SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2013-01-11** | Period of Report: **2013-01-01** SEC Accession No. 0001445525-13-000002

(HTML Version on secdatabase.com)

REPORTING OWNER

CARRIER GREG

CIK:1408149

Type: 4 | Act: 34 | File No.: 000-27140 | Film No.: 13525854

Mailing Address 200 SW MARKET ST #1800 PORTLAND OR 97201

ISSUER

NORTHWEST PIPE CO

CIK:1001385| IRS No.: 930557988 | State of Incorp.:OR | Fiscal Year End: 1231

SIC: 3317 Steel pipe & tubes

Mailing Address 5721 SE COLUMBIA WAY SUITE 200 VANCOUVER WA 98661 Business Address 5721 SE COLUMBIA WAY SUITE 200 VANCOUVER WA 98661 3603976250

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPR	ROVAL					
OMB Number:	3235-0287					
Expires:	02/28/2011					
Estimated average b	urden					
hours per response	0.5					

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres CARRIER GR		on <u>*</u>	2. Issuer Name and Ticker or Trading Symbol NORTHWEST PIPE CO [NWPX]	Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/01/2013	X_ Officer (give title Other (specify below) below) VP, Purchasing
5721 SE COLUM	IBIA WAY SUIT	E 200		
VANCOUVER, V	(Street) WA 98661		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) X Form Filed by One Reporting Person Form Filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any						5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		(Month/ Day/Year)	Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	01/01/2013		<u>M</u>		442	A	<u>(1)</u>	1,793	D	
Common Stock	01/01/2013		<u>F</u>		154 ^(<u>4</u>)	D	\$23.86	1,639	D	
Common Stock	01/01/2013		<u>M</u>		182	A	(<u>2</u>)	1,821	D	
Common Stock	01/01/2013		<u>F</u>		63 ^(<u>4</u>)	D	\$23.86	1,758	D	
Common Stock	01/01/2013		<u>M</u>		779	A	(<u>3</u>)	2,537	D	
Common Stock	01/01/2013		<u>F</u>		272 ^(<u>4</u>)	D	\$23.86	2,265	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transa Code (Instr. 8		of Deriv	vative irities ired r osed)	5		7. Title and of Securitie: Underlying Derivative S (Instr. 3 and	s Security	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Restricted Stock Units	(<u>5</u>)	01/01/2013	<u>M</u>		442	(<u>6</u>)	(<u>6</u>)	Common Stock	442	<u>(5)</u>	768	D	
Restricted Stock Units	(<u>5</u>)	01/01/2013	<u>M</u>		182	(7)	(7)	Common Stock	182	(<u>5</u>)	586	D	
Performance Shares	<u>(8)</u>	01/01/2013	<u>M</u>		779	(<u>8</u>)	<u>(8)</u>	Common Stock	779	<u>(8)</u>	2,080 ^(<u>9</u>)	D	

Explanation of Responses:

- 1. Represents shares acquired pursuant to vesting of Restricted Stock Units granted in 2011.
- 2. Represents shares acquired pursuant to vesting of Restricted Stock Units granted in 2012
- 3. Represents shares acquired pursuant to vesting of Performance Shares granted in 2011
- 4. Represents shares withheld by the issuer for payment of taxes incurred upon vesting event consistent with company policy.
- 5. Each Restricted Stock Unit represents a contingent right to receive one share of Northwest Pipe Company common stock.
- 6. The Restricted Stock Units vest in installments as follows: 2/3 on January 1, 2013 and 1/3 on January 1, 2014.
- 7. The Restricted Stock Units vest in installments as follows: 1/3 on January 1, 2013, 1/3 on January 1, 2014 and 1/3 on January 1, 2015.
- 8. The Performance Shares vest on January 1, 2013 in an amount ranging from 0-200% to the extent such Performance Shares are earned. Performance Shares are earned based on Northwest Pipe Company's total shareholder return for 2012-2013 relative to the total shareholder return of a peer group over the same period.
- 9. Represents remaining Performance Shares after deducting those that were forfeited because the total shareholder return criteria for the January 1, 2013 vest resulted in a payout of less than 100%.

Signatures

Greg Carrier

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.