

SECURITIES AND EXCHANGE COMMISSION

FORM 13F-HR

Initial quarterly Form 13F holdings report filed by institutional managers

Filing Date: **2013-01-28** | Period of Report: **2012-12-31**
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FILER

SAN FRANCISCO SENTRY INVESTMENT GROUP (CA)

CIK: [1540142](#) | IRS No.: [943182508](#) | State of Incorporation: **CA** | Fiscal Year End: **1231**
Type: **13F-HR** | Act: **34** | File No.: [028-14637](#) | Film No.: [13550782](#)

Mailing Address
*100 PINE STREET
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SAN FRANCISCO CA 94111*

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415-229-9000*

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington D.C. 20549

FORM 13

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 12/31/12

Check here if Amendment []; Amendment Number:

This Amendment (Check only one): [] is a restatement
[] adds new holdings entries.

Institutional Investment Manager Filing this Report:

SAN FRANCISCO SENTRY INVESTMENT GROUP
100 Pine Street, Suite 2700
San Francisco, CA 94111

Form 13F File Number: 28-14637

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Richard E. Dirickson, Jr.
Title: Chairman and Secretary
Phone: (415) 229-9000

Signature, Place and Date of Signing:

/s/ Richard E. Dirickson, Jr. San Francisco, CA January, 28 2013

[Signature] [City, State] [Date]

Report Type (Check only one):

[x] 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

[] 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

[] 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

FORM 13F SUMMARY PAGE

Report Summary:

