## SECURITIES AND EXCHANGE COMMISSION

# **FORM D**

Official notice of an offering of securities that is made without registration under the Securities Act in reliance on an exemption provided by Regulation D and Section 4(6) under the Act.

Filing Date: **2013-01-14 SEC Accession No.** 0001315863-13-000018

(HTML Version on secdatabase.com)

### **FILER**

#### Paradigm Alpha Blend, LP

CIK:1565743| IRS No.: 461515499 | State of Incorp.:TX | Fiscal Year End: 1231 Type: D | Act: 33 | File No.: 021-190179 | Film No.: 13527873

Mailing Address 200 CRESCENT COURT SUITE 1150 DALLAS TX 75201 Business Address 200 CRESCENT COURT SUITE 1150 DALLAS TX 75201 214.756.6061

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549 **FORM D** 

OMB APP	ROVAL
OMB Number:	3235-0076
Expires: June 30	
Estimated average burden	e
hours per response:	4.00

## **Notice of Exempt Offering of Securities**

1. Issuer's identity			
CIK (Filer ID Number)	Previous Name(s)	None	Entity Type
0001565743			□Corporation
Name of Issuer	ne of Issuer  radigm Alpha Blend, LP  sdiction of Incorporation/  Control Partnership		☑ Limited Partnership
Paradigm Alpha Blend, LP			·
Jurisdiction of Incorporation/			☐ General Partnership
Organization			☐ Business Trust
TEXAS Year of Incorporation/Organization	□ Busiliess Trust □ Other		
, -			Lottlei
Over Five Years Ago	\ 0040		
Within Last Five Years (Specify Year	r) 2012		
☐ Yet to Be Formed			
2. Principal Place of Business and C	ontact Information		
Name of Issuer			
Paradigm Alpha Blend, LP			
Street Address 1		Street Address 2	
200 CRESCENT COURT		<b>SUITE 1150</b>	
City State/Province/Cour	ntry	ZIP/Postal Code	Phone No. of Issuer
DALLAS TEXAS		75201	214.756.6061
3. Related Persons			
Last Name	First Name		Middle Name
Gass	Michelle		U.
Street Address 1	Street Address 2		
200 Crescent Court	Suite 1150		
City	State/Province/Co	ountry	ZIP/Postal Code
Dallas	TEXAS		75201
Relationship:   Executive Officer   D	irector 🗷 Promoter		
Clarification of Response (if Necessary	<b>'</b> )		
Ms. Gass is a principal of Paradigm Ad Paradigm Investment Management, L.			digm Investment Management, L.P.
Last Name	First Name		Middle Name
Dunk	W.		Kirk
Street Address 1	Street Address 2		
200 Crescent Court	Suite 1150		
City	State/Province/Co	ountry	ZIP/Postal Code

Dallas TEXAS 75201

Relationship: ☐ Executive Officer ☐ Director ☑ Promoter Clarification of Response (if Necessary) Mr. Dunk is a principal of Paradigm Advisors, LLC, the general partner of Paradigm Investment Management, L.P. Paradigm Investment Management, L.P. is the general partner of the Issuer. 4. Industry Group Health Care □ Agriculture □ Retailing Banking & Financial Services Biotechnology □ Restaurants □ Commercial Banking ☐ Health Insurance **Technology** □ Insurance ☐ Hospitals & Physicians □ Computers □ Pharmaceuticals □ Investing **Telecommunications** □ Other Health Care □ Investment Banking Other Technology Pooled Investment Fund ☐ Manufacturing Travel Real Estate Airlines & Airports □ Private Equity Fund ☐ Commercial П Lodging & Conventions □ Venture Capital Fund □ Construction Tourism & Travel Services □ REITS & Finance ☐ Other Investment Fund Other Travel □ Residential \*Is the issuer registered as an investment company under the ☐ Other Real Estate Other Investment Company Act of 1940? ☐ Yes ☒No □ Other Banking & Financial Services **Business Services** Energy □ Coal Mining □ Electric Utilities □ Energy Conservation □ Environmental Services □ Oil & Gas ☐ Other Energy 5. Issuer Size Revenue Range Aggregate Net Asset Value Range No Revenues No Aggregate Net Asset Value \$1 - \$1,000,000 \$1 - \$5,000,000 \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000  $\Box$ \$25,000,001 - \$100,000,000  $\Box$ \$50,000,001 - \$100,000,000 П П Over \$100.000.000  $\Box$ Over \$100.000.000 Decline to Disclose Decline to Disclose X Not Applicable Not Applicable

