

SECURITIES AND EXCHANGE COMMISSION

FORM 3

Filing Date: **2013-01-10** | Period of Report: **2013-01-07**
SEC Accession No. [0001062993-13-000206](#)

(HTML Version on secdatabase.com)

REPORTING OWNER

Pan Danny

CIK: **1566487**

Type: **3** | Act: **34** | File No.: **001-32898** | Film No.: **13523654**

Mailing Address
BAK INDUSTRIAL PARK
NO. 1 BAK STREET
KUICHONG TOWN
LONGGANG DISTRICT F4
518119

ISSUER

CHINA BAK BATTERY INC

CIK: **1117171** | IRS No.: **880442833** | State of Incorp.: **NV** | Fiscal Year End: **0930**
SIC: **3690** Miscellaneous electrical machinery, equipment & supplies

Mailing Address	Business Address
BAK INDUSTRIAL PARK, NO. 1 BAK STREET	BAK INDUSTRIAL PARK, NO. 1 BAK STREET
KUICHONG TOWN, LONGGANG DISTRICT	KUICHONG TOWN, LONGGANG DISTRICT
SHENZHEN PEOPLE F4 518119	SHENZHEN PEOPLE F4 518119
	86-755-8977-0093

FORM 3

**UNITED STATES SECURITIES AND
EXCHANGE COMMISSION**
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0104
Expires:	02/28/2011
Estimated average burden hours per response	0.5

**INITIAL STATEMENT OF BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <u>Pan Danny</u> (Last) (First) (Middle) BAK INDUSTRIAL PARK, NO.1 BAK STREET, KUICHONG TOWN, LONGGANG DISTRICT (Street) SHENZHEN, F4 518119 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/ Year) 01/07/2013	3. Issuer Name and Ticker or Trading Symbol <u>CHINA BAK BATTERY INC [CBAK]</u>		
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) <u>Chief Financial Officer</u>		5. If Amendment, Date Original Filed (Month/Day/Year)
				6. Individual or Joint/Group Filing (Check applicable line) <input checked="" type="checkbox"/> Form Filed by One Reporting Person <input type="checkbox"/> Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
---------------------------------	--	---	---

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/ Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Signatures

/s/ Danny Pan

** Signature of Reporting Person

01/10/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.