## SECURITIES AND EXCHANGE COMMISSION

# FORM NT 10-Q

Notice under Rule 12b25 of inability to timely file all or part of a form 10-Q or 10-QSB

Filing Date: **1994-03-18** | Period of Report: **1994-01-31** SEC Accession No. 0000823556-94-000001

(HTML Version on secdatabase.com)

# **FILER**

### **AIR & WATER TECHNOLOGIES CORP**

CIK:823556| IRS No.: 133418759 | State of Incorp.:DE | Fiscal Year End: 1031

Type: NT 10-Q | Act: 34 | File No.: 001-10298 | Film No.: 94516806

SIC: 8711 Engineering services

Mailing Address P O BOX 1500 SOMERVILLE NJ 08876 Business Address U S HGWY 22 WEST & STATION RD BRANCHBURG NJ 08876 2016854000 WASHINGTON, D.C. 20549

FORM 12-b-25

#### NOTIFICATION OF LATE FILING

(Check One):

[] Form 10-K [] Form 20-F [] Form 11-K [x] Form 10-Q [] Form N-SAR For Period Ended January 31, 1994
[ ] Transition Report on Form 10-K [ ] Transition Report on Form 20-F [ ] Transition Report on Form 11-K [ ] Transition Report on Form 10-Q [ ] Transition Report on Form N-SAR For the Transition Period Ended:
NOTHING IN THIS FORM SHALL BE CONSTRUED TO IMPLY THAT THE COMMISSION HAS VERIFIED ANY INFORMATION CONTAINED HEREIN.
IF THE NOTIFICATION RELATES TO A PORTION OF THE FILING CHECKED ABOVE, IDENTIFY THE ITEM(S) TO WHICH THE NOTIFICATION RELATES:
PART I - REGISTRANT INFORMATION
Full Name of Registrant: Air & Water Technologies Corporation
Former Name if Applicable: Not Applicable
Address of Principal Executive Office (Street Number)
U.S. Highway 22 West and Station Road, Branchburg, NJ 08876
City, State and Zip Code
PART II - RULES 12b-25(b) and (c)
If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule $12b-25(b)$ , the following should be completed. (Check box if appropriate)
(a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense $[x]$ ;
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(b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, 11-K or Form N-SAR, or portion thereof will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date $[x]$ ; and
(c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable [ ].
PART III - NARRATIVE
In the time immediately preceding and through March 17, 1994, the scheduled last day for filing the Company's report on Form $10-Q$ for its fiscal quarter ended January 31, 1994, the Company was engaged in continuous discussions with several parties regarding matters any of which, if consummated, would materially affect the Company's capitalization and other information required to be reflected in such report. Pending the resolution of such discussions, the Company was unable, without unreasonable effort or expense, to prepare definitively and file such report. Such discussions have been resolved in a manner which has been the subject of a public announcement this day, March 18, 1994.
PART IV - OTHER INFORMATION
(1) Name and telephone number of person to contact in regard to this notification
Douglas A. Satzger, Senior V.P. and General Counsel 908 685-4677
(Name) (Area Code) (Telephone Number)
(2) Have all other periodic reports required under section 13 or 15(d) of the Securities Exchange Act of 1934 or section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If the answer is

[x] Yes [ ] No

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(3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

[x] Yes [ ] No

If so: attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

For the reasons set forth in Item III it is impracticable to respond at this time. The requested information will be included in the Company's 10Q, which will be filed no later than March 22, 1994.

Air & Water Technologies Corporation
----(Name of Registrant as specified in charter)

have caused this notification to be signed on its behalf by the undersigned thereunto duly authorized.  $\,$ 

Date March 18, 1994

By /s/ Anthony DiMichele

Anthony DiMichele

INSTRUCTION: The form may be signed by an executive officer of the registrant or by any other duly authorized representative. The name and title of the person signing the form shall be typed or printed beneath the signature. If the statement is signed on behalf of the registrant by an authorized representative (other than an executive officer), evidence of the representative's authority to sign on behalf of the registrant shall be filed with the form.

Vice President and

Chief Financial Officer