

SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2013-01-28** | Period of Report: **2013-01-24**
SEC Accession No. [0001206774-13-000380](#)

(HTML Version on secdatabase.com)

REPORTING OWNER

Jensen Kurt A

CIK: **1495376**
Type: **4** | Act: **34** | File No.: **001-11807** | Film No.: **13552261**

Mailing Address
1420 ROCKY RIDGE DRIVE
SUITE 380
ROSEVILLE CA 95661

Dated January 25, 2007 The Jensen Revocable Trust

CIK: **1495804**
Type: **4** | Act: **34** | File No.: **001-11807** | Film No.: **13552262**

Mailing Address
1420 ROCKY RIDGE DRIVE,
SUITE 380
ROSEVILLE CA 95661

Jensen Carolyn L

CIK: **1495805**
Type: **4** | Act: **34** | File No.: **001-11807** | Film No.: **13552260**

Mailing Address
1420 ROCKY RIDGE DRIVE,
SUITE 380
ROSEVILLE CA 95661

ISSUER

DAEGIS INC.

CIK: **880562** | IRS No.: **942710559** | State of Incorporation: **DE** | Fiscal Year End: **0430**
SIC: **7372** Prepackaged software

Mailing Address
1420 ROCKY RIDGE DRIVE
SUITE 380
ROSEVILLE CA 95661

Business Address
1420 ROCKY RIDGE DRIVE
SUITE 380
ROSEVILLE CA 95661
9162184700

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person Jensen Kurt A			2. Issuer Name and Ticker or Trading Symbol DAEGIS INC. [DAEG]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) ____ Director <input checked="" type="checkbox"/> 10% Owner ____ Officer (give title below) ____ Other (specify below)	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/24/2013			
1420 ROCKY RIDGE DRIVE, SUITE 380			4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing (Check applicable line) ____ Form Filed by One Reporting Person <input checked="" type="checkbox"/> Form Filed by More than One Reporting Person	
(Street)						
ROSEVILLE, CA 95661						
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	01/24/2013		S		10,000	D	\$1.35	1,777,916	I	By trust
Common Stock	01/24/2013		S		14,000	D	\$1.37	1,763,916	I	By trust
Common Stock	01/24/2013		S		79	D	\$1.42	1,763,837	I	By trust
Common Stock	01/24/2013		S		22,921	D	\$1.4	1,740,916	I	By trust

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Stock Option	\$2.9							(I)	05/02/2021	Common Stock	85,000	85,000	D	

Stock Option	\$2.9						(2)	05/02/2021	Common Stock	40,000		40,000	D	
Stock Option	\$1.92						(3)	07/01/2021	Common Stock	44,250		44,250	D	
Stock Option	\$1.92						(4)	07/01/2021	Common Stock	14,750		14,750	D	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jensen Kurt A 1420 ROCKY RIDGE DRIVE SUITE 380 ROSEVILLE, CA 95661		X		
Jensen Carolyn L 1420 ROCKY RIDGE DRIVE SUITE 380 ROSEVILLE, CA 95661		X		
Dated January 25, 2007 The Jensen Revocable Trust 1420 ROCKY RIDGE DRIVE SUITE 380 ROSEVILLE, CA 95661		X		

Explanation of Responses:

- Option granted 5/2/11, pursuant to the 2010 Stock Option Plan and vests 33% upon issuance of the stock option with 33% vesting over the next 12 months and the remaining 33% over the following 12 months. Option is exercisable to the extent vested.
- Option granted 5/2/11, pursuant to the 2010 Stock Option Plan and vests monthly as to one forty-eighth (1/48th) of the subject shares upon completion of each full month of continuous employment with Unify Corporation. Option is exercisable to the extent vested.
- Option granted 7/1/11, pursuant to the 2010 Stock Option Plan and vests monthly as to one forty-eighth (1/48th) of the subject shares upon completion of each full month of continuous employment with Unify Corporation. Option is exercisable to the extent vested.
- Option granted 7/1/11, pursuant to the 2010 Stock Option Plan and vests over a three-year period with sixty-seven (67%) percent vesting on April 30, 2013 and the remainder will vest evenly as to 1/12th per month until the option is fully vested on April 30, 2014 if specific stock price appreciation goals are achieved.

Signatures

[/s/ Christa Flanery attorney in fact](#)

** Signature of Reporting Person

[01/28/2013](#)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.