Number of Other Included Managers: 0

Form 13F Information Table Entry Total: 543

Form 13F Information Table Value Total (x\$1000): 253,757

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

NONE

<TABLE>
<CAPTION>

FORM 13F INFORMATION TABLE

| Name of Issuer | Title | CUSIP | Value (x\$1000) | Shares/ Prn Amt | Sh/ Prn | Put/ Call | Invstmt Dscretn | Voting Authority | | | |
|--------------------------------|-------|-----------|--------------------|--------------------|------------|--------------|--------------------|------------------|------|--------|--------|
| | | | | | | | | Other Manag | Sole | Shared | None |
| <S> | <C> | <C> | <C> | <C> | <C> | <C> | <C> | <C> | <C> | <C> | <C> |
| 3M COMPANY | COM | 88579y101 | 520 | 5600 | SH | | Sole | | | | 5600 |
| 8 X 8 INC | COM | 282914100 | 81 | 11000 | SH | | Sole | | | | 11000 |
| ABB LTD ADR F SPONSORED ADR 1 | ADR | 000375204 | 3 | 125 | SH | | Sole | | | | 125 |
| ABBOTT LABORATORIES | COM | 002824100 | 3 | 50 | SH | | Sole | | | | 50 |
| ABERCROMBIE & FITCH CO | COM | 002896207 | 62 | 1300 | SH | | Sole | | | | 1300 |
| ABERDEEN ASIA PAC INCOME FD | COM | 003009107 | 1397 | 180526 | SH | | Sole | | | | 180526 |
| ABIOMED INC | COM | 003654100 | 27 | 2000 | SH | | Sole | | | | 2000 |
| ACADIA PHARMACEUTICALS INC | COM | 004225108 | 1 | 250 | SH | | Sole | | | | 250 |
| ACCESS MIDSTREAM PARTNERS L P | LP | 004341109 | 5 | 150 | SH | | Sole | | | | 150 |
| ACE LIMITED | COM | h0023r105 | 479 | 6000 | SH | | Sole | | | | 6000 |
| ACME PACKET INC | COM | 004764106 | 7 | 300 | SH | | Sole | | | | 300 |
| ADOBE SYSTEMS INC | COM | 00724f101 | 8348 | 221540 | SH | | Sole | | | | 221540 |
| AG GROWTH INTL INC | COM | 001181106 | 5 | 150 | SH | | Sole | | | | 150 |
| AGILENT TECHNOLOGIES INC | COM | 00846u101 | 467 | 11400 | SH | | Sole | | | | 11400 |
| AK STEEL HOLDING CORP | COM | 001547108 | 6 | 1200 | SH | | Sole | | | | 1200 |
| ALCOA INC | COM | 013817101 | 2 | 282 | SH | | Sole | | | | 282 |
| ALLERGAN INC | COM | 018490102 | 73 | 800 | SH | | Sole | | | | 800 |
| ALLIANCE RESOURCE PARTNERS L P | COM | 01877r108 | 67 | 1150 | SH | | Sole | | | | 1150 |
| ALLIANCEBERNSTEIN INCM | COM | 01881e101 | 3 | 432 | SH | | Sole | | | | 432 |
| ALLSTATE CORPORATION | COM | 020002101 | 4 | 102 | SH | | Sole | | | | 102 |
| ALPHA NATURAL RESOURCES | COM | 02076x102 | 22 | 2250 | SH | | Sole | | | | 2250 |
| ALPS TRUST ETF ALERIAN MLP | COM | 00162q866 | 132 | 8280 | SH | | Sole | | | | 8280 |
| ALTAIR NANOTECHNOLOGIES | COM | 021373105 | 0 | 40 | SH | | Sole | | | | 40 |
| ALTRIA GROUP INC | COM | 02209s103 | 310 | 9874 | SH | | Sole | | | | 9874 |
| AMAZON.COM INC | COM | 023135106 | 717 | 2860 | SH | | Sole | | | | 2860 |
| AMER EXPRESS COMPANY | COM | 025816109 | 64 | 1110 | SH | | Sole | | | | 1110 |
| AMERICAN CARESOURCE HOLDINGS I | COM | 02505A202 | 3 | 2000 | SH | | Sole | | | | 2000 |
| AMERICAN INTERNATIONAL GROUP | WT | 026874156 | 1 | 44 | SH | | Sole | | | | 44 |
| AMERICAN INTL GROUP | COM | 026874784 | 17 | 485 | SH | | Sole | | | | 485 |
| AMERICAN STATES WATER CO HOLDI | COM | 029899101 | 10 | 200 | SH | | Sole | | | | 200 |
| AMERICAN TOWER REIT INC (HLDG | COM | 03027x100 | 631 | 8170 | SH | | Sole | | | | 8170 |
| AMERICAN VANADIUM | COM | 030370100 | 1 | 1200 | SH | | Sole | | | | 1200 |
| AMGEN INC COM | COM | 031162100 | 5449 | 63208 | SH | | Sole | | | | 63208 |
| ANADARKO PETE CORP | COM | 032511107 | 1115 | 15000 | SH | | Sole | | | | 15000 |
| ANALOG DEVICES | COM | 032654105 | 1788 | 42500 | SH | | Sole | | | | 42500 |
| ANDERSON ENERGY LTD | COM | 033839101 | 0 | 1500 | SH | | Sole | | | | 1500 |
| ANNALY CAPITAL MGMT REIT | COM | 035710409 | 359 | 25600 | SH | | Sole | | | | 25600 |
| AOL TIME WARNER INC | COM | 00184a105 | 0 | 6 | SH | | Sole | | | | 6 |
| APACHE CORP | COM | 037411105 | 365 | 4650 | SH | | Sole | | | | 4650 |
| APPLE INC | COM | 037833100 | 3564 | 6697 | SH | | Sole | | | | 6697 |
| APPLIED MATERIALS INC | COM | 038222105 | 14 | 1195 | SH | | Sole | | | | 1195 |
| AQUA AMERICA INC | COM | 03836w103 | 48 | 1900 | SH | | Sole | | | | 1900 |
| ARC RESOURCES LTD | COM | 00208d408 | 214 | 8700 | SH | | Sole | | | | 8700 |
| ARCELOR MITTAL NY NEW F NEW YO | COM | 60684p101 | 3 | 200 | SH | | Sole | | | | 200 |

