### SECURITIES AND EXCHANGE COMMISSION

# FORM SC 13D/A

Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities [amend]

> Filing Date: 2005-05-02 SEC Accession No. 0001193125-05-090761

(HTML Version on secdatabase.com)

## SUBJECT COMPANY

### LEXICON GENETICS INC/TX

CIK:1062822| IRS No.: 760474169 | State of Incorp.:DE | Fiscal Year End: 1231

Type: SC 13D/A | Act: 34 | File No.: 005-60409 | Film No.: 05787810

SIC: 2835 In vitro & in vivo diagnostic substances

Mailing Address **PLACE** 

THE WOODLANDS TX 77381 THE WOODLANDS TX 77381

**Business Address** 8800 TECHNOLOGY FOREST 8800 TECHNOLOGY FOREST PLACE.

2818633000

**Business Address** 

### FILED BY

### **ESTATE OF GORDON A CAIN**

CIK:1222990| IRS No.: 000000000

Type: SC 13D/A

Mailing Address 8 GREENWAY PLAZA STE 702 GORDON CAIN & **HOUSTON TX 77046** 

**ASSOCIATES** 8 GREENWAY PLAZA STE 702 **HOUSTON TX 77046** 7138407896

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# **SCHEDULE 13D**

(Amendment No. 3)

**Under the Securities Exchange Act of 1934** 

LEXICON GENETICS INCORPORATED
(Name of Issuer)
Common Stock \$0.001 Par Value Per Share
(Title of Class of Securities)
528872 10 4
(CUSIP Number)
Mr. John Sullivan
Gordon Cain and Associates
8 Greenway Plaza, Suite 702
Houston, Texas 77046
Telephone: (713) 840-7896
(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)
January 12, 2005
(Date of Event which Requires Filing of this Statement)
If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this Schedule because of Rule 13d-1(e), Rule 13d-1(f) or Rule 13d-1(g), check the following box:

Copyright © 2012 <a href="www.secdatabase.com">www.secdatabase.com</a>. All Rights Reserved. Please Consider the Environment Before Printing This Document

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the

Act.

CUSIP No.: 528872 10 4		
1 Name of Repor	rting Person	
Estate	e of Gordon A. Cain (01-6222478)	
2 Check the App	propriate Box If A Member of a Group	
(a) □	•	
(b) □		
3 SEC Use Only		
4 Source of Fund	ds	
00		
5 Check Box If I	Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e)	
6 Citizenship or	Place of Organization	
Texas	3	
7	Sole Voting Power	
	0	
8	Shared Voting Power	
	0	
9	Sole Dispositive Power	
	0	
10	Shared Dispositive Power	
	0	
11 Aggregate Am	ount Beneficially Owned by Reporting Person	
0		
12 Check Box If t	he Aggregate Amount in Row (11) Excludes Certain Shares	
13 Percent of Class	ss Represented by Amount in Row (11)	
0.0%		
14 Type of Report	ting Person	
OO		

CUSIP No.: 528872 10 4			
1	Name of Repor	ting Person	
	Mary 1	H. Cain	
2		ropriate Box If A Member of a Group	
	(a) □		
3	(b) □ <b>SEC Use Only</b>		
4	Source of Fund	s	
	OO		
5	Check Box If D	isclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e)	
6	Citizenship or I	Place of Organization	
	United	1 States of America	
	7	Sole Voting Power	
		2,937,000*	
	8	Shared Voting Power	
		550,000*	
	9	Sole Dispositive Power	
		2,937,000*	
	10	Shared Dispositive Power	
		550,000*	
11	Aggregate Amo	unt Beneficially Owned by Reporting Person	
	3,487,	000*	
12	Check Box If th	e Aggregate Amount in Row (11) Excludes Certain Shares	
13	Percent of Class	s Represented by Amount in Row (11)	
	5.5%		
14	Type of Report	ing Person	
	IN		

550,000 of these shares are owned by the Gordon and Mary Cain Foundation, of which Mary H. Cain is the Chairman.

<sup>\* 1,468,500</sup> of these shares are owned by the Mary H. Cain Marital Trust, of which Mary H. Cain is the trustee and beneficiary.

CU	SIP No.: 52887	72 10 4	
1	Name of Repo	rting Person	
	Jame	s D. Weaver	
2	Check the App	propriate Box If A Member of a Group	
	(a) □		
	(b) 🗆		
3	SEC Use Only		
4	Source of Fun	ds	
	00		
5	Check Box If I	Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e)	
6	Citizenship or	Place of Organization	
	Unite	ed States of America	
	7	7 Sole Voting Power	
	_	15,000	
	8	Shared Voting Power	
	_	550,000*	
	9	9 Sole Dispositive Power	
		15,000	
	10	Shared Dispositive Power	
		550,000*	
11	Aggregate Am	ount Beneficially Owned by Reporting Person	
	565,0	000*	
12	Check Box If t	the Aggregate Amount in Row (11) Excludes Certain Shares	
13	Percent of Cla	ss Represented by Amount in Row (11)	
	0.9%		
14	Type of Repor	rting Person	
	IN		

<sup>\* 550,000</sup> of these shares are owned by the Gordon and Mary Cain Foundation, of which James D. Weaver is the President.

