SECURITIES AND EXCHANGE COMMISSION

# FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2024-11-20 | Period of Report: 2024-11-18 SEC Accession No. 0001127602-24-027730

(HTML Version on secdatabase.com)

## **REPORTING OWNER**

#### Fisher Daniel William

CIK:1693093 Type: 4 | Act: 34 | File No.: 001-07349 | Film No.: 241480984

### **ISSUER**

#### **BALL Corp**

CIK:9389| IRS No.: 350160610 | State of Incorp.:IN | Fiscal Year End: 1231 SIC: 3411 Metal cans

Mailing Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021

Business Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021 3034695511

2526 SPRUCE MEADOWS

BROOMFIELD CO 80023

Mailing Address

DRIVE

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL											
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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Fisher Daniel W	1 0	*	2. Issuer Name <b>and</b> Ticker or Trading Symbol BALL Corp [BALL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) 				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/18/2024	below) President & C.E.O.				
9200 W. 108TH C	IRCLE							
WESTMINSTER,	(Street) CO 80021		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) Form Filed by One Reporting Person Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)	-					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/Day/	Transaction Code (Instr.		4. Securities Act Disposed of (D)			5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Year)	Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	11/18/2024		M		22,536	Α	\$33.075	135,582.735	D <sup>(<u>1</u>)</sup>	
Common Stock	11/18/2024		<u>F</u>		16,734	D	\$60.86	118,848.735	D <sup>(1)</sup>	
Common Stock	11/18/2024		M		19,378	Α	\$33.05	138,229	D <sup>(1)</sup>	
Common Stock	11/18/2024		<u>F</u>		14,384	D	\$60.86	123,845	D <sup>(1)</sup>	
Common Stock	11/20/2024		<u>S</u>		5,802	D	\$59.7716 <sup>(2)</sup>	118,043	D <sup>(1)</sup>	
Common Stock								9,246	I ( <u>1</u> )	Held by Reporting Person's Spouse (3)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed	4. Transaction		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/ Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Stock Appreciation Rights (SARS)	\$33.075	11/18/2024		M			22,536	02/04/2016	02/04/2025	Common Stock	22,536	\$ 0	0	D	

Stock Appreciation Rights (SARS)	\$33.05	11/18/2024		М			19,378	01/27/2017	01/27/2026	Common Stock	19,378	<b>\$</b> 0	0	D		
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#### **Explanation of Responses:**

- 1. The securities included herein represent only those securities that are required to be disclosed pursuant to Section 16(a) of the Securities Exchange Act of 1934 in connection with the specific transaction(s) reported herein. The reporting person is the beneficial owner of additional shares and/or derivative securities of the issuer that are not disclosed on this Form 4. For additional information regarding the reporting person's ownership of issuer securities, refer to Forms 4 previously filed by the reporting person and the Compensation Discussion & Analysis section of the issuer's 2024 Proxy Statement.
- 2. This transaction was executed in multiple trades at prices ranging from \$59.760 to \$59.835. The price reported reflects the weighted average price. The reporting person will, upon request, provide to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- 3. The reporting person expressly disclaims beneficial ownership of these securities.

#### Signatures

/s/ Derek Redmond, attorney-in-fact for Mr. Fisher
\*\* Signature of Reporting Person

<u>11/20/2024</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

# **EXHIBIT 24**

### **BALL Corp** Power of Attorney for Executing Forms 3, 4 and 5

Know all men by these presents, that the undersigned, director or officer, or both, of BALL Corp, hereby constitutes and appoints each of Derek R. Redmond, signing singly, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of BALL Corp (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;

do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5 and timely file such form with the United States Securities and Exchange Commission and any stock exchange or similar authority; and

(2)

take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being

(3) understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities of BALL Corp unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has executed this Power of Attorney as of this  $16^{\text{th}}$  day of September, 2024

/s/ Daniel W. Fisher

Daniel W. Fisher