SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2013-03-19 | Period of Report: 2013-03-15 SEC Accession No. 0001209191-13-017503

(HTML Version on secdatabase.com)

ISSUER

TD AMERITRADE HOLDING CORP

CIK:1173431| IRS No.: 820543156 | State of Incorp.:DE | Fiscal Year End: 0930 SIC: 6211 Security brokers, dealers & flotation companies

Mailing Address **OMAHA NE 68127**

Business Address 4211 SOUTH 102ND STREET 4211 SOUTH 102ND STREET **OMAHA NE 68127** 4023317856

REPORTING OWNER

MOGLIA JOSEPH H

CIK:1187586

Type: 4 | Act: 34 | File No.: 001-35509 | Film No.: 13702599

Mailing Address AMERITRADE HOLDING CORP 4211 S 102ND ST **OMAHA NE 68127**

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL												
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres MOGLIA JOS	ss of Reporting Person				or Trading Symbol HOLDING CORP	Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last)	(First)	(,	3. Date of Earl 03/15/2013	liest Transacti	on (Month/Day/Year)	30.01	•,				
4211 SOUTH 102	2ND ST.										
		4. If Amendme	ent, Date Origi	nal Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) X Form Filed by One Reporting Person						
OMAHA, NE 68	127								e Reporting Person		
(City)	(State)	(Zip)									
	Tabl	e I - Non-Derivat	ive Securit	ies Acqui	ed, Disposed of, or Be	nefic	ially Owned				
1.Title of Security (Instr. 3) 2. Transaction			2A. 3. 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 an				5. Amount of Securities	6. Ownership	7. Nature of Indirect Beneficial		

١	1.Title of Security (Instr. 3)	2.	2A.	3.		4. Securities Acq	uired	I (A) or	5. Amount of	6.	7. Nature of Indirect
١		Transaction	Transa	ction	Disposed of (D)	(Instr.	. 3, 4 and 5)	Securities	Ownership	Beneficial	
1		Date	ate Execution						Beneficially	Form:	Ownership (Instr. 4)
1		(Month/	Date, if any	8)					Owned	Direct (D)	
1		Day/Year)	ar) (Month/						Following	or Indirect	
١			Day/Year)				(A)		Reported	(I) (Instr.	
1							or		Transaction(s)	4)	
١				Code	V	Amount	(D)	Price	(Instr. 3 and 4)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transar Code (Instr. 8		5. Num of Deriv Secu Acqu (A) o Disport of (D (Institute) 4, and	vative irities ired ir osed)	6. Date Exerci Expiration Dat Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Covered Call Options (obligation to sell)	\$22	03/15/2013		<u>S</u>			86	03/15/2013	04/19/2013	Common Stock	8,600	\$25	86	D	
Covered Call Options (obligation to sell)	\$22	03/15/2013		<u>S</u>			500	03/15/2013	04/19/2013	Common Stock	50,000	\$23	500	I	By annuity trusts (1)

Covered Call Options (obligation to sell)	\$22	03/15/2013		<u>S</u>			500	03/15/2013	04/19/2013	Common Stock	50,000	\$20	1,000	I	By annuity trusts (1)	
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Explanation of Responses:

1. Shares are held by annuity trusts, for which Mr. Moglia is the grantor and a beneficiary.

Signatures

/s/ David L. Lambert, as attorney-in-fact for Joseph H. Moglia

03/19/2013

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.