

SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2024-05-17 | Period of Report: 2024-05-15  
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(HTML Version on [secdatabase.com](https://www.secdatabase.com))

REPORTING OWNER

<b>Chiarello Guy</b> CIK: 1654667 Type: 4   Act: 34   File No.: 001-38962   Film No.: 24960051	Mailing Address 600 N. VEL R. PHILLIPS AVENUE MILWAUKEE WI 53203
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ISSUER

<b>FISERV INC</b> CIK: 798354   IRS No.: 391506125   State of Incorporation: WI   Fiscal Year End: 1231 SIC: 7389 Business services, nec	Mailing Address 600 N. VEL R. PHILLIPS AVENUE MILWAUKEE WI 53203	Business Address 600 N. VEL R. PHILLIPS AVENUE MILWAUKEE WI 53203 4148795000
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FORM 4

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE  
COMMISSION  
Washington, D.C. 20549

OMB APPROVAL	
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STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Chiarello Guy</u>  (Last) (First) (Middle)  <u>600 N. VEL R. PHILLIPS AVENUE</u>  (Street)  <u>MILWAUKEE, WI 53203</u>  (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>FISERV INC [FI]</u>  3. Date of Earliest Transaction (Month/Day/Year) <u>05/15/2024</u>  4. If Amendment, Date Original Filed(Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below)  <u>Chief Operating Officer</u>  6. Individual or Joint/Group Filing (Check applicable line)  <input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person
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Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/15/2024		<u>M</u>		24,000 <sup>(1)</sup>	A	\$52.81	187,699	D	
Common Stock	05/15/2024		<u>S</u>		12,117 <sup>(1)</sup>	D	\$154.21 <sup>(2)</sup>	175,582	D	
Common Stock	05/15/2024		<u>S</u>		11,883 <sup>(1)</sup>	D	\$154.91 <sup>(3)</sup>	163,699	D	
Common Stock								37,381	I	By Trust <sup>(4)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (right to buy)	\$52.81	05/15/2024		<u>M</u>		24,000 <sup>(1)</sup>	07/29/2019 <sup>(5)</sup>	10/15/2025	Common Stock	24,000	\$ 0	72,000	D	

**Explanation of Responses:**

1. This option exercise and sale was effected pursuant to a Rule 10b5-1 trading plan entered into by the reporting person on December 15, 2023, for estate and financial planning purposes.

2. This transaction was executed in multiple trades at prices ranging from \$153.73 to \$154.71. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
3. This transaction was executed in multiple trades at prices ranging from \$154.73 to \$155.09. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
4. Held by The Denise Chiarello 2021 Trust for the benefit of the reporting person and the reporting person's children and of which the reporting person serves as trustee.
5. This option vested 25% on each of December 31, 2017, and December 31, 2018, and 50% on July 29, 2019.

#### Signatures

/s/ Eric C. Nelson (attorney-in-fact)

\*\* Signature of Reporting Person

05/17/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**