SECURITIES AND EXCHANGE COMMISSION

FORM 4/A

Statement of changes in beneficial ownership of securities [amend]

Filing Date: **2013-01-14** | Period of Report: **2013-01-11** SEC Accession No. 0001140361-13-001915

(HTML Version on secdatabase.com)

REPORTING OWNER

CARGOULD FRANK D

CIK:1166556

Type: 4/A | Act: 34 | File No.: 001-11595 | Film No.: 13526832

Mailing Address 1725 SHEPHERD RD CHATTANOOGA TN 37421

ISSUER

ASTEC INDUSTRIES INC

CIK:792987 | IRS No.: 620873631 | State of Incorp.:TN | Fiscal Year End: 0723 SIC: 3531 Construction machinery & equip

Mailing Address 1725 SHEPHERD ROAD CHATTANOOGA TN 37421

Business Address 1725 SHEPHERD ROAD CHATTANOOGA TN 37421 4238995898

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL										
OMB Number:	3235-0287									
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Estimated average b	urden									
nours ner resnonse	0.5									

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of CARGOULD FI		*	2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]	Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/11/2013	X_ Officer (give title Other (specify below) below) President-Breaker Technology				
1725 SHEPHERD I	RD							
CHATTANOOCA	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year) 01/14/2013	6. Individual or Joint/Group Filing (Check applicable line) _X Form Filed by One Reporting Person				
CHATTANOOGA, (City)	(State)	(Zip)		Form Filed by More than One Reporting Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/ Year)	2A. Deemed Execution Date, if any (Month/Day/ Year)			4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)		Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock								1,000 (2)	D	
Common Stock								1,029	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	Conversion	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/	4. Transaction Code (Instr. 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Year)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Phantom Stock	<u>(1)</u>	01/11/2013		<u>A</u>		147.9425		(1)	(1)	Common Stock	147.9425 ⁽¹⁾	\$34.5742	9,563.4221 (1)	D	

Explanation of Responses:

- 1. Reported transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to acquire Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- 2. Reflects restricted stock units (RSUs) that convert to common stock on a one-for-one basis at a later date.

Signatures

Robert Taylor, attorney in fact for Frank Cargould

** Signature of Reporting Person

01/14/2013

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.