## SECURITIES AND EXCHANGE COMMISSION

## FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2004-03-05** | Period of Report: **2004-03-03** SEC Accession No. 0001049606-04-000012

(HTML Version on secdatabase.com)

## **REPORTING OWNER**

## **TITANIUM METALS CORP**

CIK:1011657| State of Incorp.:DE | Fiscal Year End: 1231 Type: 4 | Act: 34 | File No.: 001-13905 | Film No.: 04653112 SIC: 3341 Secondary smelting & refining of nonferrous metals Mailing Address Business A
TITANIUM METALS CORP 1999 BRO.
1999 BROADWAY SUITE 4300 STE 4300
DENVER CO 80202 DENVER CO

Business Address 1999 BROADWAY STE 4300 DENVER CO 80202 3032965600

**Business Address** 

## **ISSUER**

ISSUER								
COMPX INTERNATIONAL INC  CIK:1049606  IRS No.: 570981653   State of Incorp.:DE   Fiscal Year End: 1231  SIC: 3420 Cutlery, handtools & general hardware	Mailing Address 5430 LBJ FREEWAY SUITE 1700 DALLAS TX 75240-2697	Business Address 5430 LBJ FREEWAY SUITE 1700 DALLAS TX 75240-2697 9724481400						
NOA INC CIK:1074789 Type: 4   Act: 34   File No.: 001-13905   Film No.: 04653108	Mailing Address 5430 LBJ FREEWAY SUITE 1700 DALLAS TX 75240	Business Address 5430 LBJ FREEWAY SUITE 1700 DALLAS TX 75240 9722331700						
SOUTHWEST LOUISIANA LAND CO INC CIK:1074790 Type: 4   Act: 34   File No.: 001-13905   Film No.: 04653117	Mailing Address 402 CANAL ST HOUMA LA 70360	Business Address 5430 LBJ FREEWAY SUITE 1700 DALLAS TX 75240 9722331700						
CONTRAN CORP  CIK:24240  State of Incorp.:DE   Fiscal Year End: 1231  Type: 4   Act: 34   File No.: 001-13905   Film No.: 04653116  SIC: 6141 Personal credit institutions	Mailing Address 5430 LBJ FRWY SUITE 1700 DALLAS TX 75240	Business Address 5430 LBJ FRWY SUITE 1700 DALLAS TX 75240 9724504228						
VALHI INC /DE/ CIK:59255  State of Incorp.:DE   Fiscal Year End: 1231 Type: 4   Act: 34   File No.: 001-13905   Film No.: 04653115 SIC: 2060 Sugar & confectionery products	Mailing Address THREE LINCOLN CENTER 5430 LBJ FREEWAY SUITE 1700 DALLAS TX 75240	Business Address 5430 LBJ FRWY STE 1700 DALLAS TX 75240 9722331700						

Mailing Address

## **NATIONAL CITY LINES INC**

CIK:69972| State of Incorp.:DE | Fiscal Year End: 0630 Type: 4 | Act: 34 | File No.: 001-13905 | Film No.: 04653114 SIC: 6552 Land subdividers & developers (no cemeteries)

5430 LBJ FREEWAY **SUITE 1700** DALLAS TX 75240

5430 LBJ FREEWAY **SUITE 1700** DALLAS TX 75240 2149340233

### TREMONT LLC

CIK:842718| State of Incorp.:DE | Fiscal Year End: 1231 Type: 4 | Act: 34 | File No.: 001-13905 | Film No.: 04653113 SIC: 3330 Primary smelting & refining of nonferrous metals

Mailing Address 5430 LBJ FREEWAY **SUITE 1700** DALLAS TX 75240

Business Address 5430 LBJ FREEWAY **SUITE 1700** DALLAS TX 75240 9722331700

### SIMMONS HAROLD C

CIK:1037854| State of Incorp.:TX | Fiscal Year End: 1231 Type: 4 | Act: 34 | File No.: 001-13905 | Film No.: 04653111

Mailing Address THREE LINCOLN CENTRE DALLAS TX 75240-2697

Business Address THREE LINCOLN CENTRE 5430 LBJ FREEWAY STE 1700 5430 LBJ FREEWAY STE 1700 DALLAS TX 75240-2697 9722331700