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|--------------------------------|-----|-----------|-------|--------|----|------|--------|
| ARCHER-DANIELS-MIDLAND CO | COM | 039483102 | 11 | 401 | SH | Sole | 401 |
| ARES CAPITAL CORPORATION | COM | 040101103 | 99 | 5680 | SH | Sole | 5680 |
| AT&T INC | COM | 00206r102 | 288 | 8550 | SH | Sole | 8550 |
| ATLANTIC POWER CORPORATION NEW | COM | 04878q863 | 30 | 2600 | SH | Sole | 2600 |
| ATLAS PIPELINE PARTNERS | COM | 049392103 | 18 | 575 | SH | Sole | 575 |
| ATMEL CORP | COM | 049513104 | 2 | 375 | SH | Sole | 375 |
| AVATEX CORP | COM | 00765f101 | 2 | 500 | SH | Sole | 500 |
| AVON PRODUCTS INC | COM | 054303102 | 3 | 201 | SH | Sole | 201 |
| BABCOCK & WILCOX CO | COM | 05615f102 | 3 | 127 | SH | Sole | 127 |
| BAIDU INC ADR F SPONSORED ADR | ADR | 056752108 | 122 | 1220 | SH | Sole | 1220 |
| BANK OF AMER SER D PFD DEP SHS | PFD | 060505831 | 5 | 200 | SH | Sole | 200 |
| BANK OF AMERICA 7.25% NON-CUMU | PFD | 060505724 | 101 | 4000 | SH | Sole | 4000 |
| BANK OF AMERICA CORP | COM | 060505104 | 523 | 45052 | SH | Sole | 45052 |
| BANK OF AMERICA PFD PERPETUAL | PFD | 060505591 | 7 | 300 | SH | Sole | 300 |
| BARCLAYS BANK PLC ADR F SPONSO | ADR | 06738e204 | 6 | 350 | SH | Sole | 350 |
| BAXTER INTERNTL INC | COM | 071813109 | 328 | 4925 | SH | Sole | 4925 |
| BAYERISCHE MOTR ADR F UNSPONSO | COM | 072743206 | 18 | 575 | SH | Sole | 575 |
| BEAM INC | COM | 073730103 | 265 | 4333 | SH | Sole | 4333 |
| BERKSHIRE HATHAWAYINC DEL CL | COM | 084670702 | 81 | 900 | SH | Sole | 900 |
| BHP BILLITON LTD SPONSORED ADR | ADR | 088606108 | 329 | 4200 | SH | Sole | 4200 |
| BLACKROCK CA MUNI INCOME TR SH | COM | 09248e102 | 80 | 4886 | SH | Sole | 4886 |
| BLACKROCK HIGH YIELD TR | COM | 09248n102 | 74 | 10000 | SH | Sole | 10000 |
| BLACKROCK MUNIYLD NY INS | COM | 09255e102 | 91 | 6000 | SH | Sole | 6000 |
| BLACKSTONE GROUP LP COM UN | LP | 09253u108 | 2 | 150 | SH | Sole | 150 |
| BLKRCK MUNIHLDS CA QLT | COM | 625933106 | 8 | 500 | SH | Sole | 500 |
| BLKRCK MUNIYIELD CAL FD | COM | 09254m105 | 13 | 740 | SH | Sole | 740 |
| BLKRCK MUNIYIELD QLT | COM | 092541f10 | 6 | 325 | SH | Sole | 325 |
| BLKRCK MUNIYIELD QUALITY | COM | 09254e103 | 8 | 500 | SH | Sole | 500 |
| BOARDWALK PIPELINE PARTNERS LP | COM | 096627104 | 5125 | 205836 | SH | Sole | 205836 |
| BOEING CO | COM | 097023105 | 1763 | 23400 | SH | Sole | 23400 |
| BOSTON SCIENTIFIC CORP | COM | 101137107 | 233 | 40617 | SH | Sole | 40617 |
| BP PLC SPON ADR | ADR | 055622104 | 32 | 775 | SH | Sole | 775 |
| BP PRUDHOE BAY ROYALTY TRUST U | COM | 055630107 | 1786 | 26054 | SH | Sole | 26054 |
