

# SECURITIES AND EXCHANGE COMMISSION

## FORM 5

Annual statement of changes in beneficial ownership of securities

Filing Date: **2003-02-10** | Period of Report: **2002-12-31**  
SEC Accession No. **0001001288-03-000069**

([HTML Version](#) on [secdatabase.com](#))

### SUBJECT COMPANY

#### **LEXMARK INTERNATIONAL INC /KY/**

CIK: **1001288** | IRS No.: **061308215** | State of Incorporation: **DE** | Fiscal Year End: **1231**  
Type: **5** | Act: **34** | File No.: **001-14050** | Film No.: **03547912**  
SIC: **3570** Computer & office equipment

Mailing Address  
740 WEST NEW CIRCLE  
ROAD  
LEXINGTON KY 40550

Business Address  
ONE LEXMARK CENTRE DR  
LEXINGTON KY 40550  
8592322000

### REPORTING OWNER

#### **GOMORY RALPH E**

CIK: **1018390** | State of Incorporation: **KY** | Fiscal Year End: **0930**  
Type: **5**

Mailing Address  
1150 15TH ST NW  
WASHINGTON DC 20071

Business Address  
ALFRED P SLOAN  
FOUNDATION  
630 FIFTH AVENUE SUITE  
2550  
NEW YORK NY 10111-0242  
6063294784

<p align="center"><b>FORM 5</b></p> <p><input type="checkbox"/> Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).</p> <p><input type="checkbox"/> Form 3 Holdings Reported</p> <p><input type="checkbox"/> Form 4 Transactions Reported</p>	<p><b>UNITED STATES SECURITIES AND EXCHANGE COMMISSION</b></p> <p>Washington, D.C. 20549</p> <p><b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP</b></p> <p>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940</p>	<p align="center">OMB APPROVAL</p> <hr/> <p>OMB Number: 3235-0362 Expires: January 31, 2005 Estimated average burden hours per response: . . . . 0.5</p>
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<p>1. Name and Address of Reporting Person*</p> <p><b>Gomory, Ralph E.</b></p> <p>(Last) (First) (Middle)</p> <p><b>One Lexmark Centre Drive</b> <b>740 West New Circle Road</b></p> <p>(Street)</p> <p><b>Lexington, KY 40550</b></p> <p>(City) (State) (Zip)</p> <p><b>USA</b></p>	<p>2. Issuer Name and Ticker or Trading Symbol</p> <p><b>Lexmark International, Inc. LXX</b></p> <p>3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)</p> <p>4. Statement for Month/Day/Year</p> <p align="center"><b>December 31, 2002</b></p> <p>5. If Amendment, Date of Original (Month/Day/Year)</p>	<p>6. Relationship of Reporting Person(s) to Issuer (Check all applicable)</p> <p><input checked="" type="checkbox"/> Director _____ 10% Owner</p> <p>_____ Officer _____ Other</p> <p>Officer/Other Description _____</p> <p>7. Individual or Joint/Group Filing (Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Individual Filing</p> <p>_____ Joint/Group Filing</p>
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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed (D) Of (Instr. 3, 4, and 5)			5. Amount of Securities Beneficially Owned at End of Issuer's Fiscal Year (Instr. 3 and 4)	6. Owner-ship Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	A/D	Price			
<b>Class A Common Stock</b>						<b>20,866.00</b>	<b>D</b>		

If the form is filed by more than one reporting person, see instruction 4(b)(v). Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. (over) SEC 2270 (7-02)

**Gomory, Ralph E. - December 31, 2002**  
**Form 5 (continued)**

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)												
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned at End of Year (Instr.4)	10. Owner-ship Form of Derivative Security: Direct (D) or Indirect (I) (Instr.4)	11. Nature of Indirect Beneficial Ownership (Instr.4)
						(DE)	(ED)					
<b>Deferred Stock Units</b>	<b>1-for-1</b>	<b>02/21/2002</b>		<b>A</b>	<b>(A) 165.05</b>	<b>(1)</b>	<b>(1)</b>	<b>Class A Common Stock - 165.05</b>	<b>\$51.50</b>		<b>D</b>	
<b>Deferred Stock Units</b>	<b>1-for-1</b>	<b>04/29/2002</b>		<b>A</b>	<b>(A) 21.24</b>	<b>(1)</b>	<b>(1)</b>	<b>Class A Common Stock - 21.24</b>	<b>\$58.86</b>		<b>D</b>	
<b>Deferred Stock Units</b>	<b>1-for-1</b>	<b>04/30/2002</b>		<b>A</b>	<b>(A) 142.19</b>	<b>(1)</b>	<b>(1)</b>	<b>Class A Common Stock - 142.19</b>	<b>\$59.78</b>		<b>D</b>	
<b>Deferred Stock Units</b>	<b>1-for-1</b>	<b>07/24/2002</b>		<b>A</b>	<b>(A) 40.86</b>	<b>(1)</b>	<b>(1)</b>	<b>Class A Common Stock - 40.86</b>	<b>\$48.95</b>		<b>D</b>	
<b>Deferred Stock Units</b>	<b>1-for-1</b>	<b>07/25/2002</b>		<b>A</b>	<b>(A) 175.51</b>	<b>(1)</b>	<b>(1)</b>	<b>Class A Common Stock - 175.51</b>	<b>\$48.43</b>	<b>6,730.70</b>	<b>D</b>	

Explanation of Responses :

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

By: /s/ Joseph M. Kamer, Attorney-in-Fact 02-10-2003  
\*\* Signature of Reporting Person Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Power of Attorney**

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

**Gomory, Ralph E. - December 31, 2002**  
**Form 5 (continued)**

<p><b>FOOTNOTE Descriptions for Lexmark International, Inc. LXX</b></p>
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Ralph E. Gomory  
One Lexmark Centre Drive  
740 West New Circle Road  
Lexington, KY 40550

**Explanation of responses:**

(1) The deferred stock units were accrued under the Lexmark International Group, Inc. Nonemployee Director Stock Plan, are fully vested at all times and, unless further deferred, are entitled to be settled on the fifth anniversary of the date of grant.