

SECURITIES AND EXCHANGE COMMISSION

FORM NSAR-A

Semi-annual report for management companies filed on Form N-SAR

Filing Date: **1996-12-30** | Period of Report: **1996-10-31**
SEC Accession No. **0000810281-96-000011**

([HTML Version](#) on secdatabase.com)

FILER

CRABBE HUSON SPECIAL FUND INC

CIK: **810281** | IRS No.: **930942646** | State of Incorporation: **OR** | Fiscal Year End: **1031**
Type: **NSAR-A** | Act: **40** | File No.: **811-05302** | Film No.: **96688577**

Mailing Address

*121 SW MORRISON STE 1400
PORTLAND OR 97204*

Business Address

*121 SW MORRISON
STE 1400
PORTLAND OR 97204
5032950111*

PAGE 1
000 B000000 10/31/96
000 C000000 810281
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 3.0.a
000 J000000 A
001 A000000 THE CRABBE HUSON SPECIAL FUND, INC.
001 B000000 811-5302
001 C000000 5032950919
002 A000000 121 SW MORRISON, SUITE 1400
002 B000000 PORTLAND
002 C000000 OR
002 D010000 97204
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 CRABBE HUSON GROUP, INC.
008 B000001 A
008 C000001 801-15154
008 D010001 PORTLAND
008 D020001 OR
008 D030001 97204
010 A000001 STATE STREET BANK & TRUST COMPANY
010 B000001 85-05003
010 C010001 BOSTON
010 C020001 MA
010 C030001 02110
011 A000001 CRABBE HUSON SECURITIES, INC.
011 B000001 8-13389
011 C010001 PORTLAND
011 C020001 OR
011 C030001 97204

012 A000001 STATE STREET BANK AND TRUST COMPANY
012 B000001 85-05003
012 C010001 BOSTON

PAGE 2

012 C020001 MA
012 C030001 02110
013 A000001 KPMG PEAT MARWICK
013 B010001 PORTLAND
013 B020001 OR
013 B030001 97204
014 A000001 CRABBE HUSON SECURITIES, INC.
014 B000001 8-13389
015 A000001 INVESTORS FIDUCIARY TRUST COMPANY
015 B000001 C
015 C010001 KANSAS CITY
015 C020001 MO
015 C030001 64105
015 C040001 1716
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 9
019 C000000 CRABBEHUSO
020 A000001 INSTINET
020 B000001 13-3443395
020 C000001 455
020 A000002 FIRST MARATHON SECURITIES
020 B000002 N/A
020 C000002 201
020 A000003 SMITH JACOBS & COMPANY
020 B000003 13-3371860
020 C000003 164
020 A000004 CANTOR FITZGERALD
020 B000004 13-3681087
020 C000004 145
020 A000005 RAGEN MACKENZIE
020 B000005 91-1374820
020 C000005 84
020 A000006 FURMAN SELZ
020 B000006 13-2753731
020 C000006 70
020 A000007 ELLIOTT LEDGERWOOD
020 B000007 93-0847082
020 C000007 65
020 A000008 BLACK & COMPANY
020 B000008 93-0479581
020 C000008 63
020 A000009 STATE STREET BANK
020 B000009 04-1867445
020 C000009 56

020 A000010 MORGAN STANLEY
020 B000010 13-2655998
020 C000010 44
021 000000 1973
022 A000001 STATE STREET BANK

PAGE 3

022 B000001 04-1867445
022 C000001 533848
022 D000001 0
022 A000002 HSBC SECURITIES INC.
022 B000002 13-2650272
022 C000002 117305
022 D000002 103645
022 A000003 MERRILL LYNCH
022 B000003 13-5674085
022 C000003 60470
022 D000003 4985
022 A000004 SANWA-BGK SECURITIES
022 B000004 13-3046258
022 C000004 36916
022 D000004 0
022 A000005 SALOMON BROTHERS INC.
022 B000005 13-3082694
022 C000005 28334
022 D000005 4173
022 A000006 PRUDENTIAL SECURITIES
022 B000006 22-2347336
022 C000006 27666
022 D000006 0
022 A000007 CANTOR FITZGERALD
022 B000007 13-3681087
022 C000007 4377
022 D000007 8761
022 A000008 MORGAN STANLEY
022 B000008 13-2655998
022 C000008 11073
022 D000008 0
022 A000009 SMITH BARNEY SHEARSON
022 B000009 13-1912900
022 C000009 4633
022 D000009 2004
022 A000010 DONALDSON LUFKIN JENRETTE
022 B000010 13-2741729
022 C000010 0
022 D000010 4625
023 C000000 824814
023 D000000 147062
024 000000 N
025 D000001 0
025 D000002 0

