 0001215582-13-000003

(HTML Version on secdatabase.com)

# **REPORTING OWNER**

### **RIEMER JOSEPH**

CIK:1215582

Type: 4 | Act: 34 | File No.: 000-16035 | Film No.: 13551165

Mailing Address SPHERIX INC 12051 INDIAN CREEK COURT BELTSVILLE MD 20705

# **ISSUER**

### **SONO TEK CORP**

CIK:806172| IRS No.: 141568099 | State of Incorp.:NY | Fiscal Year End: 0228 SIC: 3690 Miscellaneous electrical machinery, equipment & supplies

Mailing Address 2012 RT. 9W, BLDG. 3, MILTON NY 12547 Business Address 2012 RT 9W BLDG 3 MILTON NY 12547 8457952020

### FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB APPROVAL  |            |  |  |  |  |  |  |  |  |  |
|---|------------|--|--|--|--|--|--|--|--|--|
| OMB Number:   | 3235-0287  |  |  |  |  |  |  |  |  |  |
| Expires:  | 02/28/2011 |  |  |  |  |  |  |  |  |  |
| MB Number: 3235-0287 xpires: 02/28/2011 stimated average burden |            |  |  |  |  |  |  |  |  |  |
| houre per reenonee  | 0.5        |  |  |  |  |  |  |  |  |  |

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address RIEMER JOSE | . 0     | 1_       | 2. Issuer Name and Ticker or Trading Symbol SONO TEK CORP [sotk] | Relationship of Reporting Person(s) to Issuer     (Check all applicable)     X Director 10% Owner   |  |  |  |  |
|---------------------------------|---------|----------|--|---|--|--|--|--|
| (Last)                          | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 01/24/2013      | Officer (give title Other (specify below) VP  |  |  |  |  |
| SONOTEK, 2012                   | RT 9W   |          |  |   |  |  |  |  |
| (Street) MILTON, NY 12547       |         |          | 4. If Amendment, Date Original Filed(Month/Day/Year)             | 6. Individual or Joint/Group Filing (Check applicable line) X Form Filed by One Reporting Person Form Filed by More than One Reporting Person |  |  |  |  |
| (City)                          | (State) | (Zip)    |  |   |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security (Instr. 3) | 2.<br>Transaction<br>Date (Month/<br>Day/Year) | 2A. Deemed<br>Execution<br>Date, if any<br>(Month/Day/<br>Year) | Transaction Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed of (D) (Instr. 3, 4 and 5) |                  |        | Beneficially<br>Owned                                       | Ownership                        | 7. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 4) |
|--------------------------------|--|---|--------------------------|---|--|------------------|--------|---|----------------------------------|---|
|                                |  |   | Code                     | v | Amount   | (A)<br>or<br>(D) |        | Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | or Indirect<br>(I) (Instr.<br>4) |   |
| SonoTek Corp Common Stock      | 01/25/2013                                     |   | <u>P</u>                 |   | 10,000   | A                | \$0.51 | 155,125   | D                                |   |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3.<br>Transaction<br>Date (Month/<br>Day/Year) | 3A. Deemed Execution Date, if any (Month/ Day/ Year) |          |   |        |     | 6. Date Exercisable and<br>Expiration Date (Month/Day/<br>Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price<br>of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|--|----------|---|--------|-----|---|--------------------|---|--|--|--|---|--|
|   |   |  |  | Code     | v | (A)    | (D) | Date<br>Exercisable   | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  | Transaction(s)<br>(Instr. 4)   | (I) (Instr.<br>4)   |  |
| SonoTek<br>Options                                  | \$0.48  | 01/24/2013                                     |  | <u>A</u> |   | 10,000 |     | 01/24/2014 (1)  | 01/24/2023         | SonoTek<br>Corp<br>Common<br>Stock  | 10,000                                 | \$0.48   | 274,975  | D   |  |

### **Explanation of Responses:**

1. Subject to vesting schedule.

#### **Signatures**

Joseph Riemer

\*\* Signature of Reporting Person

01/28/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.