#### **DIXIE HOLDING CO**

CIK:1074786

Type: 4 | Act: 34 | File No.: 001-13905 | Film No.: 04653110

Mailing Address 5430 LBJ FREEWAY **SUITE 1700** DALLAS TX 75240

**Business Address** 5430 LBJ FREEWAY **SUITE 1700** DALLAS TX 75240 9722331700

## DIXIE RICE AGRICULTURE CORP INC

Type: 4 | Act: 34 | File No.: 001-13905 | Film No.: 04653109

Mailing Address 600 PASQUIERE ST GUEYDAN LA 70542

**Business Address** 5430 LBJ FREEWAY **SUITE 1700** DALLAS TX 75240 9722331700

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: 02/28/2011

Estimated average burden
hours per response 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres	ss of Reporting Perso	n <u>*</u>	2. Issuer Name and Ticker or Trading Symbol  COMPX INTERNATIONAL INC [cix]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/03/2004	Officer (give title Other (specify below)
5430 LBJ FRWY	, SUITE 1700			
DALLAS, TX 75	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) Form Filed by One Reporting Person X Form Filed by More than One Reporting Person
(City)	(State)	(Zip)	-	

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		(Month/ Day/Year)	Code	>	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		100	A	\$10.2	1,141,000	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		500	A	\$10.36	1,141,500	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		1,400	A	\$10.5	1,142,900	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		800	A	\$10.55	1,143,700	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		500	A	\$10.61	1,144,200	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		500	A	\$10.62	1,144,700	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		500	A	\$10.64	1,145,200	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		4,500	A	\$10.65	1,149,700	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		100	A	\$10.7	1,149,800	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		400	A	\$10.74	1,150,200	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		700	A	\$10.75	1,150,900	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		2,000	A	\$10.85	1,152,900	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		100	A	\$10.89	1,153,000	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		39,500	A	\$10.9	1,192,500	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		100	A	\$10.95	1,192,600	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/03/2004		<u>J</u> (1)		100	A	\$10.99	1,192,700	I	by TIMET (2)

Class A Common Stock \$0.01 par value	03/03/2004	<u>J</u> ( <u>1</u> )	4,200	A	\$11	1,196,900	I	by TIMET (2)
Class A Common Stock \$0.01 par value	03/04/2004	<u>J</u> (1)	10,000	A	\$10.5	1,206,900	I	by TIMET (2)
Class A Common Stock \$0.01 par value						374,000	I	by Valhi (3)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transac Code (Instr. 8		5. Numl of Deriv Secu Acqu (A) of Dispo of (D) (Instr 4, and	ative rities ired osed )	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and of Securitie Underlying Derivative S (Instr. 3 and	s Security	8. Price of Derivative Security (Instr. 5)	Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
CONTRAN CORP 5430 LBJ FRWY SUITE 1700 DALLAS, TX 75240		X						
VALHI INC /DE/ THREE LINCOLN CENTER 5430 LBJ FREEWAY SUITE 1700 DALLAS, TX 75240		X						
NATIONAL CITY LINES INC 5430 LBJ FREEWAY SUITE 1700 DALLAS, TX 75240		X						
TREMONT LLC 5430 LBJ FREEWAY SUITE 1700 DALLAS, TX 75240		X						
TITANIUM METALS CORP TITANIUM METALS CORP 1999 BROADWAY SUITE 4300 DENVER, CO 80202		X						
SIMMONS HAROLD C THREE LINCOLN CENTRE 5430 LBJ FREEWAY STE 1700 DALLAS, TX 75240-2697		X						
DIXIE HOLDING CO 5430 LBJ FREEWAY SUITE 1700 DALLAS, TX 75240		X						
DIXIE RICE AGRICULTURE CORP INC 600 PASQUIERE ST GUEYDAN, LA 70542		X						
NOA INC 5430 LBJ FREEWAY		X						

SUITE 1700 DALLAS, TX 75240		
SOUTHWEST LOUISIANA LAND CO INC 402 CANAL ST HOUMA, LA 70360	X	

## **Explanation of Responses:**

- 1. Open market purchase by Titanium Metals Corporation. See the Additional Information filed as an exhibit to this statement for a description of the relationship among the persons joining in this filing.
- 2. Directly held by Titanium Metals Corporation. See the Additional Information filed as an exhibit to this statement for a description of the relationship among the persons joining in this filing.
- 3. Directly held by Valhi, Inc. See the Additional Information filed as an exhibit to this statement for a description of the relationship among the persons joining in this filing.

