

SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2004-08-12** | Period of Report: **2004-08-10**

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([HTML Version](#) on secdatabase.com)

ISSUER

TRADESTATION GROUP INC

CIK: **1111559** | IRS No.: **650977576** | State of Incorporation: **FL** | Fiscal Year End: **1231**
SIC: **6211** Security brokers, dealers & flotation companies

Mailing Address
8050 SW 10TH STREET
SUITE 4000
PLANTATION FL 33324

Business Address
8050 SW 10TH STREET
SUITE 4000
PLANTATION FL 33324
(954) 652-7000

REPORTING OWNER

CRUZ WILLIAM R

CIK: **1052104** | Fiscal Year End: **1231**
Type: **4** | Act: **34** | File No.: **000-31049** | Film No.: **04971210**

Mailing Address
8050 SW 10TH STREET
SUITE 4000
PLANTATION FL 33324

Business Address
8050 SW 10TH STREET
SUITE 4000
PLANTATION FL 33324
(954) 652-7000

WRCF I 1997 LTD PARTNERSHIP

CIK: **1288223**
Type: **4** | Act: **34** | File No.: **000-31049** | Film No.: **04971209**

Mailing Address
5100 WESTHEIMER
SECOND FLOOR, UNIT 17-A
HOUSTON TX 77056

Business Address
5100 WEST HEIMER
SUITE 17A
HOUSTON TX 77056
954 652 7085

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person CRUZ WILLIAM R			2. Issuer Name and Ticker or Trading Symbol TRADESTATION GROUP INC [TRAD]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Co-Chairman and Co-CEO		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/10/2004					
8050 SW 10TH STREET, SUITE 4000			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing (Check applicable line) <input type="checkbox"/> Form Filed by One Reporting Person <input checked="" type="checkbox"/> Form Filed by More than One Reporting Person		
(Street)								
PLANTATION, FL 33324								
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	08/10/2004		<u>S</u> (1)		2,000	D	\$5.35	8,582,738	I	Partner (2)
Common Stock	08/10/2004		<u>S</u> (1)		1,777	D	\$5.3	8,580,961	I	Partner (2)
Common Stock	08/10/2004		<u>S</u> (1)		1,000	D	\$5.25	8,579,961	I	Partner (2)
Common Stock	08/10/2004		<u>S</u> (1)		200	D	\$5.24	8,579,761	I	Partner (2)
Common Stock	08/10/2004		<u>S</u> (1)		1,700	D	\$5.23	8,578,061	I	Partner (2)
Common Stock	08/10/2004		<u>S</u> (1)		3,323	D	\$5.2	8,574,738	I	Partner (2)
Common Stock	08/10/2004		<u>S</u> (1)		2,000	D	\$5.17	8,572,738	I	Partner (2)
Common Stock	08/10/2004		<u>S</u> (1)		1,000	D	\$5.15	8,571,738	I	Partner (2)
Common Stock	08/11/2004		<u>S</u> (1)		959	D	\$5.3	8,570,779	I	Partner (2)
Common Stock	08/11/2004		<u>S</u> (1)		1,000	D	\$5.26	8,569,779	I	Partner (2)
Common Stock	08/11/2004		<u>S</u> (1)		4,041	D	\$5.25	8,565,738	I	Partner (2)
Common Stock	08/11/2004		<u>S</u> (1)		3,000	D	\$5.2	8,562,738	I	Partner (2)
Common Stock	08/11/2004		<u>S</u>		0	D	\$ 0	1,950,000	I	Partner (3)
Common Stock	08/11/2004		<u>S</u>		0	D	\$ 0	900	I	Spouse (4)
Common Stock	08/11/2004		<u>S</u>		0	D	\$ 0	100	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CRUZ WILLIAM R 8050 SW 10TH STREET SUITE 4000 PLANTATION, FL 33324	X	X	Co-Chairman and Co-CEO	
WRCF I 1997 LTD PARTNERSHIP 5100 WESTHEIMER SECOND FLOOR, UNIT 17-A HOUSTON, TX 77056		X		

Explanation of Responses:

- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by WRCF-I 1997 Limited Partnership on February 12, 2004.
- Shares owned by WRCF-I 1997 Limited Partnership, the 1% general partner of which is a Texas corporation that is wholly owned by William R. Cruz and the 99% limited partner of which is William R. Cruz. WRCF-I 1997 Limited Partnership is a 10% owner of the issuer.
- Shares owned by WRCF-II 1997 Limited Partnership, which is 100% beneficially owned by William R. Cruz. This partnership engaged in no purchase or sale transactions regarding the shares.
- Shares owned by Patricia Cruz, the wife of William R. Cruz. William R. Cruz disclaims beneficial ownership of these shares.

Signatures

/s/ William R. Cruz, individually and as President of WRCF-I GP, Inc., the general partner of WRCF-I 1997 Limited Partnership

** Signature of Reporting Person

08/12/2004

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.