

SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2022-07-19** | Period of Report: **2022-07-15**

SEC Accession No. [0001062993-22-016527](#)

([HTML Version](#) on [secdatabase.com](#))

REPORTING OWNER

Fisher Daniel William

CIK: **1693093**

Type: **4** | Act: **34** | File No.: **001-07349** | Film No.: **221092513**

Mailing Address

2526 SPRUCE MEADOWS
DRIVE
BROOMFIELD CO 80023

ISSUER

BALL Corp

CIK: **9389** | IRS No.: **350160610** | State of Incorporation: **IN** | Fiscal Year End: **1231**
SIC: **3411** Metal cans

Mailing Address

9200 W. 108TH CIRCLE
WESTMINSTER CO 80021

Business Address

9200 W. 108TH CIRCLE
WESTMINSTER CO 80021
3034695511

FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**UNITED STATES SECURITIES AND
EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: 02/28/2011
Estimated average burden
hours per response 0.5

**STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person Fisher Daniel William			2. Issuer Name and Ticker or Trading Symbol BALL Corp [BLL]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) President & C.E.O.	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/15/2022			
9200 W. 108TH CIRCLE						
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing (Check applicable line) <input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person	
WESTMINSTER, CO 80021						
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	07/15/2022		<u>M</u>		400 ⁽¹⁾	A	\$66.48	10,324.6856	I ⁽²⁾	By Spouse ⁽³⁾
Common Stock	07/15/2022		<u>E</u>		116 ⁽⁴⁾	D	\$66.48	10,208.6856	I ⁽²⁾	By Spouse ⁽³⁾
Common Stock								101,596.7195	D ⁽²⁾	
Common Stock								1,887.401	I ⁽²⁾	401(k) Plan ⁽⁵⁾
Common Stock								1,097.312	I ⁽²⁾	401(k) Plan by Spouse ^{(3) (5)}

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date					
						(A) (D)			Amount or Number of Shares				

Restricted Stock Units	(6)	07/15/2022		<u>M</u>		400	(7)	(7)	Common Stock	400	\$ 0	3,396	I (2)	By Spouse (3)
------------------------------	-----	------------	--	----------	--	-----	-----	-----	-----------------	-----	------	-------	-------	---------------------

Explanation of Responses:

1. Common stock acquired upon the lapse of Table II Restricted Stock Units.
2. The securities included herein represent only those securities that are required to be disclosed pursuant to Section 16(a) of the Securities Exchange Act of 1934 in connection with the specific transaction(s) reported herein. The reporting person is the beneficial owner of additional shares and/or derivative securities of the issuer that are not disclosed on this Form 4. For additional information regarding the reporting person's ownership of issuer securities, refer to Forms 4 previously filed by the reporting person and the Compensation Discussion & Analysis section of the issuer's 2022 Proxy Statement.
3. The reporting person expressly disclaims beneficial ownership of these securities.
4. Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II Restricted Stock Units.
5. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
6. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
7. Lapse of restricted stock units.

Signatures

/s/ Charles E. Baker, attorney-in-fact for Mr. Fisher

** Signature of Reporting Person

07/19/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.