#### Remarks:

Mr. Harold C. Simmons and his spouse directly hold 82,300 and 20,000 shares, respectively, of the Class A common stock of the issuer. Mr. Simmons disclaims beneficial ownership of the shares of the issuer's common stock that his spouse owns. This form is also filed on behalf of: Valhi Group, Inc., 5430 LBJ Freeway, Suite 1700, Dallas TX 75240, By: /s/ A. Andrew R. Louis, Secretary (signed 3/5/04). See the Additional Information filed as an exhibit to this statement for a description of the relationship among the persons joining in this filing.

#### **Signatures**

A. Andrew R. Louis, Secretary, for Contran Corporation	03/05/2004
A. Andrew R. Louis, Secretary, for Valhi, Inc.	03/05/2004
A. Andrew R. Louis, Secretary, for National City Lines, Inc.	03/05/2004
A. Andrew R. Louis, Secretary, for Tremont LLC	03/05/2004
A. Andrew R. Louis, Assistant Secretary, for Titanium Metals Corporation	03/05/2004
A. Andrew R. Louis, Attorney-in-fact, for Harold C. Simmons	03/05/2004
A. Andrew R. Louis, Secretary, for Dixie Holding Company	03/05/2004
A. Andrew R. Louis, Secretary, for Dixie Rice Agricultural Corporation, Inc.	03/05/2004
A. Andrew R. Louis, Secretary, for NOA, Inc.	03/05/2004
A. Andrew R. Louis, Secretary, for Southwest Louisiana Land Company, Inc.	03/05/2004
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### Additional Information

Titanium Metals Corporation ("TIMET") and Valhi, Inc. ("Valhi") are the holders of 23.6% and 7.3%, respectively, of the outstanding shares of class A common stock, par value \$0.01 per share (the "Class A Common Stock"), of the issuer.

Valcor, Inc. ("Valcor") directly holds 100%, or 10,000,000 shares, of the Company's class B common stock, par value \$0.01 per share (the "Class B Common Stock") and collectively with the Class A Common Stock shall be referred to as (the "Common Stock"). The description of the relative rights of the Common Stock contained in the issuer's restated certificate of incorporation is hereby incorporated herein by reference to Exhibit 3.1 of the issuer's Registration Statement on Form S-1 (File No. 333-42643). As a result of its ownership of all of the Class B Common Stock, Valcor currently holds approximately 66.1% of the combined voting power (95.1% for the election of directors) of all classes of voting stock of the issuer.

Tremont LLC, the Combined Master Retirement Trust (the "CMRT") and Valhi are the direct holders of approximately 39.7%, 9.0% and 1.1%, of the outstanding shares of TIMET common stock. Valhi also directly holds 14,700 6 5/8% Convertible Preferred Securities, Beneficial Unsecured Convertible Securities of the TIMET Capital Trust 1 (the "BUCs") that are convertible into 1,968 shares of TIMET common stock, or approximately 0.1% of the outstanding shares of TIMET common stock assuming the full conversion of only the BUCs Valhi directly holds. The spouse of Harold C. Simmons directly holds 1,600,000 BUCs that are convertible into 214,240 shares of TIMET common stock, or approximately 6.3% of the outstanding shares of TIMET common stock assuming the full conversion of only the BUCs she directly holds.

