## SECURITIES AND EXCHANGE COMMISSION

# **FORM D**

Official notice of an offering of securities that is made without registration under the Securities Act in reliance on an exemption provided by Regulation D and Section 4(6) under the Act.

Filing Date: **2012-06-22 SEC Accession No.** 0001552695-12-000001

(HTML Version on secdatabase.com)

### **FILER**

### PROGRESSIVE FINANCE HOLDINGS, LLC

CIK:1552695| IRS No.: 352447911 | State of Incorp.:DE | Fiscal Year End: 1231 Type: D | Act: 33 | File No.: 021-180153 | Film No.: 12920677

Mailing Address 11629 S. 700 E SUITE 250 DRAPER UT 84020 Business Address 11629 S. 700 E SUITE 250 DRAPER UT 84020 877-898-1970

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549 **FORM D** 

# **Notice of Exempt Offering of Securities**

OMB APPR	OVAL
OMB Number:	3235-0076
Expires: June 30, 2012	
Estimated average burden	
hours per response:	4.00

1. Issuer's Identity				
CIK (Filer ID Number)	Previous Name(s) 🗵	None I	Entity Type	
<u>0001552695</u>			□Corporation	
Name of Issuer			□ Limited Partnership	
PROGRESSIVE FINANCE HOLDINGS, LLC			Limited Liability Company	
Jurisdiction of Incorporation			☐ General Partnership	
Organization			□ Business Trust	
DELAWARE			□Other	
Year of Incorporation/Organ	ization			
□ Over Five Years Ago				
Within Last Five Years (S	pecify Year) 2012			
☐ Yet to Be Formed				
2. Principal Place of Busin	ess and Contact Information			
Name of Issuer				
PROGRESSIVE FINANCE	HOLDINGS, LLC			
Street Address 1		Street Address	2	
11629 S. 700 E		SUITE 250		
· ·	Province/Country	ZIP/Postal Cod		
DRAPER UTAH	1	84020	877-898-1970	
3. Related Persons				
Last Name	First Name		Middle Name	
Robinson	John		W	
Street Address 1	Street Address 2			
11629 S. 700 East	Suite 250			
City	State/Province/Country		ZIP/Postal Code	
Draper	UTAH		84020	
Relationship: 🗷 Executive	Officer 🗷 Director 🗆 Promoter			
Clarification of Response (if	Necessary)			
,	• ,			
Last Name	First Name		Middle Name	
Wilson	Brent		L	
Street Address 1	Street Address 2			
11629 S. 700 East	Suite 250			
City	State/Province/Country		ZIP/Postal Code	

84020 **UTAH** Draper Relationship: X Executive Officer X Director Promoter Clarification of Response (if Necessary) Last Name First Name Middle Name Curt Doman L Street Address 1 Street Address 2 11629 S. 700 East Suite 250 State/Province/Country ZIP/Postal Code City 84020 Draper **UTAH** Clarification of Response (if Necessary) Last Name First Name Middle Name F Trustey Joseph Street Address 1 Street Address 2 222 Berkeley Street 18th Floor ZIP/Postal Code City State/Province/Country 02116 **Boston MASSACHUSETTS** Relationship: ☐ Executive Officer ☑ Director ☐ Promoter Clarification of Response (if Necessary) Last Name First Name Middle Name Hamilton G Matthew Street Address 1 Street Address 2 222 Berkeley Street 18th Floor ZIP/Postal Code City State/Province/Country 02116 **Boston MASSACHUSETTS** Relationship: ☐ Executive Officer ▼ Director ☐ Promoter Clarification of Response (if Necessary) Last Name First Name Middle Name Dean Christopher Street Address 1 Street Address 2 222 Berkeley Street 18th Floor City State/Province/Country ZIP/Postal Code 02116 **Boston MASSACHUSETTS** Relationship: ☐ Executive Officer ☑ Director ☐ Promoter Clarification of Response (if Necessary)

