

# SECURITIES AND EXCHANGE COMMISSION

## FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2004-05-18** | Period of Report: **2004-05-17**  
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### ISSUER

#### **ALIGN TECHNOLOGY INC**

CIK: **1097149** | IRS No.: **943267295** | State of Incorporation: **DE** | Fiscal Year End: **1231**  
SIC: **3842** Orthopedic, prosthetic & surgical appliances & supplies

Mailing Address  
851 MARTIN AVENUE  
SANTA CLARA CA 95050

Business Address  
851 MARTIN AVENUE  
SANTA CLARA CA 95050  
4087381500

### REPORTING OWNER

#### **PRESCOTT THOMAS M**

CIK: **1234773**  
Type: **4** | Act: **34** | File No.: **000-32259** | Film No.: **04817250**

Mailing Address  
C/O ALIGN TECHNOLOGY INC  
881 MARTIN AVENUE  
SANTA CLARA CA 95050

Business Address  
C/O ALIGN TECHNOLOGY INC  
881 MARTIN AVENUE  
SANTA CLARA CA 95050  
4084701000

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### OMB APPROVAL

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <b>PRESCOTT THOMAS M</b>			2. Issuer Name and Ticker or Trading Symbol <b>ALIGN TECHNOLOGY INC [ALGN]</b>		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <b>President and CEO</b>	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <b>05/17/2004</b>			
C/O ALIGN TECHNOLOGY INC, 881 MARTIN AVENUE			4. If Amendment, Date Original Filed(Month/Day/Year)		6. Individual or Joint/Group Filing (Check applicable line) <input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person	
(Street)						
SANTA CLARA, CA 95050						
(City)	(State)	(Zip)				

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/17/2004	05/17/2004	<u>M</u>		5,000	A	\$4.95	88,585	D	
Common Stock	05/17/2004	05/17/2004	<u>S</u> <sup>(1)</sup>		1,000	D	\$19.19	87,585	D	
Common Stock	05/17/2004	05/17/2004	<u>S</u> <sup>(1)</sup>		1,000	D	\$19.07	86,585	D	
Common Stock	05/17/2004	05/17/2004	<u>S</u> <sup>(1)</sup>		1,000	D	\$18.86	85,585	D	
Common Stock	05/17/2004	05/17/2004	<u>S</u> <sup>(1)</sup>		1,000	D	\$18.78	84,585	D	
Common Stock	05/17/2004	05/17/2004	<u>S</u> <sup>(1)</sup>		1,000	D	\$18.8	83,585	D	
Common Stock	05/18/2004	05/18/2004	<u>M</u>		5,000	A	\$4.95	88,585	D	
Common Stock	05/18/2004	05/18/2004	<u>S</u> <sup>(1)</sup>		1,000	D	\$19.41	87,585	D	
Common Stock	05/18/2004	05/18/2004	<u>S</u> <sup>(1)</sup>		1,000	D	\$19.38	86,585	D	
Common Stock	05/18/2004	05/18/2004	<u>S</u> <sup>(1)</sup>		3,000	D	\$19.5	83,585	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
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				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Right to buy (Common Stock)	\$4.95	05/17/2004	05/17/2004	<u>M</u>			5,000	03/27/2003	03/27/2012	Common Stock	5,000	\$ 0	878,192	D	
Right to buy (Common Stock)	\$4.95	05/18/2004	05/18/2004	<u>M</u>			5,000	03/27/2003	03/27/2012	Common Stock	5,000	\$ 0	873,192	D	

**Explanation of Responses:**

- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 20,2004.

**Signatures**

Thomas M. Prescott

\*\* Signature of Reporting Person

05/18/2004

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**