### SECURITIES AND EXCHANGE COMMISSION

# FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2011-07-11** | Period of Report: **2011-07-08** SEC Accession No. 0000740761-11-000069

(HTML Version on secdatabase.com)

## **REPORTING OWNER**

### **Robinson James M IV**

CIK:1471532

Type: 4 | Act: 34 | File No.: 001-00871 | Film No.: 11962722

Mailing Address
P. O. BOX 500
1100 MILWAUKEE AVENUE
SOUTH MILWAUKEE WI 53172

### **ISSUER**

### **BUCYRUS INTERNATIONAL INC**

CIK:740761| IRS No.: 390188050 | State of Incorp.:DE | Fiscal Year End: 1231 SIC: 3532 Mining machinery & equip (no oil & gas field mach & equip)

Mailing Address P O BOX 500 1100 MILWAUKEE AVENUE SOUTH MILWAUKEE WI 53172-0500 Business Address P O BOX 500 1100 MILWAUKEE AVENUE SOUTH MILWAUKEE WI 53172-0500 4147684000

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

 OMB APPROVAL

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address Robinson James	. 0	1.	2. Issuer Name and Ticker or Trading Symbol BUCYRUS INTERNATIONAL INC [BUCY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director Number of Experiment (Check all applicable) (Check all applicable) 10% Owner Other (specify below)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/08/2011	Senior VP and General Counsel				
P. O. BOX 500, 11	100 MILWAUKE	E AVENUE						
SOUTH MILWAU	(Street)  JKEE, WI 53172		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) XForm Filed by One Reporting PersonForm Filed by More than One Reporting Person				
(City)	(State)	(Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)		
Common Stock	07/08/2011		<u>D</u>		2,109	D	<u>(1)</u>	0	D		
Common Stock	07/08/2011		<u>D</u>		5,050	D	<u>(1)</u>	0 (2)	D		
Common Stock	07/08/2011		<u>D</u>		2,450	D	<u>(1)</u>	0 (2)	D		
Common Stock	07/08/2011		<u>D</u>		4,750	D	(1)	0 (2)	D		

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/ Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported	Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Stock Appreciation Rights	\$59.48	07/08/2011		<u>D</u>			8,325	<u>(3)</u>	02/23/2020	Common Stock	8,325	( <u>4</u> )	0	D	
Stock Appreciation Rights	\$28.97	07/08/2011		D			15,412	( <u>5</u> )	09/01/2019	Common Stock	15,412	( <u>4</u> )	0	D	

#### **Explanation of Responses:**

- 1. Shares of Common Stock were converted into the right to receive \$92.00 per share pursuant to an Agreement and Plan of Merger, dated November 14, 2010, by and among Bucyrus International, Inc., Caterpillar Inc. and Badger Merger Sub, Inc. (the "Merger Consideration").
- 2. Prior to consummation of the merger, each outstanding share of restricted stock became fully vested and free of restrictions, and was converted into the Merger Consideration.
- **3.** Prior to consummation of the merger, the stock appreciation rights would have vested 10%, 15%, 25% and 50%, respectively, on December 31, 2010, 2011, 2012 and 2013.
- **4.** The stock appreciation rights were canceled in the merger and the reporting person became entitled to receive a cash payment equal to the product of (i) the number of shares of common stock subject to such stock apprection right and (ii) the amount, if any, by which \$92.00 exceeds the exercise price per share of such stock appreciation right.
- 5. Prior to consummation of the merger, the stock appreciation rights would have vested 10%, 15%, 25% and 50%, respectively, on December 31, 2009, 2010, 2011 and 2012.

### **Signatures**

/s/James M. Robinson IV

07/11/2011

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.