SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2024-01-26** | Period of Report: **2024-01-24** SEC Accession No. 0001062993-24-001442

(HTML Version on secdatabase.com)

REPORTING OWNER

Fisher Daniel William

CIK:1693093

Type: 4 | Act: 34 | File No.: 001-07349 | Film No.: 24569652

Mailing Address 2526 SPRUCE MEADOWS DRIVE BROOMFIELD CO 80023

ISSUER

BALL Corp

CIK:9389| IRS No.: 350160610 | State of Incorp.:IN | Fiscal Year End: 1231

SIC: 3411 Metal cans

Mailing Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021

Business Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021 3034695511

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

 OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Fisher Daniel W		* -	2. Issuer Name and Ticker or Trading Symbol BALL Corp [BALL]	Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director10% Owner					
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/24/2024	Officer (give titleOther (specify below) below) President & C.E.O.					
9200 W. 108TH C	IRCLE								
WESTMINSTER,	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line)XForm Filed by One Reporting PersonForm Filed by More than One Reporting Person					
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	Transaction Date (Month/Day/		Transaction Code (Instr.		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Year)		Code	V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4) or Indirec (I) (Instr. 4)			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security			5. Number Derivative Securities Acquired (Disposed (D) (Instr. and 5)	A) or	6. Date Exercisable and Expiration Date (Month/ Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Year)	Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Restricted Stock Units	<u>(1)</u>	01/24/2024		<u>A</u> (2)		57,544		<u>(1)</u>	<u>(1)</u>	Common Stock	57,544	\$ 0	146,689	D (3)	
Stock Option (Right to Buy)	\$55.87	01/24/2024		<u>A</u> (4)		178,314		01/24/2025	01/24/2034	Common Stock	178,314	\$ 0	178,314	D (3)	
Restricted Stock Units	(1)	01/24/2024		<u>A</u> (2)		1,503		(1)	(1)	Common Stock	1,503	\$ 0	5,155	I (3)	By Spouse (5)
Stock Option (Right to Buy)	\$55.87	01/24/2024		<u>A</u> (4)		4,659		01/24/2025	01/24/2034	Common Stock	4,659	\$ 0	4,659	I (3)	By Spouse (5)

Explanation of Responses:

- 1. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- 2. Restricted Stock Units awarded under the Ball Corporation Stock and Cash Incentive Plan.
- 3. The securities included herein represent only those securities that are required to be disclosed pursuant to Section 16(a) of the Securities Exchange Act of 1934 in connection with the specific transaction(s) reported herein. The reporting person is the beneficial owner of additional shares and/or derivative securities of the issuer that are not disclosed on this Form 4. For additional information regarding the reporting person's ownership of issuer securities, refer to Forms 4 previously filed by the reporting person and the Compensation Discussion & Analysis section of the issuer's 2023 Proxy Statement.
- 4. Non-Qualified Stock Options Granted under the Ball Corporation Stock and Cash Incentive Plan.
- 5. The reporting person expressly disclaims beneficial ownership of these securities.

Signatures

/s/ Hannah Lim-Johnson, attorney-in-fact for Mr. Fisher

01/26/2024

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

KNOW ALL BY THESE PRESENTS, that the undersigned hereby constitutes and appoints Hannah Lim-Johnson, Katherine B. Kimball, and Joe Foster, and each of them acting or signing alone, as his true and lawful attorney-in-fact to:

- (1) execute for and on behalf of the undersigned, in the undersigned's capacity as a director of Ball Corporation (the "Company"), any and all reports required to be filed by the undersigned in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; and
- (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 or other required report and timely file such report with the United States Securities and Exchange Commission and any stock exchange or similar authority; and
- (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-infact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned, pursuant to this Power of Attorney, shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in his or her discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform each and every act and thing whatsoever requisite, necessary, and proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the

undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or his or her substitute or substitutes, shall lawfully do or cause to be done by virtue of this Power of Attorney and the rights and powers herein granted. The undersigned acknowledges that no such attorney-in-fact, in serving in such capacity at the request of the undersigned, is hereby assuming, nor is the Company hereby assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file reports under Section 16 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of the 20 day of September, 2023.

Signature /s/ Daniel William Fisher Printed Name: Daniel William Fisher