## SECURITIES AND EXCHANGE COMMISSION

# FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2011-07-11** | Period of Report: **2011-07-08** SEC Accession No. 0000740761-11-000059

(HTML Version on secdatabase.com)

## **REPORTING OWNER**

## **Ortberg Robert Kelly**

CIK:1377692

Type: 4 | Act: 34 | File No.: 001-00871 | Film No.: 11960794

Mailing Address 400 COLLINS ROAD NE M/S 124-124 CEDAR RAPIDS IA 52498

## **ISSUER**

### **BUCYRUS INTERNATIONAL INC**

CIK:740761| IRS No.: 390188050 | State of Incorp.:DE | Fiscal Year End: 1231 SIC: 3532 Mining machinery & equip (no oil & gas field mach & equip)

Mailing Address P O BOX 500 1100 MILWAUKEE AVENUE SOUTH MILWAUKEE WI 53172-0500 Business Address P O BOX 500 1100 MILWAUKEE AVENUE SOUTH MILWAUKEE WI 53172-0500 4147684000

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPF	ROVAL
OMB Number:	3235-0287
Expires:	02/28/2011
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hours ner resnonse	0.5

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addres  Ortherg Rober		on <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol BUCYRUS INTERNATIONAL INC [BUCY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title Other (specify below)				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 07/08/2011					
400 COLLINS RO	OAD NE, M/S 12	4-124						
CEDAR RAPIDS	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing  (Check applicable line)  X Form Filed by One Reporting Person  Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)	-					

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1	1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	Date, if any	Transaction Code (Instr.		Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			(Month/ Day/Year)	Code	V	Amount	(A) or (D)	Price		or Indirect (I) (Instr. 4)	
	Common Stock	07/08/2011		<u>D</u>		6,638	D	(1)	0	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

- 1	1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	 3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

### **Explanation of Responses:**

1. Shares of Common Stock were converted into the right to receive \$92.00 per share pursuant to an Agreement and Plan of Merger, dated November 14, 2010, by and among Bucyrus International, Inc., Caterpillar Inc. and Badger Merger Sub, Inc.

### **Signatures**

/s/Robert K, Ortberg 07/11/2011

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.