

SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2013-03-04** | Period of Report: **2013-03-01**
SEC Accession No. [0001299033-13-000014](#)

(HTML Version on secdatabase.com)

ISSUER

Calamos Asset Management, Inc. /DE/

CIK:[1299033](#) | IRS No.: **320122554** | Fiscal Year End: **1231**
SIC: **6211** Security brokers, dealers & flotation companies

Mailing Address

2020 CALAMOS COURT
NAPERVILLE IL 60563-1463

Business Address

2020 CALAMOS COURT
NAPERVILLE IL 60563-1463
(630) 245-7200

REPORTING OWNER

CALAMOS JOHN P SR

CIK:[1248580](#)
Type: **4** | Act: **34** | File No.: **000-51003** | Film No.: **13661747**

Mailing Address

2020 CALAMOS COURT
NAPERVILLE IL 60563

Calamos Family Partners, Inc.

CIK:[1307316](#) | State of Incorporation: **DE** | Fiscal Year End: **1231**
Type: **4** | Act: **34** | File No.: **000-51003** | Film No.: **13661746**

Mailing Address

2020 CALAMOS COURT
NAPERVILLE IL 60563

Business Address

2020 CALAMOS COURT
NAPERVILLE IL 60563
630-577-9688

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person CALAMOS JOHN P SR			2. Issuer Name and Ticker or Trading Symbol Calamos Asset Management, Inc. /DE/ [CLMS]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chairman, CEO, Global Co-CIO	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/01/2013		6. Individual or Joint/Group Filing (Check applicable line) <input type="checkbox"/> Form Filed by One Reporting Person <input checked="" type="checkbox"/> Form Filed by More than One Reporting Person	
2020 CALAMOS COURT			4. If Amendment, Date Original Filed(Month/Day/Year)			
(Street) NAPERVILLE, IL 60563						
(City)	(State)	(Zip)				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)			
Class A Common Stock ⁽¹⁾	03/01/2013		P		615	A \$10.98	1,077,785.843	D	
Class A Common Stock ⁽¹⁾	03/01/2013		P		200	A \$11	1,077,985.843 ⁽²⁾	D	
Class A Common Stock							6,577.401	I	By Spouse

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		(A)	(D)					

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CALAMOS JOHN P SR 2020 CALAMOS COURT NAPERVILLE, IL 60563	X	X	Chairman, CEO, Global Co-CIO	
Calamos Family Partners, Inc. 2020 CALAMOS COURT NAPERVILLE, IL 60563		X		

Explanation of Responses:

- Shares purchased by Calamos Investments LLC pursuant to the Issuer's share repurchase program. The reporting persons disclaim beneficial ownership of these shares except to the extent of their pecuniary interest therein and this report shall not be deemed an admission that the reporting persons are the beneficial owners of such securities for purposes of Section 16 or for any other purpose.
- Total represents 562,120.843 shares of Class A Common Stock and 515,865 Restricted Stock Units (which will be settled solely in shares of Class A Common Stock).

Signatures

[/s/ William J. Takahashi, Attorney-in-Fact](#)

** Signature of Reporting Person

[03/04/2013](#)

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 99

Joint Filer Information

Name: Calamos Family Partners, Inc.

Address: 2020 Calamos Court
Naperville, Illinois 60563

Designated Filer: John P. Calamos

Issuer & Ticker Symbol: Calamos Asset Management, Inc.
(CLMS)

Date of Event
Requiring Statement: 3/1/2013*

Signature: By: /s/ William J. Takahashi, Attorney-in-Fact

*The reporting person disclaims beneficial ownership of all Securities directly-owned by the Designated Filer.