

SECURITIES AND EXCHANGE COMMISSION

FORM NT 10-K

Notice under Rule 12b25 of inability to timely file all or part of a Form 10-K, 10-KSB, or 10KT

Filing Date: **1996-12-30** | Period of Report: **1996-09-30**
SEC Accession No. **0000064463-96-000017**

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FILER

MECHANICAL TECHNOLOGY INC

CIK: **64463** | IRS No.: **141462255** | State of Incorporation: **NY** | Fiscal Year End: **0930**
Type: **NT 10-K** | Act: **34** | File No.: **000-06890** | Film No.: **96687573**
SIC: **3829** Measuring & controlling devices, nec

Mailing Address
968 ALBANY SHAKER RD
LATHAM NY 12110

Business Address
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LATHAM NY 12110
5187852211

SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 12b-25

Notification of Late Filing

Commission File Number 0-6890

(Check One (X))

X Form 10-K and Form 10-KSB ___ Form 11-K
___ Form 20-F ___ Form 10-Q and Form 10-QSB ___ Form N-SAR

For Period ended September 30, 1996

___ Transition Report on Form 10-K and Form 10-KSB
___ Transition Report on Form 20-F
___ Transition Report on Form 11-K
___ Transition Report on Form 10-Q and Form 10-QSB
___ Transition Report on Form N-SAR

For the transition period ended _____

Read Attached Instruction Sheet Before Preparing Form. Please Print or Type.
Nothing in this form shall be construed to imply that the Commission has
verified any information contained herein.

If the notification relates to a portion of the filing checked above,
identify the item(s) to which the notification relates:

Item 8 and portions of Items 6 and 7

PART I
REGISTRANT INFORMATION

Full name of registrant MECHANICAL TECHNOLOGY INCORPORATED

Former name if applicable _____

Address of principal executive office (Street and Number) _____

968 ALBANY-SHAKER ROAD

City, State and Zip Code

LATHAM, NEW YORK 12210

PART II

RULE 12b-25 (b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check (X) appropriate box.)

X (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;

X (b) The subject annual report, semi-annual report, transition report on Form 10-K, 10-KSB, 20-F, 11-K or Form N-SAR, or portion thereof will be filed on or before the 15th calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, 10-QSB, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date; and

___ (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III NARRATIVE

State below in reasonable detail the reasons why Forms 10-K, 10-KSB, 11-K, 20-F, 10-Q, 10-QSB, N-SAR or the transition report portion thereof could not be filed within the prescribed time period. (Attach extra sheets if needed.)

Subsequent to fiscal year end and just prior to the due date of the Form 10-K Report, a development occurred in connection with a material obligation (which is due December 31, 1996) of the registrant, which development is expected to have a material effect on the financial condition of the registrant. Due to the timing and significance of the subsequent event, the registrant was unable to make the necessary revisions to the financial statements to be included in the Form 10-K Report to take account of this development, prior to the December 30, 1996 due date of the Report, without incurring unreasonable effort or expense.

PART IV OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification.

Stephen T. Wilson

(518) 785 - 2337

(Name)

(Area Code) (Telephone Number)

(2) Have all other periodic reports required under Section 13 or 15(d) or the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If the answer is no, identify report(s).

X Yes No

(3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes X No

If so: attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

MECHANICAL TECHNOLOGY INCORPORATED

(Name of Registrant as Specified in Charter)

Has caused this notification to be signed on its behalf by the undersigned thereunto duly authorized.

Date December 30, 1996

by _____
R. Wayne Diesel, CEO