## SECURITIES AND EXCHANGE COMMISSION

# FORM NT 10-Q

Notice under Rule 12b25 of inability to timely file all or part of a form 10-Q or 10-QSB

Filing Date: 1996-11-14 | Period of Report: 1996-09-30 SEC Accession No. 0000950146-96-002079

(HTML Version on secdatabase.com)

## **FILER**

## **PEGASYSTEMS INC**

CIK:1013857| Fiscal Year End: 1231

Type: NT 10-Q | Act: 34 | File No.: 001-11859 | Film No.: 96666751

SIC: 7374 Computer processing & data preparation

Mailing Address 101 MAIN ST

**Business Address** 101 MAIN ST CAMBRIDGE MA 02142-1590 CAMBRIDGE MA 02142-1590 6173749600

## UNITED STATES

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 12b-25

## NOTIFICATION OF LATE FILING

(Check One): [ ] Form 10-K [ ] Form 20-F [ ] Form 11-K [X] Form 10-Q [ ] Form N-SAR	
For Period Ended: September 30, 1996	
[ ] Transition Report on Form 10-K [ ] Transition Report on Form 20-F [ ] Transition Report on Form 11-K [ ] Transition Report on Form 10-Q [ ] Transition Report on Form N-SAR For the Transition Period Ended:	
Read Instruction (on back page) Before Preparing Form. Please Print or Type.  Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.	
If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:	
PART 1REGISTRANT INFORMATION Pegasystems Inc.	
Full Name of Registrant	
Former Name if Applicable	

\_\_\_\_\_\_

Address of Principal Executive Office (Street and Number) Cambridge, MA 02142

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City, State and Zip Code

PART II - RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed. (Check box if appropriate)

- (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- (b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, 11-K, Form N-SAR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report of transition report on Form 10-Q, or portion thereof will be filed on or before the fifth calendar day following the prescribed due date; and
  - (c) The accountant's statement or other exhibit required by Rule 12b-25(c) has been attached if applicable.

PART III - NARRATIVE

State below in reasonable detail the reasons why the Form 10-K, 11-K, 10-Q, N-SAR, or the transition report or portion thereof, could not be filed within the prescribed time period. (Attach Extra Sheets if Needed)

See attached sheet.

(Attach Extra Sheets if Needed)

PART IV - OTHER INFORMATION

(1) Name and telephone number of person to contact in regard to this notification

Ira Vishner, Vice President of Corporate Services and Treasurer 617

374-9600 x189

(Name) (Area Code) (Telephone Number)

(2)	Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months (or for such shorter) period that the registrant was required to file such reports) been filed? If answer is no, identify report(s).
	[X] Yes [ ] No
(3)	Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?
	[X] Yes [ ] No
	If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.
	See attached sheet.
=====	
PegaSystems Inc.	
	(Name of Registrant as Specified in Charter)
	aused this notification to be signed on its behalf by the undersigned nto duly authorized.
Date	November 13, 1996 By /s/ Ira Vishner
_	Ira Vishner, Vice President of Corporate Services and Treasurer
<pre>by an signi state (othe</pre>	UCTION: The form may be signed by an executive officer of the registrant or y other duly authorized representative. The name and title of the person ng the form shall be typed or printed beneath the signature. If the ment is signed on behalf of the registrant by an authorized representative r than an executive officer), evidence of the representative's authority to on behalf of the registrant shall be filed with the form.
	ATTENTION
Inten	tional misstatements or omissions of fact constitute Federal Criminal tions (See 18 U.S.C. 1001).

#### GENERAL INSTRUCTIONS

- 1. This form is required by Rule 12b-25 (17 CFR 240.12b-25) of the General Rules and Regulations under the Securities Exchange Act of 1934.
- 2. One signed original and four conformed copies of this form and amendments thereto must be completed and filed with the Securities and Exchange Commission, Washington, D.C. 20549, in accordance with Rule 0-3 of the General Rules and Regulations under the Act. The information contained in or filed with the form will be made a matter of public record in the Commission files.
- 3. A manually signed copy of the form and amendments thereto shall be filed with each national securities exchange on which any class of securities of the registrant is registered.
- 4. Amendments to the notifications must also be filed on form 12b-25 but need not restate information that has been correctly furnished. The form shall be clearly identified as an amendment notification.

#### Part III

The Company must delay the filing of its Quarterly Report on Form 10-Q for the period ended September 30, 1996 beyond the prescribed time period in order to permit the Company's independent auditors to review the Company's Financial Statements for the period.

#### Part IV

(3) The Company estimates that earnings for the quarter ended September 30, 1996 were approximately \$2.2 million as compared to \$714,000 for the same period in 1995 and that revenues for the quarter ended September 30, 1996 were approximately \$9.6 million as compared to \$5.7 million for the same period in 1995.