## SECURITIES AND EXCHANGE COMMISSION

# FORM D/A

Official notice of an offering of securities that is made without registration under the Securities Act in reliance on an exemption provided by Regulation D and Section 4(6) under the Act. [amend]

Filing Date: **2013-01-10 SEC Accession No.** 0001510198-13-000001

(HTML Version on secdatabase.com)

## **FILER**

Mailing Address

#### **Dexter Winston TE Fund LLC**

CIK:1510198| IRS No.: 000000000 | State of Incorp.:DE | Fiscal Year End: 1231 Type: D/A | Act: 33 | File No.: 021-153688 | Film No.: 13521965

3030 CLARENDON BLVD., SUITE 900 ARLINGTON VA 22201

**Business Address** 3030 CLARENDON BLVD., SUITE 900 ARLINGTON VA 22201 703-905-9014

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549 **FORM D** 

OMB APPROVAL								
OMB Number:	3235-0076							
Expires: June 30, 2012								
Estimated average burden								
hours per response:	4.00							

## **Notice of Exempt Offering of Securities**

1. Issuer's Idei	ntity			
CIK (Filer ID Nu	umber)	Previous Name(s)	None	Entity Type
0001510198				□Corporation
Name of Issuer				☐ Limited Partnership
	n TE Fund LLC			
Jurisdiction of I	ncorporation/			☐ General Partnership
Organization DELAWARE				□ Business Trust
	ration/Organization			□Other
□ Over Five Ye	<u> </u>			
	Five Years (Specify Year	) 2010		
☐ Yet to Be For		, 2010		
Tet to be 1 of	med			
2. Principal Pla	ace of Business and Co	ontact Information		
Name of Issuer				
Dexter Winsto	n TE Fund LLC			
Street Address	1		Street Addres	ss 2
3030 Clarendo	on Blvd., Suite 900			
City	State/Province/Cour	ntry	ZIP/Postal Co	ode Phone No. of Issuer
Arlington	VIRGINIA		22201	703-905-9555
3. Related Pers	sons			
Last Name		Fir	rst Name	Middle Name
Bush			arvin	P.
Street Address		St	reet Address 2	
	on Blvd., Suite 900			
City			ate/Province/Co	•
Arlington		V	'IRGINIA	22201
Relationship:	Executive Officer □ Di	rector □ Promoter		
Clarification of	Response (if Necessary)			
Last Name		Fir	rst Name	Middle Name
Scanlon		Jo	onathan	D.
Street Address	1	St	reet Address 2	
3030 Clarendo	on Blvd., Suite 900			
City		Sta	ate/Province/Co	untry ZIP/Postal Code

Clarification of Response (if Necessary)

4. Industry Group										
□ Agriculture Banking & Financial Services □ Commercial Banking □ Insurance □ Investing □ Investment Banking			Health Care  Biotechnology Health Insurance Hospitals & Physicians Pharmaceuticals Other Health Care  Manufacturing Real Estate Commercial Construction REITS & Finance Residential Other Real Estate				Retailing Restaurants Technology  Computers  Telecommunications  Other Technology Travel  Airlines & Airports  Lodging & Conventions  Tourism & Travel Services  Other Travel  Other			
		Other Banking & Financial								
		Services								
	_	siness Services								
	En	ergy								
		Coal Mining								
		Electric Utilities								
		Energy Conservation								
		Environmental Services								
		Oil & Gas Other Energy								
<u> </u>										
5. Issuer Size Revenue Range Aggregate Net Asset Value Range										
		Revenues		— N. A. ( N. (			•			
Ш		- \$1,000,000				\$1 - \$5,000,000	13301	value		
		,000,001 - \$5,000,000				\$5,000,001 - \$25,00	nn nn	00		
				□ \$25,000,001 - \$25,000,000 □ \$25,000,001 - \$50,000						
		ver \$100,000,000		<b>∑</b> \$50,000,001 - \$100,000,000				,000		
						Over \$100,000,000				
		ecline to Disclose				Decline to Disclose				
	INC	ot Applicable				Not Applicable				
6 5	ede	ral Exemption(s) and Exclusion	1(5)	Clai	med (selec	t all that annly)				
	5. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)  □ Rule 504(b)(1) (not (i), (ii) or (iii)) □Rule 505									
ш,		~~ .(~)( ·) (·) or (·), (·) or (··), □Ru		J						

