

# SECURITIES AND EXCHANGE COMMISSION

## FORM SC 13G

Schedule filed to report acquisition of beneficial ownership of 5% or more of a class of equity securities by passive investors and certain institutions

Filing Date: **1996-02-08**  
SEC Accession No. **0000950109-96-000622**

([HTML Version](#) on [secdatabase.com](#))

### SUBJECT COMPANY

#### ROSS STORES INC

CIK:**745732** | IRS No.: **941390387** | State of Incorporation: **DE** | Fiscal Year End: **0131**  
Type: **SC 13G** | Act: **34** | File No.: **005-37304** | Film No.: **96513286**  
SIC: **5651** Family clothing stores

Mailing Address  
8333 CENTRAL AVENUE  
NEWARK CA 94560-3433

Business Address  
8333 CENTRAL AVE  
NEWARK CA 94560-3433  
5105054841

### FILED BY

#### HANCOCK JOHN MUTUAL LIFE INSURANCE CO / MA

CIK:**917406** | IRS No.: **041414660** | State of Incorporation: **MA** | Fiscal Year End: **1231**  
Type: **SC 13G**

Mailing Address  
CORPORATE LAW DIVISION  
T-55  
P O BOX 111  
BOSTON MA 02117

Business Address  
CORPORATE LAW DIVISION  
T-55  
P O BOX 111  
BOSTON MA 02117  
6175726000

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

-----  
OMB APPROVAL  
OMB NUMBER 3235-0145  
EXPIRES: DECEMBER 31, 1997  
ESTIMATED AVERAGE BURDEN  
HOURS PER RESPONSE . . . 14.90  
-----

SCHEDULE 13G

UNDER THE SECURITIES EXCHANGE ACT OF 1934  
(AMENDMENT NO. \_\_\_)\*

Ross Stores, Inc.

-----  
(Name of Issuer)

Common Stock

-----  
(Title of Class of Securities)

778296103

-----  
(CUSIP Number)

Check the following box if a fee is being paid with this statement [X]. (A fee is not required only if the filing person: (1) has a previous statement on file reporting beneficial ownership of more than five percent of the class of securities described in Item 1; and (2) has filed no amendment subsequent thereto reporting beneficial ownership of five percent or less of such class.) (See Rule 13d-7).

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

1 NAME OF REPORTING PERSON  
S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

John Hancock Mutual Life Insurance Company  
I.R.S. No. 04-1414660

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* (a) [ ]  
(b) [ ]  
N/A

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Commonwealth of Massachusetts

5 SOLE VOTING POWER  
Number of Shares -0-

6 SHARED VOTING POWER  
Beneficially Owned by Each -0-

7 SOLE DISPOSITIVE POWER  
Reporting Person With -0-

8 SHARED DISPOSITIVE POWER  
-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

None, except through its indirect, wholly-owned subsidiaries, NM Capital Management, Inc., John Hancock Advisers, Inc. and Tucker Anthony Incorporated.

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*  
N/A

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

See line 9, above.

12 TYPE OF REPORTING PERSON\*

IC, BD, IA, HC

\*SEE INSTRUCTIONS BEFORE FILLING OUT!  
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CUSIP NO. 778296103

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1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

John Hancock Subsidiaries, Inc.  
I.R.S. No. 04-2687223

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a)

(b)

N/A

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware

5 SOLE VOTING POWER

Number of  
Shares

-0-

Beneficially  
Owned by  
Each

6 SHARED VOTING POWER

-0-

Reporting  
Person  
With

7 SOLE DISPOSITIVE POWER

-0-

8 SHARED DISPOSITIVE POWER

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

1,239,810: 1,176,710 through its indirect, wholly-owned subsidiary, NM

Capital Management, Inc., 55,000 through its indirect, wholly-owned subsidiary, John Hancock Advisers, Inc. and 8,100 through its indirect, wholly-owned subsidiary, Tucker Anthony Incorporated.

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

N/A

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

5.1% as stated in Line 9, above.

12 TYPE OF REPORTING PERSON\*

HC

\*SEE INSTRUCTIONS BEFORE FILLING OUT!  
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1 NAME OF REPORTING PERSON  
S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

John Hancock Asset Management  
I.R.S. No. 04-3279774

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\* (a) [ ]  
(b) [ ]

N/A

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Commonwealth of Massachusetts

5 SOLE VOTING POWER

Number of  
Shares

-0-

Beneficially Owned by Each 6 SHARED VOTING POWER

-0-

Reporting 7 SOLE DISPOSITIVE POWER

Person  
With

-0-

8 SHARED DISPOSITIVE POWER

-0-

9 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

1,231,710: 1,176,710 through its indirect, wholly-owned subsidiary, NM Capital Management, Inc. and 55,000 through its indirect, wholly-owned subsidiary, John Hancock Advisers, Inc.

