

SECURITIES AND EXCHANGE COMMISSION

FORM 3

Filing Date: **2013-01-17** | Period of Report: **2013-01-07**
SEC Accession No. [0001567227-13-000001](#)

(HTML Version on secdatabase.com)

REPORTING OWNER

Kappen Amy F.

CIK: [1567227](#)

Type: **3** | Act: **34** | File No.: [000-27610](#) | Film No.: **13536092**

Mailing Address
7840 MONTGOMERY ROAD
CINCINNATI OH 45236

ISSUER

LCA VISION INC

CIK: [1003130](#) | IRS No.: **112882328** | State of Incorporation: **DE** | Fiscal Year End: **1231**
SIC: **8093** Specialty outpatient facilities, nec

Mailing Address
7840 MONTGOMERY ROAD
CINCINNATI OH 45236

Business Address
7840 MONTGOMERY RD
CINCINNATI OH 45236
5137929292

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0104
Expires:	02/28/2011
Estimated average burden hours per response	0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <u>Kappen Amy F.</u> (Last) (First) (Middle) <u>7840 MONTGOMERY ROAD</u> (Street) <u>CINCINNATI, OH 45236</u> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>01/07/2013</u>	3. Issuer Name and Ticker or Trading Symbol <u>LCA VISION INC [LCAV]</u> 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) ___ Director ___ 10% Owner <u>X</u> Officer (give title below) ___ Other (specify below) <u>Chief Financial Officer</u>	5. If Amendment, Date Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check applicable line) <u>X</u> Form Filed by One Reporting Person ___ Form Filed by More than One Reporting Person
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
<u>Common Stock</u>	<u>10,000 (1)</u>	<u>D</u>	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

- 1. Includes unvested time-based restricted stock unit awards under the Company's Stock Incentive Plan.

Signatures

/s/ Bridget C. Hoffman, attorney-in-fact for Amy F. Kappen

** Signature of Reporting Person

01/17/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.