

SECURITIES AND EXCHANGE COMMISSION

FORM 13F-HR

Initial quarterly Form 13F holdings report filed by institutional managers

Filing Date: **2001-08-03** | Period of Report: **2001-06-30**  
SEC Accession No. **0001056823-01-500004**

([HTML Version](#) on [secdatabase.com](#))

FILER

**HORIZON ASSET MANAGEMENT INC/NY**

CIK: **1056823** | IRS No.: **133776334** | Fiscal Year End: **1231**  
Type: **13F-HR** | Act: **34** | File No.: **028-06986** | Film No.: **01696965**

Mailing Address  
470 PARK AVENUE SOUTH  
4TH FLOOR SOUTH  
NEW YORK NY 10016

Business Address  
470 PARK AVENUE SOUTH  
4TH FLOOR SOUTH  
NEW YORK NY 10016  
646-495-7347

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Form 13F

Form 13F COVER PAGE

Report for the Quarter ended: June 30, 2001

Not an amendment.

Institutional Investment Manager Filing this Report:

Name: Horizon Asset Management, Inc.

Address: 342 Madison Avenue

Suite 702

New York, N.Y. 10173

13F File Number: 28-6986

The institutional investment manager filing this report; and person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person signing this Report on Behalf of Reporting Manager:

Name: Andrew M. Fishman

Title: Director of Compliance and General Counsel

Phone: 212-499-7739

Signature, Place, and Date of Signing:

Andrew M. Fishman

New York, New York

August 2, 2001

Report Type: 13F HOLDINGS REPORT

List of Other Managers Reporting for this Manager: none

I AM SIGNING THIS REPORT AS REQUIRED BY THE SECURITIES EXCHANGE ACT OF 1934.

Report Summary:

Number of Other Included Managers: none

Form 13F Information Table Entry Total: 148

Form Information Table Value Total: \$224,505,656

NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE
SHARES INV. DISCRET. MARKET SHARES DISC			
ABBOTT LABORATORIES - common-			

002824100-  
5420647  
112930  
Sole  
ACCENT COLOR SCIENCES INC -  
common-  
004305108-  
4148  
276500  
Sole  
ACCESS PHARMACEUTICALS INC-  
common-  
00431M209  
59495  
13677  
sole  
ALLEGHANY CORP DEL NEW-  
common-  
017175100  
2887472  
14224  
sole  
ALLIANCE CAP MGMT HLDGS LP-  
common-  
01855A101  
429786  
8100  
sole  
AMBASE CORP-  
Common-  
023164106  
114908  
124900  
sole  
AMERICAN EXPRESS CO -  
common-  
025816109-  
4439729  
114426  
Sole  
AMERICAN HOME PRODS CORP-  
common-  
026609107-  
8188858  
139386  
sole  
AMER INTL GROUP INC-  
common-  
026874107-  
9640304  
113402  
Sole  
ANADARKO PETROLEUM CORP-  
common-  
032511107  
213753  
3965  
sole  
APPLIED MATERIALS-  
common-

038222105-  
746320  
15200  
Sole  
ARCH CAPITAL GROUP LTD-  
common-  
G0450A105  
174755  
10300  
sole  
AT & T CORP LIBERTY MEDIA GROUP -  
common-  
001957208-  
1540905  
88102  
Sole  
AUTOMATIC DATA PROCESSING INC -  
common-  
053015103-  
548290  
11032  
Sole  
AVERY DENNISON CORP-  
common-  
053611109  
290985  
5700  
sole  
BANK AMER CORP -  
common-  
060505104-  
375727  
6259  
Sole  
BANK OF NEW YORK INC-  
common-  
064057102-  
1669614  
34784  
Sole  
BANK ONE CORP-  
common-  
06423A103  
277844  
7761  
sole  
BARRA INC-  
common-  
068313105-  
7019686  
179083  
Sole  
BECTON DICKINSON & CO-  
common-  
075887109-  
386532  
10800  
Sole  
BERKSHIRE HATHAWAY INC DEL CL B -  
common-