025 D000003 0
025 D000004 0
025 D000005 0
025 D000006 0
025 D000007 0
025 D000008 0
026 A000000 N

PAGE 4

026 B000000 Y
026 C000000 N
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 Y
026 H000000 N
027 000000 Y
028 A010000 14132
028 A020000 11
028 A030000 0
028 A040000 49902
028 B010000 9907
028 B020000 0
028 B030000 0
028 B040000 48379
028 C010000 15605
028 C020000 -5
028 C030000 0
028 C040000 63855
028 D010000 7877
028 D020000 0
028 D030000 0
028 D040000 52779
028 E010000 7821
028 E020000 0
028 E030000 0
028 E040000 47199
028 F010000 6919
028 F020000 0
028 F030000 0
028 F040000 51275
028 G010000 62261
028 G020000 6
028 G030000 0
028 G040000 313389
028 H000000 0
029 000000 N
030 A000000 0
030 B000000 0.00
030 C000000 0.00

031 A000000 0
031 B000000 0
032 000000 0
033 000000 0
034 000000 N
035 000000 0
036 B000000 0
037 000000 N
038 000000 0

PAGE 5

039 000000 N
040 000000 Y
041 000000 Y
042 A000000 7
042 B000000 17
042 C000000 0
042 D000000 63
042 E000000 3
042 F000000 0
042 G000000 10
042 H000000 0
043 000000 1532
044 000000 0
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.000
048 A010000 100000
048 A020000 1.000
048 B010000 400000
048 B020000 0.850
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 500000
048 K020000 0.600
049 000000 N

050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y

PAGE 6

054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 Y
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 2000
062 A000000 N
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 0.0
062 R000000 0.0
063 A000000 0
063 B000000 0.0
066 A000000 Y

066 B000000 Y
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 Y
070 B010000 Y

PAGE 7

070 B020000 Y
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 Y
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 N
070 J020000 N
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 N
070 M020000 N
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 Y
070 R020000 Y

071 A000000 205719
071 B000000 530112
071 C000000 625759

| | | |
|-----|---------|-------|
| 071 | D000000 | 33 |
| 072 | A000000 | 12 |
| 072 | B000000 | 10339 |
| 072 | C000000 | 5261 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |
| 072 | F000000 | 5876 |
| 072 | G000000 | 255 |
| 072 | H000000 | 0 |
| 072 | I000000 | 1080 |
| 072 | J000000 | 399 |
| 072 | K000000 | 70 |
| 072 | L000000 | 189 |
| 072 | M000000 | 32 |
| 072 | N000000 | 176 |

PAGE 8

| | | |
|-------|---------|--------|
| 072 | O000000 | 0 |
| 072 | P000000 | 0 |
| 072 | Q000000 | 0 |
| 072 | R000000 | 47 |
| 072 | S000000 | 48 |
| 072 | T000000 | 1532 |
| 072 | U000000 | 0 |
| 072 | V000000 | 0 |
| 072 | W000000 | 515 |
| 072 | X000000 | 10219 |
| 072 | Y000000 | 0 |
| 072 | Z000000 | 5381 |
| 072AA | 000000 | 127416 |
| 072BB | 000000 | 113864 |
| 072CC | 010000 | 32571 |
| 072CC | 020000 | 0 |
| 072DD | 010000 | 13309 |
| 072DD | 020000 | 0 |
| 072EE | 000000 | 36164 |
| 073 | A010000 | 0.2105 |
| 073 | A020000 | 0.0000 |
| 073 | B000000 | 0.5747 |
| 073 | C000000 | 0.0000 |
| 074 | A000000 | 0 |
| 074 | B000000 | 0 |
| 074 | C000000 | 0 |
| 074 | D000000 | 0 |
| 074 | E000000 | 0 |
| 074 | F000000 | 423703 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 0 |
| 074 | J000000 | 3811 |
| 074 | K000000 | 0 |

| | | |
|-----|---------|--------|
| 074 | L000000 | 368 |
| 074 | M000000 | 147643 |
| 074 | N000000 | 575525 |
| 074 | O000000 | 0 |
| 074 | P000000 | 10 |
| 074 | Q000000 | 0 |
| 074 | R010000 | 0 |
| 074 | R020000 | 90873 |
| 074 | R030000 | 0 |
| 074 | R040000 | 3603 |
| 074 | S000000 | 0 |
| 074 | T000000 | 481039 |
| 074 | U010000 | 35099 |
| 074 | U020000 | 0 |
| 074 | V010000 | 13.71 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |

