## SECURITIES AND EXCHANGE COMMISSION

## FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2013-05-16 | Period of Report: 2013-05-15 SEC Accession No. 0000726514-13-000052

(HTML Version on secdatabase.com)

## **REPORTING OWNER**

### **MUSTOE KERRY L**

CIK:1270988

Type: 4 | Act: 34 | File No.: 000-12853 | Film No.: 13852684

## **ISSUER**

#### **ELECTRO SCIENTIFIC INDUSTRIES INC**

CIK:726514| IRS No.: 930370304 | State of Incorp.: OR | Fiscal Year End: 0329 SIC: 3690 Miscellaneous electrical machinery, equipment & supplies

Mailing Address 13900 NW SCIENCE PARK

**Business Address** 13900 NW SCIENCE PARK PORTLAND OR 97229-5497 PORTLAND OR 97229 5036414141

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL											
OMB Number:	3235-0287										
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nours per response	0.5										

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres  MUSTOE KEE		son <sup>*</sup> _	2. Issuer Name and Ticker or Trading Symbol  ELECTRO SCIENTIFIC INDUSTRIES  INC [ESIO]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X Officer (give title below)  The property of the person of				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 05/15/2013	VP, Corporate Controller & CAO				
13900 NW SCIEN	NCE PARK DR.							
PORTLAND, OR	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	Individual or Joint/Group Filing				
(City)	(State)	(Zip)						

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Beneficially Owned	Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v		(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	05/15/2013		<u>F</u> (1)		4,637	D	\$11.008	59,227.558 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transac Code (Instr. 8		5. Numl of Deriv Secu Acqu (A) of Dispo of (D) (Instr 4, an	rative rities ired rosed )	6. Date Exer and Expiratio (Month/Day/	on Date	Amount Securitie Underlyii Derivativ	Underlying Security (Instr. 3		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### **Explanation of Responses:**

- 1. Payment of tax liability by delivering shares incident to the vesting of restricted stock units in accordance with Rule 16b-3.
- 2. The directly owned Common Stock holdings reflected in Table I of this form include 500 shares acquired under the ESI employee stock purchase plan on May 14, 2013.

#### Signatures

<u>/s/ Kerry L. Mustoe</u> <u>05/16/2013</u>

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.