10 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES\*

N/A

11 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9

5.1% as stated in line 9.

12 TYPE OF REPORTING PERSON\*

HC

\*SEE INSTRUCTIONS BEFORE FILLING OUT!  
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1 NAME OF REPORTING PERSON

S.S. OR I.R.S. IDENTIFICATION NO. OF ABOVE PERSON

The Berkeley Financial Group  
I.R.S. No. 04-3145626

2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

(a)

(b)

N/A

3 SEC USE ONLY

4 CITIZENSHIP OR PLACE OF ORGANIZATION

Commonwealth of Massachusetts

Number of Shares	5	SOLE VOTING POWER
		-0-
-----		
Beneficially Owned by Each	6	SHARED VOTING POWER
		-0-
-----		
Reporting Person With	7	SOLE DISPOSITIVE POWER
		-0-
-----		
	8	SHARED DISPOSITIVE POWER
		-0-
-----		
9	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON	
	1,231,710: 1,176,710 through its direct, wholly-owned subsidiary, NM Capital Management, Inc. and 55,000 through its direct, wholly-owned subsidiary, John Hancock Advisers, Inc.	
-----		
10	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES*	
	N/A	
-----		
11	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW 9	
	5.1%	
-----		
12	TYPE OF REPORTING PERSON*	
	HC	
-----		

\*SEE INSTRUCTIONS BEFORE FILLING OUT!

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The original statement shall be signed by each person on whose behalf the statement is filed or his authorized representative. If the statement is signed on behalf of a person by his authorized representative other than an executive officer or general partner of the filing person, evidence of the representative's authority to sign on behalf of such person shall be filed with the statement, provided, however, that a power of attorney for this purpose which is already on file with the Commission may be incorporated by reference. The name and any title of each person who signs the statement shall be typed or printed beneath his signature.

Note: Six copies of this statement, including all exhibits, should be filed with the Commission.

ATTENTION: INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACT CONSTITUTE  
FEDERAL CRIMINAL VIOLATIONS (SEE 18 U.S.C. 1001)

Item 1(a) Name of Issuer:  
-----  
Ross Stores, Inc.

Item 1(b) Address of Issuer's Principal Executive Offices:  
-----  
8333 Central Avenue  
Newark, CA 94560-3433

Item 2(a) Name of Person Filing:  
-----

This filing is made on behalf of John Hancock Mutual Life Insurance Company ("JHMLICO"), JHMLICO's wholly-owned subsidiary, John Hancock Subsidiaries, Inc. ("JHSI"), JHSI's wholly-owned subsidiary, John Hancock Asset Management ("JHAM"), and JHAM's wholly-owned subsidiary, The Berkeley Financial Group ("TBFG")

Item 2(b) Address of the Principal Offices:  
-----

The principal business offices of JHMLICO, JHSI, and JHAM are located at John Hancock Place, P.O. Box 111, Boston, MA 02117. The principal business offices of TBFG is located at 101 Huntington Avenue, Boston, MA 02199.

Item 2(c) Citizenship:  
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JHMLICO, JHAM and TBFG were organized and exist under the laws of the Commonwealth of Massachusetts. JHSI was organized and exists under the laws of the State of Delaware.

Item 2(d) Title of Class of Securities:  
-----  
Common Stock

Item 2(e) CUSIP Number:  
-----  
778296103

Item 3 If the Statement is being filed pursuant to Rule 13d-1(b), or  
-----  
13d-2(b), check whether the person filing is a:  
-----



- JHMLICO: (a) (X) Broker or Dealer registered under (S)15 of the Act.
- (c) (X) Insurance Company as defined in (S)3(a)(19) of the Act.
- (e) (X) Investment Adviser registered under (S)203 of the Investment Advisers Act of 1940.
- (g) (X) Parent Holding Company, in accordance with (S)240.13d-1(b)(ii)(G).

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- JHSI: (g) (X) Parent Holding Company, in accordance with (S)240.13d-1(b)(ii)(G).
- JHAM: (g) (X) Parent Holding Company, in accordance with (S)240.13d-1(b)(ii)(G).
- TBFG: (g) (X) Parent Holding Company, in accordance with (S)240.13d-1(b)(ii)(G).