PAGE 9

| | | |
|-----|---------|-----------------------------|
| 074 | X000000 | 28221 |
| 074 | Y000000 | 89968 |
| 075 | A000000 | 0 |
| 075 | B000000 | 746055 |
| 076 | 000000 | 0.00 |
| 077 | A000000 | Y |
| 077 | B000000 | Y |
| 077 | C000000 | N |
| 077 | D000000 | N |
| 077 | E000000 | N |
| 077 | F000000 | N |
| 077 | G000000 | N |
| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
| 077 | M000000 | N |
| 077 | N000000 | N |
| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | CHUBB GROUP OF INSURANCE CO |
| 080 | C000000 | 5000 |
| 081 | A000000 | Y |
| 081 | B000000 | 8 |
| 082 | A000000 | Y |
| 082 | B000000 | 5 |
| 083 | A000000 | N |

| | | |
|-----------|----------------------|---|
| 083 | B000000 | 0 |
| 084 | A000000 | N |
| 084 | B000000 | 0 |
| 085 | A000000 | Y |
| 085 | B000000 | N |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| SIGNATURE | SHEILA TWIBLE | |
| TITLE | ASSISTANT VICE PRES. | |

<TABLE> <S> <C>

<ARTICLE> 6

<CIK> 0000810281

<NAME> THE CRABBE HUSON SPECIAL FUND, INC.

| <S> | <C> |
|-----------------------------|-------------|
| <PERIOD-TYPE> | 12-MOS |
| <FISCAL-YEAR-END> | OCT-31-1996 |
| <PERIOD-START> | NOV-01-1995 |
| <PERIOD-END> | OCT-31-1996 |
| <INVESTMENTS-AT-COST> | 396950759 |
| <INVESTMENTS-AT-VALUE> | 423703479 |
| <RECEIVABLES> | 4179498 |
| <ASSETS-OTHER> | 147641638 |
| <OTHER-ITEMS-ASSETS> | 0 |
| <TOTAL-ASSETS> | 575524615 |
| <PAYABLE-FOR-SECURITIES> | 0 |
| <SENIOR-LONG-TERM-DEBT> | 0 |
| <OTHER-ITEMS-LIABILITIES> | 94485210 |
| <TOTAL-LIABILITIES> | 94485210 |
| <SENIOR-EQUITY> | 0 |
| <PAID-IN-CAPITAL-COMMON> | 474648988 |
| <SHARES-COMMON-STOCK> | 35099274 |
| <SHARES-COMMON-PRIOR> | 63670184 |
| <ACCUMULATED-NII-CURRENT> | 2964508 |
| <OVERDISTRIBUTION-NII> | 0 |
| <ACCUMULATED-NET-GAINS> | 10060152 |
| <OVERDISTRIBUTION-GAINS> | 0 |
| <ACCUM-APPREC-OR-DEPREC> | (6634243) |
| <NET-ASSETS> | 481039405 |
| <DIVIDEND-INCOME> | 5260729 |
| <INTEREST-INCOME> | 10338955 |
| <OTHER-INCOME> | 0 |
| <EXPENSES-NET> | 10218440 |
| <NET-INVESTMENT-INCOME> | 5381244 |
| <REALIZED-GAINS-CURRENT> | 13551901 |
| <APPREC-INCREASE-CURRENT> | 32571427 |
| <NET-CHANGE-FROM-OPS> | 51504572 |
| <EQUALIZATION> | 0 |
| <DISTRIBUTIONS-OF-INCOME> | (13309478) |
| <DISTRIBUTIONS-OF-GAINS> | (36164306) |
| <DISTRIBUTIONS-OTHER> | 0 |
| <NUMBER-OF-SHARES-SOLD> | 16617712 |
| <NUMBER-OF-SHARES-REDEEMED> | (48496931) |
| <SHARES-REINVESTED> | 3308309 |
| <NET-CHANGE-IN-ASSETS> | (397520429) |
| <ACCUMULATED-NII-PRIOR> | 11747830 |
| <ACCUMULATED-GAINS-PRIOR> | 31817469 |

| | |
|----------------------------|-----------|
| <OVERDISTRIB-NII-PRIOR> | 0 |
| <OVERDIST-NET-GAINS-PRIOR> | 0 |
| <GROSS-ADVISORY-FEES> | 5875652 |
| <INTEREST-EXPENSE> | 0 |
| <GROSS-EXPENSE> | 10252472 |
| <AVERAGE-NET-ASSETS> | 746055368 |
| <PER-SHARE-NAV-BEGIN> | 13.80 |
| <PER-SHARE-NII> | 0.14 |
| <PER-SHARE-GAIN-APPREC> | 0.55 |
| <PER-SHARE-DIVIDEND> | (0.21) |
| <PER-SHARE-DISTRIBUTIONS> | (0.57) |
| <RETURNS-OF-CAPITAL> | 0 |
| <PER-SHARE-NAV-END> | 13.71 |
| <EXPENSE-RATIO> | 1.37 |
| <AVG-DEBT-OUTSTANDING> | 0 |
| <AVG-DEBT-PER-SHARE> | 0 |

</TABLE>