#### 6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

☐ Rule 504(b)(1) (not (i), (ii)	) or (iii)) □Rule 505					
☐ Rule 504 (b)(1)(i)	☑Rule 506					
☐ Rule 504 (b)(1)(ii)	□Securities Act Sec	□Securities Act Section 4(6)				
☐ Rule 504 (b)(1)(iii)	☑Investment Company Act Section 3(c)					
	✓ Section 3(c)(1	) □Section 3(c	)(9)			
	□Section 3(c)(2	) □Section 3(c	)(10)			
	□Section 3(c)(3	S) □Section 3(c)	)(11)			
	□Section 3(c)(4	) □Section 3(c	)(12)			
	□Section 3(c)(5	) □Section 3(c	)(13)			
	□Section 3(c)(6	) □Section 3(c	)(14)			
	□Section 3(c)(7	")				
7. Type of Filing						
New Notice Date of First     ■	Sale 2013-01-01 ☐ First Sa	ale Yet to Occur				
☐ Amendment						
8. Duration of Offering						
Does the Issuer intend this	offering to last more than one	e year? ∡ Yes □	No			
9. Type(s) of Securities Of	ffered (select all that apply)					
${\begin{tabular}{ c c c c c c c c c c c c c c c c c c c$	Interests		☐ Equity			
☐ Tenant-in-Common Secu	rities		□Debt			
☐ Mineral Property Securition	es		Option, Warrant or Another Security	Other Right	t to Acquire	
Security to be Acquired L Right to Acquire Security	Jpon Exercise of Option, War	rant or Other	☐ Other (describe)			
10. Business Combination	n Transaction					
Is this offering being made acquisition or exchange offer	in connection with a businesser?	s combination tra	nsaction, such as a me	rger,	□ Yes 🗷 No	
Clarification of Response (if	Necessary)					
11. Minimum Investment						
Minimum investment accep	ted from any outside investor	r\$ 500,000 USD	)			
12. Sales Compensation						
Recipient		Recipient CRD N	Number □ None			
(Associated) Broker or Dea	ieri inone	(Associated) Broker or Dealer CRD Number				
Street Address 1		Street Address 2				
City	;			ZIP/Postal Code		

State(s) of Solicitation (select all that apply) Check "All States" or check individual States  Check "All States" or check individual				
13. Offering and Sales Amounts				
Total Offering Amount \$ USD or ☑ Indefinite				
Total Amount Sold \$ 1,959,157 USD				
Total Remaining to be Sold\$ USD or ☑ Indefinite				
Clarification of Response (if Necessary)				
14. Investors				
☐ Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors,				
Number of such non-accredited investors who already have invested in the offering				
Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:	6			
15. Sales Commissions & Finders' Fees Expenses				
Provide separately the amounts of sales commissions and finders' fees expenses, if any. If the amount of an exp is not known, provide an estimate and check the box next to the amount.	enditure			
Sales Commissions \$ 0 USD ☐ Estimate				
Finders' Fees \$ 0 USD   Estimate				
Clarification of Response (if Necessary)				
16. Use of Proceeds				
Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the is unknown, provide an estimate and check the box next to the amount.	•			
\$ 0 USD ☐ Estimate				
Clarification of Response (if Necessary)				
The general partner is entitled to a performance allocation. The investment manager is entitled to a management fee. The performance allocation and management fee are fully discussed in the Issuer's confidential offering materials.				
Signature and Submission				
Please verify the information you have entered and review the Terms of Submission below before signing clicking SUBMIT below to file this notice.	ng and			
Terms of Submission				
In submitting this notice, each Issuer named above is:				

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees.
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that the Issuer is not disqualified from relying on any Regulation D exemption it has identified in Item 6 above for one of the reasons stated in Rule 505(b)(2)(iii).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
Paradigm Alpha Blend, LP	W. Kirk Dunk	W. Kirk Dunk	Principal of Paradigm Advisors, LLC	2013-01-14

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

<sup>\*</sup> This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.