SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2022-06-17** | Period of Report: **2022-06-15** SEC Accession No. 0001062993-22-015203

(HTML Version on secdatabase.com)

REPORTING OWNER

Fisher Daniel William

CIK:1693093

Type: 4 | Act: 34 | File No.: 001-07349 | Film No.: 221025551

Mailing Address 2526 SPRUCE MEADOWS DRIVE BROOMFIELD CO 80023

ISSUER

BALL Corp

CIK:9389| IRS No.: 350160610 | State of Incorp.:IN | Fiscal Year End: 1231 SIC: 3411 Metal cans

Mailing Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021 Business Address 9200 W. 108TH CIRCLE WESTMINSTER CO 80021 3034695511

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL											
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Fisher Daniel V		on *	2. Issuer Name and Ticker or Trading Symbol BALL Corp [BLL]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/15/2022	XOfficer (give titleOther (specify below) below) President & C.E.O.				
9200 W. 108TH C	IRCLE							
WESTMINSTER,	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) _X_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	Transaction Date (Month/ Day/Year)	Date, if any (Month/ Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned	Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)		
Common Stock	06/15/2022		<u>M</u>		5,880 (1)	A	\$66.27	104,178.7178	D (2)		
Common Stock	06/15/2022		<u>F</u>		2,582 ⁽³⁾	D	\$66.27	101,596.7178	D (2)		
Common Stock								9,910.9524	I (2)	By Spouse (4)	
Common Stock								1,887.401	I (2)	401(k) Plan (5)	
Common Stock								1,097.312	I (2)	401(k) Plan by Spouse (4) (5)	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	-		Execution Date, if any (Month/ Day/	4. Transaction Code (Instr. 8)		Derivative		and Expiration Date (Month/Day/Year)		of Securities Underlying		of	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Year)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Restricted Stock Units	(<u>6</u>)	06/15/2022		<u>A</u> (7)		7,000		<u>(8)</u>	<u>(8)</u>	Common Stock	7,000	\$ 0	7,000	D (2)	

Restricted Stock Units	(<u>6</u>)	06/15/2022		<u>M</u> (9)			5,880	(<u>6</u>)	(<u>6</u>)	Common Stock	5,880	\$ 0	46,926	D (2)		
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Explanation of Responses:

- 1. Common stock acquired upon the lapse of Table II Restricted Stock Units.
- 2. The securities included herein represent only those securities that are required to be disclosed pursuant to Section 16(a) of the Securities Exchange Act of 1934 in connection with the specific transaction(s) reported herein. The reporting person is the beneficial owner of additional shares and/or derivative securities of the issuer that are not disclosed on this Form 4. For additional information regarding the reporting person's ownership of issuer securities, refer to Forms 4 previously filed by the reporting person and the Compensation Discussion & Analysis section of the issuer's 2022 Proxy Statement.
- 3. Shares withheld for the payment of the tax obligation on the lapse of restrictions on Table II Restricted Stock Units.
- 4. The reporting person expressly disclaims beneficial ownership of these securities.
- 5. Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- 6. Each restricted stock unit represents a contingent right to receive one share of Ball Corporation Common Stock.
- 7. Restricted Stock Units grant in conjunction with the Deposit Share Program.
- 8. The restricted stock units will cliff lapse after four years from the restricted stock unit grant date. The lapsing restrictions may be accelerated by meeting and maintaining the reporting person's stock ownership guidelines. If the stock ownership guidelines are met by the second anniversary of the grant date and are maintained through the accelerated vesting period, then30% of the restriction will lapse on or immediately following the second anniversary of the grant date, 30% of the restriction will lapse on or immediately following the third anniversary of the grant date, and 40% of the restriction will lapse on or immediately following the fourth anniversary of the grant date. Vested shares will be delivered to the reporting person in accordance with the aforementioned terms, or, if the shares are deferred, in accordance with the reporting person's deferral elections or the terms of the Program and/or the applicable Plan.
- 9. Lapse of restrictions on Restricted Stock Units.

Signatures

/s/ Charles E. Baker, attorney-in-fact for Mr. Fisher

Date

06/17/2022

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.