SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2023-10-10 | Period of Report: 2023-10-09 SEC Accession No. 0001225208-23-009431

(HTML Version on secdatabase.com)

REPORTING OWNER

Fisher Daniel William

CIK:1693093 Type: 4 | Act: 34 | File No.: 001-04949 | Film No.: 231316385

ISSUER

CUMMINS INC

CIK:26172| IRS No.: 350257090 | State of Incorp.:IN | Fiscal Year End: 1231 SIC: 3510 Engines & turbines Mailing Address 2526 SPRUCE MEADOWS DRIVE BROOMFIELD CO 80023

 Mailing Address
 Business Address

 BOX 3005 MAIL CODE 60207
 500 JACKSON ST

 500
 BOX 3005 MAIL CODE 60207

 COLUMBUS IN 47202-3005
 COLUMBUS IN 47202-3005

 8123773842
 8123773842



Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| OMB APPROVAL | | | | | | | | | | |
|---------------------|------------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Expires: | 02/28/2011 | | | | | | | | | |
| Estimated average b | ourden | | | | | | | | | |
| hours per response | 0.5 | | | | | | | | | |

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Addre Fisher Daniel | ess of Reporting Pers <mark>William</mark> | son [*] | 2. Issuer Name and Ticker or Trading Symbol <u>CUMMINS INC</u> [CMI] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director10% Owner | | | | |
|------------------------------------|---|------------------|---|---|--|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 10/09/2023 | Difficer (give title Other (specify below) | | | | |
| 500 JACKSON S | STREET | | | | | | | |
| (Street) COLUMBUS, IN 47201 | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing (Check applicable line) XForm Filed by One Reporting Person Form Filed by More than One Reporting Person | | | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/ Day/Year) | 2A. Deemed Execution Date, if any (Month/ Day/Year) | 3. Transaction Code (Instr. 8) | | Disposed of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially Owned | Ownership | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------|--|---|---|---|-------------------------------------|------------------|-------|---|-----------|--|
| | | | Code | v | | (A) or (D) | Price | Following Reported Transaction(s) (Instr. 3 and 4) | ' | |
| Common | 10/09/2023 | | A | | 441 | Α | \$ 0 | 441 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
|--|---|--|---|-----------------------------------|---|-------------|--|--|--------------------|------------------------------------|---|--|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | | 3A. Deemed Execution Date, if any (Month/ Day/ Year) | 4. Transa Code (Instr. 8 | | of Deriv | vative irities iired r osed) : 3, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Securitie Underlyi Derivativ | Amount of Securities Underlying Derivative Security (Instr. 3 | | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | Derivative Security: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Signatures

/s/ Nicole Y. Lamb-Hale, Attorney-in-Fact

** Signature of Reporting Person

<u>10/10/2023</u> Date Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.