☐ Rule 504 (b)(1)(i)	☑Rule 506						
☐ Rule 504 (b)(1)(ii)	□Securities Act S	ection 4(6)					
☐ Rule 504 (b)(1)(iii)	☑Investment Con	npany Act Section	3(c)				
	□Section 3(c	e)(1) □Section 3(	c)(9)				
	□Section 3(c	e)(2) □Section 3(	c)(10)				
	□Section 3(c	e)(3) □Section 3(	c)(11)				
	□Section 3(c	(a)(4) □Section 3(	c)(12)				
	□Section 3(c	s)(5) □Section 3(	c)(13)				
	□Section 3(c	s)(6) □Section 3(	c)(14)				
	✓ Section 3(continuous)	:)(7)					
7. Type of Filing							
☐ New Notice Date of First Sa	le 2011-01-01 ☐ First	Sale Yet to Occur					
8. Duration of Offering							
Does the Issuer intend this offe	ering to last more than	one year? ℤ Yes [	□ No				
9. Type(s) of Securities Offer	ed (select all that app	ıly)					
N Pooled Investment Fund International	erests		□ Equity				
☐ Tenant-in-Common Securitie	es		□ Debt				
☐ Mineral Property Securities			Option, Warrant Another Security	or Other Righ	nt to Acquire		
Security to be Acquired Upo Right to Acquire Security	n Exercise of Option, V	☐ Other (describe)					
10. Business Combination T	ransaction						
Is this offering being made in o	connection with a busine	ess combination tr	ansaction, such as a m	nerger,	□ Yes 🗷 No		
acquisition or exchange offer? Clarification of Response (if No	ecessary)				_ 100 _ 110		
11. Minimum Investment							
Minimum investment accepted	from any outside inves	stor\$ 1,000,000 U	JSD				
12. Sales Compensation							
Recipient		Recipient CRD	Number   ☐ None				
(Associated) Broker or Dealer	□None	(Associated) Br Number	oker or Dealer CRD	□None			
Street Address 1		Street Address	2				
City	State/Province/	State/Province/Country ZIP/Posi Code					
State(s) of Solicitation (select apply)	□ Foreign/non-US						

States 13. Offering and Sales Amounts USD or Indefinite **Total Offering Amount** Total Amount Sold \$61,612,883 USD USD or Indefinite Total Remaining to be Sold\$ Clarification of Response (if Necessary) 14. Investors Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors. Number of such non-accredited investors who already have invested in the offering Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering: 15. Sales Commissions & Finders' Fees Expenses Provide separately the amounts of sales commissions and finders' fees expenses, if any. If the amount of an expenditure is not known, provide an estimate and check the box next to the amount. Sales Commissions \$ 0 USD 

☐ Estimate Finders' Fees \$ 0 USD □ Estimate Clarification of Response (if Necessary) 16. Use of Proceeds Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount. \$ 1,105,108 USD X Estimate Clarification of Response (if Necessary) The Manager (or its affiliate) is entitled to receive an annual management fee in an amount equal to a specified percentage of assets under management. Signature and Submission Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice. **Terms of Submission** 

Check "All States" or check individual

In submitting this notice, each Issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees.
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that the Issuer is not disqualified from relying on any Regulation D exemption it has identified in Item 6 above for one of the reasons stated in Rule 505(b)(2)(iii).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
Dexter Winston TE Fund LLC	/s/ Marvin P. Bush	Marvin P. Bush	Managing Member	2013-01-10

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

<sup>\*</sup> This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.