084670207-  
15414600  
6702  
Sole  
BKF CAPITAL GROUP INC-  
common-  
05548G102  
564068  
16990  
sole  
BOEING CO -  
common-  
097023105-  
589249  
10598  
Sole  
BP AMOCO P L C SPONSORED ADR-  
common-  
055622104-  
1459310  
29274  
Sole  
BRISTOL MYERS SQUIBB -  
common-  
110122108-  
4498816  
86019  
Sole  
CABLEVISION SYS CORP-  
common-  
12686C109  
804844  
13758  
sole  
CABLEVISION SYS RAINBOW MEDIA GROUP-  
common-  
12686C844  
254723  
9873  
sole  
CACI INTL INC CL A-  
common-  
127190304  
336050  
7150  
sole  
CADBURY SCHWEPPES PLC ADR 10 ORD-  
common-  
127209302  
201280  
7400  
sole  
CAREMARK RX INC-  
common-  
141705103  
326878  
19871  
sole  
CENDANT CORP  
Common

151313103  
1214383  
62276  
sole  
CHEVRON CORP-  
common-  
166751107-  
569788  
6296  
Sole  
CHIRON CORP-  
common-  
170040109  
226950  
4450  
sole  
CHOICEPOINT INC -  
common-  
170388102-  
688569  
16775  
Sole  
CIGNA CORP-  
common-  
125509109  
287460  
3000  
sole  
CINCINNATI FINANCIAL CORP-  
common-  
172062101-  
1009983  
25569  
sole  
CISCO SYSTEMS INC-  
common-  
17275R102  
367640  
20200  
sole  
CITIGROUP INC.-  
common-  
172967101-  
1020499  
19313  
Sole  
CLARK/BARDES HOLDINGS INC-  
common-  
180668105  
597721  
26735  
sole  
COCA COLA CO -  
common-  
191216100-  
279675  
6215  
Sole  
COLGATE PALMOLIVE CO-  
common-

194162103-  
285866  
4846  
Sole  
COMMONWEALTH TELEPHONE ENTERPRISE -  
common-  
203349105  
494663  
11708  
sole  
COMPUCOM SYSTEMS INC-  
common-  
204780100  
59400  
22000  
sole  
CORNING INC -  
common-  
219350105-  
507985  
30400  
Sole  
DANAHER CORP -  
common-  
235851102-  
459200  
8200  
Sole  
DELL COMPUTER CORP-  
common-  
247025109  
415665  
15900  
sole  
DELUXE CORP-  
common-  
248019101  
731315  
25305  
sole  
DISNEY WALT CO -  
common-  
254687106-  
4032550  
139583  
Sole  
DU PONT DE NEMOURS EI CO-  
common-  
263534109-  
253598  
5257  
Sole  
DUFF & PHELPS UTIL & CORPORATE BD TR INC-  
common  
26432K108  
699153  
50700  
sole  
ELECTRONIC DATA SYS CORP NEW-  
Common-

285661104  
582813  
9325  
sole  
EQUIFAX INC-  
common-  
294429105-  
695204  
18953  
Sole  
EQUITY OFFICE PROPERTIES TR-  
common-  
294741103  
474007  
14986  
sole  
EXCEL LEGACY CORP-  
common-  
300665106  
57753  
27900  
sole  
EXXON MOBIL CORP-  
common-  
30231G102  
3495485  
40017  
sole  
FEDERAL AGRIC MTG CORP CL C-  
common-  
313148306  
5434458  
169933  
Sole  
FEDERAL NATIONAL MTG ASSOC-  
common-  
313586109  
229581  
2700  
sole  
FIFTH THIRD BANCORP -  
common-  
316773100-  
247346  
4119  
Sole  
FINOVA GROUP INC-  
common-  
317928109  
139176  
37615  
sole  
FIRST UNION CORP-  
common-  
337358105-  
313761  
8980  
Sole  
FOREST CITY ENTERPRISES CL A -  
common-