4. I	ndustry Group			
	Agriculture Banking & Financial Servic  Commercial Banking Insurance Investing Investment Banking Pooled Investment Fund  Other Banking & Financial Services Business Services Energy Coal Mining Electric Utilities Energy Conservation Environmental Services Oil & Gas Other Energy	Health Care		
5 1	ssuer Size			
	venue Range	Aggregate Net Asset Value Range		
	No Revenues	□ No Aggregate Net Asset Value		
Ш	\$1 - \$1,000,000			
	\$1,000,001 - \$5,000,000			
	\$5,000,001 - \$25,000,000 \$25,000,001 - \$100,000,000			
	Over \$100,000,000			
	Decline to Disclose	<del>-</del>		
<u>X</u>		□ Decline to Disclose		
Ш	Not Applicable	□ Not Applicable		
6. F	Federal Exemption(s) and Exc	lusion(s) Claimed (select all that apply)		
	Rule 504(b)(1) (not (i), (ii) or (iii))			
	Rule 504 (b)(1)(i)	☑Rule 506		
	Rule 504 (b)(1)(ii)	□Securities Act Section 4(6)		
	Rule 504 (b)(1)(iii)	□Investment Company Act Section 3(c)		
_	( ) ( ) ( )	□Section 3(c)(1) □Section 3(c)(9)		
		□Section 3(c)(1) □Section 3(c)(3) □Section 3(c)(10)		
		□Section 3(c)(3) □Section 3(c)(11)		
	$\square Section 3(c)(3)  \square Section 3(c)(11)$ $\square Section 3(c)(4)  \square Section 3(c)(12)$			
	$\square Section 3(c)(4)  \square Section 3(c)(12)$ $\square Section 3(c)(5)  \square Section 3(c)(13)$			
	$\Box Section 3(c)(6)  \Box Section 3(c)(14)$			
		□Section 3(c)(7)		
7. 1	Type of Filing			

☑ New Notice Date of First Sale 2012-06-15  ☐ First	Sale Yet to Occur			
☐ Amendment				
8. Duration of Offering				
Does the Issuer intend this offering to last more than of	one year? ☐ Yes 🗷 🛚	No		
9. Type(s) of Securities Offered (select all that app	ly)			
☐ Pooled Investment Fund Interests		Equity		
☐ Tenant-in-Common Securities		□Debt		
☐ Mineral Property Securities		☐ Option, Warrant or Other Right to Acquire ☐ Another Security		
Security to be Acquired Upon Exercise of Option, William Right to Acquire Security	arrant or Other □ Other (describe)			
10. Business Combination Transaction				
Is this offering being made in connection with a busine acquisition or exchange offer?  Clarification of Response (if Necessary)	ess combination tran	saction, such as a mer	ger, ∡ Yes □ No	
11. Minimum Investment				
Minimum investment accepted from any outside inves	stor\$ 12,150 USD			
12. Sales Compensation				
Recipient	Recipient CRD No	umber □ None		
(Associated) Broker or Dealer ☐ None	(Associated) Brok Number	er or Dealer CRD	□None	
Street Address 1	Street Address 2			
City	State/Province/Co	ountry	ZIP/Postal Code	
State(s) of Solicitation (select all that apply) Check "All States" or check individual States	□ Foreign/non-U\$	5		
13. Offering and Sales Amounts				
Total Offering Amount \$ 95,000,000 USD or □ Inc	definite			
Total Amount Sold \$ 95,000,000 USD				
Total Remaining to be Sold \$ 0 USD or □ Inc	definite			
Clarification of Response (if Necessary)				
14 Investors				

inve	ect if securities in the offering have been or may be sold to persons who do not qualify as accredited estors, who such non-accredited investors who already have invested in the offering	
	, , , , , , , , , , , , , , , , , , ,	_
_	gardless of whether securities in the offering have been or may be sold to persons who do not qualify as redited investors, enter the total number of investors who already have invested in the offering:	7
15. Sales	Commissions & Finders' Fees Expenses	
	separately the amounts of sales commissions and finders' fees expenses, if any. If the amount of an expenditure own, provide an estimate and check the box next to the amount.	9
Sales Cor	mmissions \$ 0 USD	
Finders' F	Fees \$ 0 USD ☐ Estimate	
Clarificati	on of Response (if Necessary)	

#### 16. Use of Proceeds

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.

\$ 0 USD 🗷 Estimate

Clarification of Response (if Necessary)

Business combination proceeds are deliverable to exectuive officers and managers, but only in their capacities as equity holders.

#### **Signature and Submission**

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

### **Terms of Submission**

In submitting this notice, each Issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, the information furnished to offerees.
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the Issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against it in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that the Issuer is not disqualified from relying on any Regulation D exemption it has identified in Item 6 above for one of the reasons stated in Rule 505(b)(2)(iii).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
PROGRESSIVE FINANCE HOLDINGS,	/s/John W. Robinson	John W. Robinson	Chief Executive	2012-06-19
LLC	III	III	Officer	2012-00-19

# Persons who respond to the collection of information contained in this form are not required to respond

unless the form displays a currently valid OMB number.

\* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.