SECURITIES AND EXCHANGE COMMISSION

FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: 2011-07-11 | Period of Report: 2011-07-08 SEC Accession No. 0000740761-11-000066

(HTML Version on secdatabase.com)

REPORTING OWNER

de Leon Nieves Alejandro Luis

CIK:1408653 Type: 4 | Act: 34 | File No.: 001-00871 | Film No.: 11962582

ISSUER

BUCYRUS INTERNATIONAL INC

CIK:740761| IRS No.: 390188050 | State of Incorp.:DE | Fiscal Year End: 1231 SIC: 3532 Mining machinery & equip (no oil & gas field mach & equip) Mailing Address P O BOX 500 1100 MILWAUKEE AVENUE SOUTH MILWAUKEE WI 53172-0500 Business Address P O BOX 500 1100 MILWAUKEE AVENUE SOUTH MILWAUKEE WI 53172-0500 4147684000

1100 MILWAUKEE AVENUE

SOUTH MILWAUKEE WI 53172

Mailing Address

P. O. BOX 500

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Form 5 obligations may continue.

Check this box if no longer Check this box in the longer subject to Section 16. Form 4 or

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANG	ίE
COMMISSION	

Washington, D.C. 20549

OMB APPROVAL											
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STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address de Leon Nieves J	1 0	-	2. Issuer Name and Ticker or Trading Symbol BUCYRUS INTERNATIONAL INC [BUCY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X_Officer (give title Other (specify below) below)				
(Last) P. O. BOX 500, 11	(First) 00 MILWAUKEE	(Middle) E AVENUE	3. Date of Earliest Transaction (Month/Day/Year) 07/08/2011	Chief Operating Officer				
SOUTH MILWAU (City)	(Street) VKEE, WI 53172 (State)	(Zip)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) X Form Filed by One Reporting Person Form Filed by More than One Reporting Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if any (Month/Day/	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned	Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Year)	Code	v	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	07/08/2011		D		15,891	D	(1)	0	D	
Common Stock	07/08/2011		D		2,625	D	(1)	0	D	
Common Stock	07/08/2011		D		2,900	D	(1)	0 (2)	D	
Common Stock	07/08/2011		D		16,200	D	(1)	0 (2)	D	
Common Stock	07/08/2011		D		4,200	D	(1)	0 (2)	D	
Common Stock	07/08/2011		D		7,600	D	(1)	0 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puts, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/ Day/		ction	5. Number of 6. Derivative E		6. Date Exercisable and Expiration Date (Month/ Day/Year)		and 7. Title and Amount		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported		11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Year)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(1) (Instr. 4)	
Stock Appreciation Rights	\$59.48	07/08/2011		D			14,220	(<u>3</u>)	02/23/2020	Common Stock	14,220	(<u>4</u>)	0	D	

Stock Appreciation Rights	\$13.52	07/08/2011	D		49,387	(<u>5</u>)	02/24/2019	Common Stock	49,387	(<u>4</u>)	0	D	
Stock Appreciation Rights	\$51.69	07/08/2011	D		7,050	(<u>6</u>)	02/20/2018	Common Stock	7,050	(<u>4</u>)	0	D	

Explanation of Responses:

- 1. Shares of Common Stock were converted into the right to receive \$92.00 per share pursuant to an Agreement and Plan of Merger, dated November 14, 2010, by and among Bucyrus International, Inc., Caterpillar Inc. and Badger Merger Sub Inc. (the "Merger Consideration").
- 2. Prior to consummation of the merger, each outstanding share of restricted stock became fully vested and free of restrictions, and was converted into the Merger Consideration.
- **3.** Prior to consummation of the merger, the stock apreciation rights would have vested 10%, 15%, 25% and 50%, respectively, on December 31, 2010, 2011, 2012 and 2013.
- 4. The stock appreciation rights were canceled in the merger and the reporting person became entitled to receive a cash payment equal to the product of (i) the number of shares of common stock subject to such stock appreciation right and (ii) the amount, if any, by which \$92.00 exceeds the exercise price per share of such stock appreciation right.
- 5. Prior to consummation of the merger, the stock appreciation rights would have vested 10%, 15%, 25% and 50%, respectively, on December 31, 2009, 2010, 2011 and 2012.
- 6. Prior to consummation of the merger, the stock appreciation rights would have vested 10%, 15%, 25% and 50%, respectively, on December 31, 2008, 2009, 2010 and 2011.

Signatures

/s/Alejandro Luis de Leon Nieves

** Signature of Reporting Person

<u>07/11/2011</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.