Valhi is the direct holder of 100% of the outstanding membership interests of Tremont LLC and 100% of the outstanding common stock of Valcor. VGI, National, Contran, the Harold Simmons Foundation (the "Foundation"), the Contran Deferred Compensation Trust No. 2 (the "CDCT No. 2") and the CMRT are the direct holders of 77.6%, 9.1%, 3.1%, 0.9%, 0.4% and 0.1%, respectively, of the common stock of Valhi. National, NOA and Dixie Holding are the direct holders of approximately 73.3%, 11.4% and 15.3%, respectively, of the outstanding common stock of VGI. Contran and NOA are the direct holders of approximately 85.7% and 14.3%, respectively, of the outstanding common stock of National. Contran and Southwest are the direct holders of approximately 49.9% and 50.1%, respectively, of the outstanding common stock of NOA. Dixie Rice is the direct holder of 100% of the outstanding common stock of Dixie Holding and 88.9% of the outstanding common stock of Southwest.

Substantially all of Contran's outstanding voting stock is held by trusts established for the benefit of certain children and grandchildren of Harold C. Simmons (the "Trusts"), of which Mr. Simmons is the sole trustee. As sole trustee of each of the Trusts, Mr. Simmons has the power to vote and direct the disposition of the shares of Contran stock held by each of the Trusts.

Mr. Simmons, however, disclaims beneficial ownership of any shares of Contran stock that the Trusts hold.

The Foundation directly holds approximately 0.9% of the outstanding shares of Valhi common stock. The Foundation is a tax-exempt foundation organized for charitable purposes. Harold C. Simmons is the chairman of the board of the Foundation.

The CDCT No. 2 directly holds approximately 0.4% of the outstanding Valhi common stock. U.S. Bank National Association serves as the trustee of the CDCT No. 2. Contran established the CDCT No. 2 as an irrevocable "rabbi trust" to assist Contran in meeting certain deferred compensation obligations that it owes to Harold C. Simmons. If the CDCT No. 2 assets are insufficient to satisfy such obligations, Contran is obligated to satisfy the balance of such obligations as they come due. Pursuant to the terms of the CDCT No. 2, Contran (i) retains the power to vote the shares of Valhi common stock held directly by the CDCT No. 2, (ii) retains dispositive power over such shares and (iii) may be deemed the indirect beneficial owner of such shares.

The CMRT directly holds approximately 9.0% of the outstanding shares of TIMET Common Stock and 0.1% of the outstanding shares of Valhi common stock. Valhi established the CMRT as a trust to permit the collective investment by master trusts that maintain the assets of certain employee benefit plans Valhi and related companies adopt. Mr. Simmons is the sole trustee of the CMRT and a member of the trust investment committee for the CMRT. Mr. Simmons is a participant in one or more of the employee benefit plans that invest through the CMRT.

Valmont Insurance Company ("Valmont"), NL Industries, Inc. ("NL") and a subsidiary of NL directly own 1,000,000 shares, 3,522,967 shares and 1,186,200 shares, respectively, of Valhi common stock. Valhi is the direct holder of 100% of the outstanding common stock of Valmont and may be deemed to control Valmont. Valhi and Tremont LLC are the direct holders of approximately 62.4% and 21.2%, respectively, of the outstanding common stock of NL. Pursuant to Delaware law, Valhi treats the shares of Valhi common stock that Valmont, NL and the subsidiary of NL own as treasury stock for voting purposes and for the purposes of this Statement such shares are not deemed outstanding.

Mr. Harold C. Simmons is chairman of the board of Tremont LLC, Valhi, VGI, National, NOA, Dixie Holding, Dixie Rice, Southwest and Contran and chairman of the board and chief executive officer of NL.

By virtue of the holding of the offices, the stock ownership and his service as trustee, all as described above, (a) Mr. Simmons may be deemed to control the entities described above and (b) Mr. Simmons and certain of such entities may be deemed to possess indirect beneficial ownership of the Shares that are directly held by Valhi. However, Mr. Simmons disclaims such beneficial ownership of the Shares beneficially owned, directly or indirectly, by any of such entities.

Harold C. Simmons' spouse is the direct owner of 20,000 shares of Class A Common Stock and 1,600,000 BUCs. Mr. Simmons may be deemed to share indirect beneficial ownership of such securities. Mr. Simmons disclaims beneficial ownership of all securities that his spouse holds directly.

A trust of which Harold C. Simmons and his spouse are co-trustees and the beneficiaries are the grandchildren of his spouse is the direct holder of 4,760 shares of TIMET common stock. Mr. Simmons disclaims beneficial ownership of these shares.