SECURITIES AND EXCHANGE COMMISSION

# FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2024-12-17 | Period of Report: 2024-12-15 SEC Accession No. 0001127602-24-029650

(HTML Version on secdatabase.com)

# **REPORTING OWNER**

### Fisher Daniel William

CIK:1693093 Type: 4 | Act: 34 | File No.: 001-07349 | Film No.: 241557143

## **ISSUER**

### **BALL Corp**

CIK:9389| IRS No.: 350160610 | State of Incorp.:IN | Fiscal Year End: 1231 SIC: 3411 Metal cans

Mailing Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021

Business Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021 3034695511

2526 SPRUCE MEADOWS

BROOMFIELD CO 80023

Mailing Address

DRIVE

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres Fisher Daniel V		on <sup>*</sup> _	2. Issuer Name <b>and</b> Ticker or Trading Symbol BALL Corp [BALL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/15/2024	XOfficer (give titleOther (specify below) Chairman & C.E.O.				
9200 W. 108TH C	CIRCLE							
WESTMINSTER	(Street) , CO 80021		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) Form Filed by One Reporting Person Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)	-					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)		
Common Stock	12/15/2024		M		2,100	A	\$58.24	120,143	D		
Common Stock	12/15/2024		<u>F</u>		916 <sup>(<u>1</u>)</sup>	D	\$58.24	119,227	D		
Common Stock	12/15/2024		M		900	A	\$58.24	10,146	I	Held by Reporting Person's Spouse ( <u>2</u> )	
Common Stock	12/15/2024		<u>F</u>		258 <sup>(1)</sup>	D	\$58.24	9,888	I	Held by Reporting Person's Spouse ( <u>2</u> )	
401K								2,231.9591	Ι	401(k) Plan of Reporting Person ( <u>3</u> )	
401K								1,297.5757	Ι	401(k) Plan of Reporting Person's Spouse (2) (3)	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3.	3A.	4.	5. Number	6. Date Exercisable	7. Title and Amount	8. Price	9. Number of	10.	11. Nature
Derivative	Conversion	Transaction	Deemed	Transaction	of	and Expiration Date	of Securities	of	Derivative	Ownership	of Indirect
	or Exercise		Execution		Derivative	(Month/Day/Year)	Underlying	Derivative	Securities	Form of	Beneficial

Security (Instr. 3)	Price of Derivative Security	Date (Month/ Day/Year)	Date, if any (Month/ Day/ Year)	Code (Instr. 8	3)	Acqu (A) o Disp	r osed of Instr. 3,					Security (Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	( <u>4</u> )	12/15/2024		M			2,100	( <u>5</u> )	( <u>5</u> )	Common Stock	2,100	\$ 0	144,840	D	
Restricted Stock Units	( <u>6</u> ) (Z)	12/15/2024		M			900	( <u>8</u> )	( <u>8</u> )	Common Stock	900	\$ 0	5,964	Ι	Held by Reporting Person's Spouse (2)

#### **Explanation of Responses:**

1. Shares deemed surrendered in payment of tax liability resulting from vesting of restricted stock units.

2. The reporting person expressly disclaims beneficial ownership of these securities.

- 3. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- 4. Convert without costs to shares of common stock on a one for one basis.
- 5. The restricted stock units will cliff lapse after four years from the restricted stock unit grant date. The lapsing restrictions may be accelerated by meeting and maintaining the reporting person's stock ownership guidelines. If the stock ownership guidelines are met by the second anniversary of the grant date and are maintained through the accelerated vesting period, then30% of the restriction will lapse on or immediately following the second anniversary of the grant date, 30% of the restriction will lapse on or immediately following the fourth anniversary of the grant date. Vested shares will be delivered to the reporting person in accordance with the aforementioned terms, or, if the shares are deferred, in accordance with the reporting person's deferral elections or the terms of the Program and/or the applicable Plan.
- 6. Convert without costs to shares of common stock on a one for one basis.
- 7. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- 8. Lapse of restricted stock units.

#### Signatures

/s/ Derek Redmond, attorney-in-fact for Mr. Fisher12/17/2024\*\* Signature of Reporting PersonDate

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays

a currently valid OMB Number.

# **EXHIBIT 24**

# **BALL Corp** Power of Attorney for Executing Forms 3, 4 and 5

Know all men by these presents, that the undersigned, director or officer, or both, of BALL Corp, hereby constitutes and appoints each of Derek R. Redmond, signing singly, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of BALL Corp (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(2)

take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being

(3) understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities of BALL Corp unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has executed this Power of Attorney as of this  $16^{\text{th}}$  day of September, 2024

/s/ Daniel W. Fisher

Daniel W. Fisher