| BRISTOL-MYERS SQUIBB CO | COM | 110122108 | 391 | 11984 | SH | Sole | 11984 |
| BROADCOM CORP CALIF CL A | COM | 111320107 | 287 | 8650 | SH | Sole | 8650 |
| BROADVISION INC NEW | COM | 111412102 | 0 | 1 | SH | Sole | 1 |
| BROOKFIELD ASSET MANAGEMENT IN | COM | 112585104 | 165 | 4500 | SH | Sole | 4500 |
| BSQUARE CORP | COM | 11776u102 | 4 | 1500 | SH | Sole | 1500 |
| BUCKEYE PARTNERS LP UNITS LTD | COM | 118230101 | 5 | 102 | SH | Sole | 102 |
| BUCKEYE PARTNERS UTS L P UNIT | COM | 118230101 | 7 | 150 | SH | Sole | 150 |
| BYD COMPANY LTD H SHARES | COM | 6536651 | 47 | 15600 | SH | Sole | 15600 |
| C S X CORP | COM | 126408103 | 31 | 1574 | SH | Sole | 1574 |
| C V S CAREMARK CORP | COM | 126650100 | 387 | 8000 | SH | Sole | 8000 |
| CA WATER SERVICE GROUP | COM | 130788102 | 13 | 700 | SH | Sole | 700 |
| CABOT OIL & GAS | COM | 127097103 | 7720 | 155200 | SH | Sole | 155200 |
| CALPINE CORP COM NEW | COM | 131347304 | 327 | 18015 | SH | Sole | 18015 |
| CALUMET SPECIALTY PRODUCTS PAR | COM | 131476103 | 83 | 2728 | SH | Sole | 2728 |
| CAMECO CORP | COM | 133211108 | 375 | 19050 | SH | Sole | 19050 |
| CANADIAN NATURAL RES F | COM | 136385101 | 4 | 125 | SH | Sole | 125 |
| CATERPILLAR INC DEL | COM | 149123101 | 28659 | 319824 | SH | Sole | 319824 |
| CELGENE CORP COM | COM | 151020104 | 1777 | 22641 | SH | Sole | 22641 |
| CENOVUS ENERGY INC | COM | 15135u109 | 44 | 1300 | SH | Sole | 1300 |
| CENTURYLINK INC | COM | 156700106 | 225 | 5750 | SH | Sole | 5750 |
| CF INDUSTRIES HOLDINGS | COM | 125269100 | 183 | 900 | SH | Sole | 900 |
| CHENIERE ENERGY INC COM | COM | 16411r208 | 9 | 500 | SH | Sole | 500 |
| CHESAPEAKE ENERGY CORP | COM | 165167107 | 4 | 250 | SH | Sole | 250 |
| CHEVRON CORP | COM | 166764100 | 4566 | 42222 | SH | Sole | 42222 |
| CHICAGO BRIDGE & IRON | COM | 167250109 | 404 | 8725 | SH | Sole | 8725 |
| CHINA FUND INC | COM | 169373107 | 9 | 416 | SH | Sole | 416 |
| CIGNA CORPORATION | COM | 125509109 | 69 | 1300 | SH | Sole | 1300 |
| CISCO SYSTEMS INC COM | COM | 17275r102 | 2153 | 109564 | SH | Sole | 109564 |
| CITIGROUP INC NEW | COM | 172967424 | 316 | 7983 | SH | Sole | 7983 |
| CLAYMORE EXCHANGE TRADED FD TR | COM | 18383m654 | 1 | 27 | SH | Sole | 27 |
| CLEARBRIDGE ENERGY MLP | COM | 184692101 | 32 | 1400 | SH | Sole | 1400 |
| CLIFFS NATURAL RES INC | COM | 18683k101 | 14 | 375 | SH | Sole | 375 |
| CLOROX COMPANY | COM | 189054109 | 110 | 1500 | SH | Sole | 1500 |
| CLOUGH GLOBAL ALLOCATION | COM | 18913y103 | 7 | 500 | SH | Sole | 500 |
| CME GROUP INC | COM | 12572q105 | 13 | 250 | SH | Sole | 250 |
| COACH INC | COM | 189754104 | 105 | 1900 | SH | Sole | 1900 |
| COCA COLA COMPANY | COM | 191216100 | 1470 | 40554 | SH | Sole | 40554 |