CU	USIP No.: 528872	10 4	
1	Name of Report	ing Person	
	Willian	m A. McMinn	
2	Check the Appr	opriate Box If A Member of a Group	
	(a) 🗆		
	(b) 🗆		
3	SEC Use Only		
4	Source of Funds	S	
	00		
5	Check Box If Di	isclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e)	
6	Citizenship or P	Place of Organization	
	United	States of America	
	7	Sole Voting Power	
		1,059,091	
	8	Shared Voting Power	
		0	
	9	Sole Dispositive Power	
		1,059,091	
	10	Shared Dispositive Power	
		0	
11	Aggregate Amo	unt Beneficially Owned by Reporting Person	
	1,059,0		
12	Check Box If th	e Aggregate Amount in Row (11) Excludes Certain Shares	
13	Percent of Class	s Represented by Amount in Row (11)	
	1.7%		
14	Type of Reporti	ng Person	
	IN		

#### STATEMENT ON SCHEDULE 13D

This Amendment No. 3 amends and supplements the Statement on Schedule 13D (the "Initial Statement") filed by the Estate of Gordon A. Cain, Mary H. Cain, James D. Weaver and William A. McMinn, on March 19, 2003, as amended by Amendment No. 1 filed on July 22, 2003 and Amendment No. 2 filed on January 2, 2004 (collectively, the "Amendments" and, with the Initial Statement, the "Statement"), relating to the shares of Common Stock, par value \$0.001 per share (the "Common Stock") of Lexicon Genetics Incorporated, a Delaware corporation (the "Issuer"). Capitalized terms used but not defined herein shall have the meanings provided therefore in the Statement.

The Initial Statement is hereby supplemented and amended to the extent set forth in this Amendment.

### Item 4. Purpose of Transaction

Aside from the transaction described in Item 5(c), Ms. Cain has no plans or proposals with respect to the Shares which relate to or that would result in any of the actions or transactions specified in clauses (a) through (j) of Item 4 of Schedule 13D.

#### Item 5. Interest in Securities of the Issuer

- (c) On September 30, 2004, the Estate and The Gordon and Mary Cain Foundation, sold 850,000 shares and 150,000 shares respectively in the open market at the price of \$6.25 per share. On January 12, 2005, the Estate distributed 1,468,500 shares to each of the Mary H. Cain Marital Trust and to Mary H. Cain, individually. These transfers resulted in the termination of the irrevocable voting proxy dated May 7, 1998 between Gordon A. Cain and William A. McMinn. On April 22, 2004, stock options held by the Estate, which were exercisable for 8,500 shares, expired.
- (d) On January 12, 2005, each of the Estate, Mr. Weaver and Mr. McMinn ceased to be the beneficial owner of more than five percent of the Common Stock of the Issuer.

### **SIGNATURE**

set fo	After reasonable inquiry and to the best of the undersorth in this Statement is true, complete and correct.	signed's knowledge and belief, the undersigned hereby certifies that the information
Date	d: April 29, 2005	
ESTA	ATE OF GORDON A. CAIN	
By:	/s/ Mary H. Cain	
	Mary H. Cain	
	Co-Executor	
By:	/s/ James D. Weaver	
	James D. Weaver	
	Co-Executor	
	/s/ Mary H. Cain	
	Mary H. Cain	
	/s/ James D. Weaver	
	James D. Weaver	
	/s/ WILLIAM A. MCMINN	

William A. McMinn

Each of the undersigned hereby agrees to be included in the filing of the Amendment No. 3 to the Schedule 13D dated April 29, 2005 with respect to the issued and outstanding Common Stock of Lexicon Genetics Incorporated beneficially owned by each of the undersigned, respectively.		
Dated	d: April 29, 2005	
ESTA	ATE OF GORDON A. CAIN	
By:	/s/ MARY H. CAIN	-
	Mary H. Cain	
	Co-Executor	
By:	/s/ JAMES D. WEAVER	-
	James D. Weaver	
	Co-Executor	
	/s/ Mary H. Cain	-
	Mary H. Cain	
	/s/ JAMES D. WEAVER	-
	James D. Weaver	
	/s/ WILLIAM A. MCMINN	_
	William A. McMinn	