345550107-  
661375  
12025  
Sole  
FRANCO NEV MNG LTD-  
common-  
351860101  
272316  
22100  
sole  
FREDDIE MAC USDO.21-  
common-  
313400301  
1997676  
29356  
sole  
GANNETT CO INC  
Common-  
364730101  
220420  
3800  
Sole  
GENERAL ELECTRIC CO-  
common-  
369604103-  
2561623  
52546  
Sole  
GENERAL MILLS INC -  
common-  
370334104-  
357245  
8160  
Sole  
GENUINE PARTS CO-  
common-  
372460105  
528885  
16790  
sole  
GIGA INFORMATION GROUP INC-  
common-  
37517M109  
40690  
20250  
sole  
GROUPE BRUXELLES LAMBERT SA-  
common-  
B4741139  
8589258  
154420  
sole  
GUIDANT CORP-  
common-  
401698105  
386064  
10724  
sole  
HABER INC-  
common-

404450108  
2057  
37405  
sole  
HARRIS CORP DEL-  
common-  
413875105-  
525153  
19300  
Sole  
HEINZ H J CO-  
common-  
423074103  
484955  
11860  
sole  
HONEYWELL INTL INC-  
common-  
438516106  
401936  
11487  
sole  
IMS HEALTH INC-  
common-  
449934108-  
526489  
18473  
Sole  
INTEL CORP-  
common-  
458140100  
236925  
8100  
sole  
INTL BUSINESS MACHINES CORP-  
common-  
459200101  
2081686  
18422  
sole  
INTERNATIONAL FLAVORS & FRAGRANCES INC-  
common-  
459506101  
6607558  
262935  
sole  
JOHNSON & JOHNSON -  
common-  
478160104-  
6787200  
135744  
Sole  
KINDER MORGAN ENERGY PARTNERS LP-  
common-  
494550106  
357552  
5200  
sole  
KONINKLIJKE PHILIPS ELECTRS N V  
SPONSORED ADR-

common-  
500472303  
335793  
12705  
Sole  
KROLL O'GARA CO-  
common-  
501050108  
1189359  
125725  
sole  
LSI LOGIC INC-  
common-  
502161102  
297041  
15800  
sole  
LEHMAN BROS HLDGS CORP-  
common-  
524908100  
463440  
6000  
sole  
LEUCADIA NATIONAL CORP-  
common-  
527288104  
2262576  
69725  
sole  
LIBERTY LIVEWIRE CORP CL A-  
common-  
530709104  
96294  
11005  
sole  
LILLY ELI & CO-  
common-  
532457108  
574832  
7768  
sole  
LORAL SPACE & COMM PFD CVT SER 6%-  
preferred-  
G56462149  
180600  
12900  
sole  
LVMH MOET HENNESSY LOUIS VUITTON SP  
ADR-  
common-  
502441207-  
301350  
29400  
sole  
LYNCH INTERACTIVE CORP-  
common-  
551146103  
785106  
12460  
sole

