## SECURITIES AND EXCHANGE COMMISSION

## FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2024-08-15** | Period of Report: **2024-08-15** | SEC Accession No. 0000798354-24-000164

(HTML Version on secdatabase.com)

## **REPORTING OWNER**

**Chiarello Guy** 

CIK:1654667

Type: 4 | Act: 34 | File No.: 001-38962 | Film No.: 241213814

Mailing Address 600 N. VEL R. PHILLIPS AVENUE MILWAUKEE WI 53203

## **ISSUER**

**FISERV INC** 

CIK:798354| IRS No.: 391506125 | State of Incorp.:WI | Fiscal Year End: 1231

SIC: 7389 Business services, nec

Mailing Address 600 N. VEL R. PHILLIPS AVENUE MILWAUKEE WI 53203 Business Address 600 N. VEL R. PHILLIPS AVENUE MILWAUKEE WI 53203 4148795000

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Chiarello Guy	of Reporting Person _		2. Issuer Name <b>and</b> Ticker or Trading Symbol <b>FISERV INC</b> [FI]	Relationship of Reporting Person(s) to Issuer     (Check all applicable)     Director					
( )		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/15/2024	X_ Officer (give title Other (specify below) below) Chief Operating Officer					
600 N. VEL R. PH	ILLIPS AVENUE								
(Street)  MILWAUKEE, WI 53203			4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) X Form Filed by One Reporting Person Form Filed by More than One Reporting Person					
(City)	(State)	(Zip)							

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	Execution Date, if any (Month/Day/	3. Transa Code ( 8)		4. Securities Acquof (D) (Instr. 3, 4			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Year)	Code	v	Amount	(A) or (D)	Price			
Common Stock	08/15/2024		<u>M</u>		24,000 (1)	A	\$52.81	187,699	D	
Common Stock	08/15/2024		<u>S</u>		24,000 <sup>(1)</sup>	D	\$164.97 <sup>(2)</sup>	163,699	D	
Common Stock								37,381	I	By Trust (3)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/ Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		of Derivative	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(i) (instr. 4)	
Employee Stock Option (right to buy)	\$52.81	08/15/2024		<u>M</u>			24,000 (1)	07/29/2019 (4)	10/15/2025	Common Stock	24,000	\$ 0	0	D	

#### **Explanation of Responses:**

1. This option exercise and sale was effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person on December 15, 2023, for estate and financial planning purposes.

- 2. This transaction was executed in multiple trades at prices ranging from \$164.16 to \$165.15. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- 3. Held by The Denise Chiarello 2021 Trust for the benefit of the reporting person and the reporting person's children and of which the reporting person serves as trustee.
- 4. This option vested 25% on each of December 31, 2017, and December 31, 2018, and 50% on July 29, 2019.

#### **Signatures**

/s/ Eric C. Nelson (attorney-in-fact)

08/15/2024

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.