Item 4      Ownership:  
-----

(a) Amount Beneficially Owned: A direct, wholly-owned  
-----  
subsidiary of TBFG, NM Capital Management, Inc. ("NM") beneficially owns 1,176,710 shares of Common Stock in various advisory accounts. NM is an Investment Adviser registered under (S)203 of the Investment Advisers Act of 1940. In addition to the shares owned by NM, John Hancock Advisers, Inc. ("JHA"), an Investment Adviser registered under (S)203 of the Investment Advisers Act of 1940 and a direct, wholly-owned subsidiary of TBFG, beneficially owns 55,000 shares of Common Stock. Through their parent-subsidiary relationship to NM and JHA, JHMLICO, JHSI, JHAM and TBFG have indirect, beneficial ownership of these same shares.

The JHA shares are held by the:

John Hancock Special Value Fund, an open-end diversified management company registered under (S)8 of the Investment Company Act. Under an Advisory Agreement dated October 1, 1993, JHA has beneficial ownership of the 52,000 shares held in the fund.

John Hancock Institutional Series Trust - John Hancock Fundamental Value Fund an open-end diversified management company registered under (S)8 of the Investment Company Act. Under an Advisory Agreement dated April 3, 1995, JHA has beneficial ownership of the 3,000 shares held in the fund.

In addition to the shares beneficially owned by NM and JHA, Tucker Anthony Incorporated ("TA") a Broker/Dealer registered under (S)15 of the Securities Exchange Act of 1934, has beneficial ownership of 8,100 shares held in customer accounts. Through their parent-subsidary relationship to TA, an indirect, wholly-owned subsidiary, JHMLICO and JHSI have indirect, beneficial ownership of the TA shares.

(b) Percent of Class:

-----  
JHSI - 5.1%  
TBFG - 5.1%  
NM - 4.8%  
JHA - .02%

(c) (i) sole power to vote or to direct the vote:

NM - 410,600  
JHA - 55,000  
TA - 8,100

(ii) shared power to vote or to direct the vote: -0-

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(iii) sole power to dispose or to direct the disposition of:

NM - 1,176,710  
JHA - 55,000  
TA - 8,100

(iv) shared power to dispose or to direct the disposition of: -0-

Item 5 Ownership of Five Percent or Less of a Class:

-----  
Not applicable.

Item 6 Ownership of More than Five Percent on Behalf of Another

-----  
Person:  
-----

See Item 4 above.

Item 7 Identification and Classification of the Subsidiary which  
-----  
Acquired the Security Being Reported on by the Parent Holding  
-----  
Company:

-----  
See Items 3 and 4 above.

Item 8 Identification and Classification of Members of the Group:  
-----  
Not applicable.

Item 9 Notice of Dissolution of a Group:  
-----  
Not applicable.

Item 10 Certification:  
-----

By signing below the undersigned certifies that, to the best of its knowledge and belief, the securities referred to above were acquired in the ordinary course of business and were not acquired for the purpose of and do not have the effect of changing or influencing the control of the issuer of such securities and were not acquired in connection with or as a participant in any transaction having such purpose or effect.

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SIGNATURE

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

JOHN HANCOCK MUTUAL LIFE INSURANCE COMPANY

By: /s/ John T. Farady  
-----

Name: John T. Farady  
-----

Title: Senior Vice President & Treasurer  
-----

Dated: February 7, 1996  
-----

JOHN HANCOCK SUBSIDIARIES, INC.

By: /s/ John T. Farady

Name: John T. Farady

Title: Treasurer

Dated: February 7, 1996

JOHN HANCOCK ASSET MANAGEMENT

By: /s/ James H. Young

Name: James H. Young

Title: Secretary

Dated: February 7, 1996

THE BERKELEY FINANCIAL GROUP

By: /s/ Susan S. Newton

Name: Susan S. Newton

Title: Vice President

Dated: February 7, 1996

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EXHIBIT A

JOINT FILING AGREEMENT

John Hancock Mutual Life Insurance Company, John Hancock Subsidiaries, Inc., John Hancock Asset Management and The Berkeley Financial Group agree that the Initial Schedule 13G, to which this Agreement is attached, relating to the Common Stock of Ross Stores, Inc., is filed on behalf of each of them.

JOHN HANCOCK MUTUAL LIFE INSURANCE COMPANY

By: /s/ John T. Farady

Name: John T. Farady

Dated: February 7, 1996  
-----

-----  
Title: Senior Vice President & Treasurer  
-----

JOHN HANCOCK SUBSIDIARIES, INC.

By: /s/ John T. Farady  
-----

Name: John T. Farady  
-----

Dated: February 7, 1996  
-----

Title: Treasurer  
-----

JOHN HANCOCK ASSET MANAGEMENT

By: /s/ James H. Young  
-----

Name: James H. Young  
-----

Dated: February 7, 1996  
-----

Title: Secretary  
-----

THE BERKELEY FINANCIAL GROUP

By: /s/ Susan S. Newton  
-----

Name: Susan S. Newton  
-----

Dated: February 7, 1996  
-----

Title: Vice President  
-----