M & T BANK CORP-

common-  
55261F104  
2840310  
37620  
sole

MEDALLION FINL CORP-

common-  
583928106  
447361  
43645  
sole

MERCK & CO INC-

common-  
589331107-  
6624274  
103650  
Sole

METROMEDIA INTL GROUP INC-

common-  
591695101  
50008  
15200  
sole

MICROSOFT CORP-

common-  
594918104  
875760  
12000  
sole

MIDDLESEX WATER CO-

common-  
596680108  
210862  
6200  
sole

MINNESOTA MINING & MANUFACTURING CO

Common-  
604059105  
325185  
2850  
Sole

MOTOROLA INC -

common-  
620076109-  
630479  
38072  
Sole

NEW PLAN EXCEL RLTY TR INC-

common-  
648053106  
307913  
20125  
sole

NEW YORK TIMES CO CL A -

common-  
650111107  
205800  
4900  
sole

NORFOLK SOUTHERN CRP

Common-

655844108

362378

17464

Sole

NUCENTRIX BROADBAND NETWORKS INC-

common-

670198100

84669

10925

sole

NUVEEN PERFORMANCE PLUS MUN FD INC-

common-

67062P108

619797

43895

sole

OMNICOM GROUP-

common-

681919106-

258000

3000

Sole

PALL CORP -

common-

696429307-

1355975

57627

Sole

PEPSICO INC-

common-

713448108

839888

19002

sole

PFIZER INC-

common-

717081103-

6800976

169812

Sole

PHARMACIA CORPORATION-

common-

71713U102

608654

13246

sole

PHILIP MORRIS COS -

common-

718154107-

1379994

27192

Sole

PICO HOLDINGS INC-

Common-

693366205

445106

30445

sole

PIER 1 INC-

common-

720279108-

573701

49887

Sole

PIONEER INTEREST SHS-

Common-

723703104

734175

62750

Sole

POWER CORP OF CANADA-

common-

739239101

4839611

212355

sole

PRENTISS PPTYS-

common-

740706106-

289300

11000

Sole

PRIME RETAIL INC-

common-

741570105-

4050

15000

Sole

RAYTHEON CO CL B-

Common-

755111408

365063

13750

Sole

RCN CORP -

common-

749361101-

353106

64318

Sole

REYNOLDS R J TOB HLDGS INC-

common-

76182K105

351188

6432

sole

ROYAL DUTCH PETROLEUM CO -

common-

780257804-

1372142

23548

Sole

SCHERING PLOUGH CORP -

common-

806605101-

1203544

33210

Sole

SCHLUMBERGER LTD-  
Common-  
806857108  
476693  
9054  
Sole  
SCHWAB CHARLES CORP NEW-  
common-  
808513105-  
3631163  
230550  
Sole  
SCRIPPS CO (E.W.) CL A-  
common-  
811054204  
631695  
9155  
sole  
SERVICEMASTER CO-  
common-  
81760N109-  
8870039  
739170  
Sole  
SOUTHERN PAC PETE N L ADR NEW-  
common-  
843581307  
261069  
277733  
sole  
SUNSHINE PCS CORP CL A-  
common-  
86783P106  
22837  
10135  
sole  
TRW INC-  
common-  
872649108  
836400  
20400  
sole  
TELEPHONE & DATA SYS INC -  
common-  
879433100-  
804750  
7400  
Sole  
TEXACO INC-  
common-  
881694103-  
748792  
11233  
sole  
TEXAS INSTRUMENTS INC-  
common-  
882508104  
312300  
9800  
sole

TREMONT ADVISERS INC CL B-  
common-  
894729201  
603000  
30150  
sole  
UNION PACIFIC CORP-  
common-  
907818108  
488699  
9300  
sole  
USA EDUCATIONAL INC-  
common-  
90390U102  
846916  
11602  
sole  
UST INC-  
common-  
902911106  
402020  
13930  
sole  
UNITED GLOBAL COM CL A -  
common-  
913247508  
183294  
21190  
sole  
US OFFICE PRODS CO NEW-  
Common-  
912325305  
529  
52871  
sole  
VERIZON COMMUNICATIONS-  
common-  
92343V104  
577534  
10795  
sole  
VIACOM INC CL B-  
common-  
925524308  
295069  
5702  
sole  
VIMPEL COMMUNICATIONS SON ADR-  
common-  
68370R109  
191587  
11675  
sole  
VLASIC FOODS INTL INC-  
Common-  
928559103  
690  
56300  
sole



VODAFONE GROUP PLC NEW SPONSORED ADR-

Common-  
92857W100  
224506  
10045  
sole

VORNADO RLTY TR-

common-  
929042109  
385910  
9885  
sole

WASHINGTON POST CO CL B -

common-  
939640108-  
11610427  
20227  
Sole

WATER PIK TECHNOLOGIES INC-

Common-  
94113U100  
132961  
15735  
sole

WD 40 CO-

common-  
929236107  
281880  
10800  
sole

WELLS FARGO & CO NEW-

common-  
949746101-  
705736  
15200  
Sole

WESCO FINANCIAL CORP COM-

common-  
950817106-  
5651987  
16246  
Sole

WHITE MTNS INS GROUP LTD-

common-  
G9618E107  
3189850  
8478  
sole

TOTAL-224505656