

SECURITIES AND EXCHANGE COMMISSION

FORM 3

Filing Date: **2007-11-06** | Period of Report: **2007-11-06**
SEC Accession No. **0001181431-07-066885**

(HTML Version on secdatabase.com)

ISSUER

BIOFORM MEDICAL INC

CIK: **1282393** | IRS No.: **000000000**
SIC: **2834** Pharmaceutical preparations

Business Address
1875S GRANT ST
SUITE 110
SAN MATEO CA 94402
650-286-4000

REPORTING OWNER

Lai Helen Lee

CIK: **1417300**
Type: **3** | Act: **34** | File No.: **001-33791** | Film No.: **071218702**

Mailing Address
W302N6117 SPENCE ROAD
HARTLAND WI 53029

Business Address

262-369-9632

Naichiu Joseph Lai Revocable Trust

CIK: **1417344** | State of Incorp.: **WI** | Fiscal Year End: **1231**
Type: **3** | Act: **34** | File No.: **001-33791** | Film No.: **071218703**

Mailing Address
W302N6117 SPENCE ROAD
HARTLAND WI 53029

Business Address
W302N6117 SPENCE ROAD
HARTLAND WI 53029
262-369-9632

Helen & Joseph Lai Irrevocable Trust dated December 17 1999

CIK: **1417345** | State of Incorp.: **WI** | Fiscal Year End: **1231**
Type: **3** | Act: **34** | File No.: **001-33791** | Film No.: **071218704**

Mailing Address
W302N6117 SPENCE ROAD
HARTLAND WI 53029

Business Address
W302N6117 SPENCE ROAD
HARTLAND WI 53029
262-369-9632

LAI N C JOSEPH

CIK: **1261295**
Type: **3** | Act: **34** | File No.: **001-33791** | Film No.: **071218705**

Mailing Address
CRITICARE SYSTEMS, INC.
20925 CROSSROADS CIRCLE, SUITE 100
WAUKESHA WI 53186

Business Address
CRITICARE SYSTEMS INC
20925 CROSSROADS CIRCLE
WAUKESHA WI 53186
262-798-5380

**UNITED STATES SECURITIES AND EXCHANGE
COMMISSION**

Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0104
Expires:	02/28/2011
Estimated average burden hours per response	0.5

**INITIAL STATEMENT OF BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person LAIN C JOSEPH (Last) (First) (Middle) W302N6117 SPENCE ROAD (Street) HARTLAND, WI 53029 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 11/06/2007	3. Issuer Name and Ticker or Trading Symbol BIOFORM MEDICAL INC [BFRM]		
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)		5. If Amendment, Date Original Filed (Month/Day/Year)
				6. Individual or Joint/Group Filing (Check applicable line) <input type="checkbox"/> Form Filed by One Reporting Person <input checked="" type="checkbox"/> Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	25,000	I ⁽¹⁾	By N.C. Joseph Lai, a/t/f the Helen & Joseph Lai Irrevocable Trust
Common Stock	100	D ⁽²⁾	
Common Stock	720,000	I ⁽³⁾	By Naichiu Joseph Lai Revocable Trust

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series B Convertible Preferred Stock	(4)	(5)	Common Stock	135,803	(4)	I ⁽¹⁾	By N.C. Joseph Lai, a/t/f the Helen & Joseph Lai Irrevocable Trust
Series A Convertible Preferred Stock	(6)	(5)	Common Stock	2,600,000	(6)	I ⁽²⁾	See Footnote 8 ⁽⁸⁾

Reporting Owners

Reporting Owner Name / Address	Relationships
--------------------------------	---------------

	Director	10% Owner	Officer	Other
LAI N C JOSEPH W302N6117 SPENCE ROAD HARTLAND, WI 53029	X	X		
Helen & Joseph Lai Irrevocable Trust dated December 17 1999 W302N6117 SPENCE ROAD HARTLAND, WI 53029		X		
Naichiu Joseph Lai Revocable Trust W302N6117 SPENCE ROAD HARTLAND, WI 53029		X		
Lai Helen Lee W302N6117 SPENCE ROAD HARTLAND, WI 53029		X		

Explanation of Responses:

1. Dr. Lai and Ms. Lai are trustees of the N.C. Joseph Lai, a/t/f the Helen & Joseph Lai Irrevocable Trust. Dr. Lai and Ms. Lai each disclaim beneficial ownership of these securities except to the extent of his or her pecuniary interest therein. Helen Lee Lai and N.C. Joseph Lai, and their successors, co-Trustees of the Helen and Joseph Lai Irrevocable Trust, dated December 17, 1999 and Naichiu Joseph Revocable Trust each disclaim beneficial ownership of these securities.
2. The reported securities are owned directly by Dr. Lai. The Helen Lee Lai and N.C. Joseph Lai and their successors, co-Trustees of the Helen and Joseph Lai Irrevocable Trust, dated December 17, 1999 and Naichiu Joseph Lai Revocable Trust each disclaim beneficial ownership of these securities. Ms Lai disclaims beneficial ownership of these securities except to the extent of her pecuniary.
3. Dr. Lai and Ms. Lai are trustees of the Naichiu Joseph Lai Revocable Trust. Dr. Lai and Ms. Lai each disclaim beneficial ownership of these securities except to the extent of his or her pecuniary interest therein. Helen Lee Lai and N.C. Joseph Lai, and their successor, co-Trustees of the Helen and Joseph Lai Irrevocable Trust, dated December 17, 1999 disclaims beneficial ownership of the securities.
4. The reported securities are convertible at any time at the option of the N.C. Joseph Lai, a/t/f the Helen & Joseph Lai Irrevocable Trust, but automatically convert into shares of Common Stock on a 1-for-1 basis immediately prior to the closing of the Issuer's initial public offering, estimated to be on or about November 13, 2007.
5. Not applicable.
6. The reported securities are convertible at any time at the option of the Helen Lee Lai and N.C. Joseph Lai, and their successors, co-Trustees of the Helen and Joseph Lai Irrevocable Trust, dated December 17, 1999, but automatically convert into shares of Common Stock on a 1-for-1 basis immediately prior to the closing of the Issuer's initial public offering, estimated to be on or about November 13, 2007.
7. Dr. Lai and Ms. Lai are trustees of the Helen Lee Lai and N.C. Joseph Lai, and their successors, co-Trustees of the Helen and Joseph Lai Irrevocable Trust, dated December 17, 1999. Dr. Lai and Ms. Lai each disclaim beneficial ownership of these securities except to the extent of his or her pecuniary interest therein. Naichiu Joseph Revocable Trust disclaims beneficial ownership of these securities.
8. By Helen Lee Lai and N.C. Joseph Lai, and their successors, co-Trustees of the Helen and Joseph Lai Irrevocable Trust, dated December 17, 1999.

Remarks:

The Date of Event is based upon the date of effectiveness of the Issuer's Registration Statement on Form S-1 filed in connection with the Issuer's initial public offering, estimated to be on or about November 6, 2007.

Signatures

/s/ N.C. Joseph Lai	11/03/2007
/s/ N.C. Joseph Lai, as trustee of Helen Lee Lai and N.C. Joseph Lai, and their successors, co-Trustees of the Helen and Joseph Lai Irrevocable Trust, dated December 17, 1999	11/03/2007
/s/ N.C. Joseph Lai, as trustee of Naichiu Joseph Revocable Trust	11/03/2007
/s/ Helen Lee Lai	11/03/2007
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.