

SECURITIES AND EXCHANGE COMMISSION

FORM 8-K

Current report filing

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FILER

**KCAP Financial, Inc.**

CIK: [1372807](#) | IRS No.: **205348000** | State of Incorporation: **DE** | Fiscal Year End: **1231**  
Type: **8-K** | Act: **34** | File No.: [814-00735](#) | Film No.: **13519863**

Mailing Address  
295 MADISON AVENUE  
6TH FLOOR  
NEW YORK NY 10017

Business Address  
295 MADISON AVENUE  
6TH FLOOR  
NEW YORK NY 10017  
212-455-8300

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15(d) of  
The Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported) **January 9, 2013**

**KCAP Financial, Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdiction  
of incorporation)

**814-00735**

(Commission File Number)

**20-5951150**

(IRS Employer Identification No.)

**295 MADISON AVENUE**

**NEW YORK, NY**

(Address of principal executive offices)

**10017**

(Zip Code)

Registrant's telephone number, including area code: **(212) 455-8300**

**Kohlberg Capital Corporation**

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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**Item 8.01. Other Events.**

On January 9, 2013 the Registrant issued a press release, a copy of which is attached hereto as Exhibit 99.1 and is incorporated herein by reference.

**Item 9.01. Financial Statements and Exhibits.**

Exhibit 99.1. Press release dated January 9, 2013

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**KCAP Financial, Inc.**

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(Registrant)

January 9, 2013

(Date)

/s/ EDWARD U. GILPIN

Edward U. Gilpin  
*Chief Financial Officer*

## Exhibit Index

99.1 Press release dated January 9, 2013

**KCAP Hires Knopping to Lead Origination Activities**

NEW YORK, Jan. 9, 2013 (GLOBE NEWSWIRE) -- KCAP Financial, Inc. announced that Jeff Knopping joined the firm as a Managing Director to lead the firm's origination activities as KCAP Financial continues to expand its platform. KCAP Financial is a leading lender to middle market companies.

"We are delighted to have the benefit of Jeff's experience and relationships," commented Dayl Pearson, Chief Executive Officer of KCAP Financial. "Jeff brings a tremendous track record of structuring transactions and lending to a broad array of companies across the capital structure."

Jeff has enjoyed 25 years of lending to growing companies and restructuring debt of challenged companies. Jeff has raised more than \$50 billion in more than 200 transactions. Jeff and his team are responsible for expanding KCAP's lending activities with middle market companies by sourcing and structuring both senior and junior capital across a variety of industries.

Prior to KCAP, Mr. Knopping was a Managing Director in William Blair & Company's Private Capital and Special Situations groups. Previously, Mr. Knopping spent 13 years at GE Capital where he co-founded the debt capital markets business and ultimately led all capital markets activities for GE Commercial Finance and served as the Chief Investment Officer of the Global Sponsor Finance practice overseeing a \$4.1 billion portfolio. Prior to that, Mr. Knopping spent six years in Citigroup's Loan Syndication Business.

Mr. Knopping earned his MBA in Finance from The Wharton School. In 2011, Mr. Knopping was elected to the Turnaround Management Association's International Board of Directors.

**About KCAP Financial, Inc.**

KCAP Financial, Inc. is a publicly traded, internally managed business development company. The Company's middle market investment business originates, structures, finances and manages a portfolio of term loans, mezzanine investments and selected equity securities in middle market companies. The Company's wholly owned portfolio companies Trimaran Advisors, L.L.C and Katonah Debt Advisors, L.L.C., manage collateralized loan obligation funds that invest in broadly syndicated corporate term loans, high-yield bonds and other credit instruments.

The KCAP Financial, Inc. logo is available at <http://www.globenewswire.com/newsroom/prs/?pkgid=3121>

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