

# SECURITIES AND EXCHANGE COMMISSION

## FORM 3

Filing Date: **1997-12-18** | Period of Report: **1997-12-16**

SEC Accession No. **0000890566-97-002688**

([HTML Version](#) on [secdatabase.com](#))

### SUBJECT COMPANY

#### **TRIAD MEDICAL INC**

CIK: **1045727** | IRS No.: **841408330** | State of Incorporation: **DE** | Fiscal Year End: **1231**

Type: **3** | Act: **34** | File No.: **333-35449** | Film No.: **97740140**

SIC: **5047** Medical, dental & hospital equipment & supplies

#### Mailing Address

*2078 PROSPECTOR AVENUE  
PARK CITY UT 92653*

#### Business Address

*23161 MILL CREEK DRIVE  
SUITE 300  
LAGUNA HILLS CA 92653  
7147700292*

### REPORTING OWNER

#### **KLINTWORTH WILLIAM C JR**

CIK: **1051432**

Type: **3**

#### Mailing Address

*2078 PROSPECTOR AVENUE  
P O BOX 680788  
PARK CITY UT 84068*

#### Business Address

*2078 PROSPECTOR AVENUE  
P O BOX 680788  
PARK CITY UT 84068  
8016457200*

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person Klintworth William C. (Last) (First) (Middle) 2078 Prospector Avenue (Street) Park City Utah 84060 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 12/16/97	4. Issuer Name and Ticker or Trading Symbol TRIAD Medical Inc. (Nasdaq ticker symbol "TRMD")	3. IRS or Social Security Number of Reporting Person (Voluntary)	5. Relationship of Reporting Person to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Executive Officer and Chairman of the Board (effective upon closing of the initial public offering -- currently President and CEO)	6. If Amendment, Date of Original (Month/Day/Year)
---	--	---	--	--	--

Table I -- Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	269,607	D	
Common Stock	3,500	I	By the Kintworth Family Trust
Common Stock	141,429	I	By HTC Holdings, L.C. (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  
(Print or Type Responses)

FORM 3 (Continued)

Table II -- Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Ownership (Instr. 5)
Options	6/98 (2) 12/07	Common Stock	50,000 8.00	D	

Explanation of Responses:

- (1) Mr. Klintworth disclaims beneficial ownership of 104,460 of such shares.
- (2) Options become exercisable as to 25% of such shares six months after the pricing date of the registration statement relating to the Company's initial public offering and as to 25% of such shares on each anniversary of that date.

/s/ William C. Klintworth, Jr.

12/8/97

-----  
\*\*Signature of Reporting Person

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient,  
See Instruction 6 for procedure.

</TABLE>