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|--------------------------------|---------|-----------|------|--------|----|------|--------|
| COHEN & STEERS INFRASTRUCTURE | COM | 19248A109 | 7 | 348 | SH | Sole | 348 |
| COHEN & STEERS QUALITY INCOME | COM | 192471106 | 20 | 2000 | SH | Sole | 2000 |
| COHEN & STEERS REIT PREFERRED | COM | 19247x100 | 1 | 85 | SH | Sole | 85 |
| COLGATE-PALMOLIVE CO | COM | 194162103 | 1066 | 10200 | SH | Sole | 10200 |
| COMCAST CORP NEW CL A | COM | 20030n101 | 1046 | 28000 | SH | Sole | 28000 |
| COMCAST CORPORATION NEW SPL CL | COM | 20030n200 | 40 | 1100 | SH | Sole | 1100 |
| CONCEPTUS, INC. | COM | 206016107 | 3814 | 181642 | SH | Sole | 181642 |
| CONOCOPHILLIPS | COM | 20825c104 | 1543 | 26609 | SH | Sole | 26609 |
| CONS COMMUNICATION HLDGS | COM | 209034107 | 7 | 450 | SH | Sole | 450 |
| CON-WAY INC. | COM | 205944101 | 28 | 1000 | SH | Sole | 1000 |
| CORNING INC | COM | 219350105 | 13 | 1000 | SH | Sole | 1000 |
| COSTAMARE INC COM USDO.0001 | COM | y1771g102 | 354 | 25400 | SH | Sole | 25400 |
| COSTCO WHOLESALE CORP-NEW | COM | 22160k105 | 540 | 5470 | SH | Sole | 5470 |
| CUMMINS INC | COM | 231021106 | 157 | 1450 | SH | Sole | 1450 |
| CVPR GENERAL MOTOR COPFD *2NDD | PFD | 370esc717 | 0 | 50 | SH | Sole | 50 |
| CVR PARTNERS LP | COM | 126633106 | 140 | 5549 | SH | Sole | 5549 |
| DANAHER CORP DEL | COM | 235851102 | 1764 | 31565 | SH | Sole | 31565 |
| DECKERS OUTDOOR CORP | COM | 243537107 | 12 | 300 | SH | Sole | 300 |
| DEERE CO | COM | 244199105 | 1244 | 14400 | SH | Sole | 14400 |
| DENDREON CORP | COM | 24823q107 | 26 | 5000 | SH | Sole | 5000 |
| DEVON ENERGY CP NEW | COM | 25179m103 | 322 | 6180 | SH | Sole | 6180 |
| DIAMOND FOODS INC | COM | 252603105 | 32 | 2325 | SH | Sole | 2325 |
| DIGERATI TECHNOLOGIES INC. | COM | 25375L107 | 1 | 4300 | SH | Sole | 4300 |
| DIGITAL GLOBE INC. | COM | 25389M877 | 61 | 2500 | SH | Sole | 2500 |
| DISCOVER FINANCIAL SERVICES | COM | 254709108 | 0 | 6 | SH | Sole | 6 |
| DISNEY (WALT) CO COM STK | COM | 254687106 | 40 | 800 | SH | Sole | 800 |
| DOW CHEMICAL COMPANY | COM | 260543103 | 176 | 5451 | SH | Sole | 5451 |
| DREYFUS STRAT MUNICIPALS | COM | 261932107 | 105 | 11000 | SH | Sole | 11000 |
| DUKE ENERGY CORP NEW | COM | 26441c204 | 15 | 237 | SH | Sole | 237 |
| DUNKIN BRANDS GROUP INC | COM | 265504100 | 3 | 100 | SH | Sole | 100 |
| E M C CORPORATION MASS | COM | 268648102 | 2492 | 98515 | SH | Sole | 98515 |
| EASTMAN CHEM CO COM | COM | 277432100 | 1158 | 17020 | SH | Sole | 17020 |
| EATON CORPORATION | COM | G29183103 | 11 | 200 | SH | Sole | 200 |
| EBAY INC | COM | 278642103 | 5 | 100 | SH | Sole | 100 |
| EDISON INTERNATIONAL | COM | 281020107 | 8 | 172 | SH | Sole | 172 |
| EDWARDS LIFESCIENCES CP | COM | 28176e108 | 3 | 30 | SH | Sole | 30 |
| EL PASO PIPELINE PTNR LP | COM | 283702108 | 6 | 150 | SH | Sole | 150 |
| EMERITUS CORP | COM | 291005106 | 4 | 150 | SH | Sole | 150 |
| EMERSON ELEC CO | COM | 291011104 | 2175 | 41060 | SH | Sole | 41060 |
| ENBRIDGE ENERGY PARTNERS L P | COM | 29250r106 | 39 | 1400 | SH | Sole | 1400 |
| ENCANA CORPORATION F | COM | 292505104 | 2 | 125 | SH | Sole | 125 |
| ENERGY FOCUS INC | COM | 29268t102 | 8 | 52200 | SH | Sole | 52200 |
| ENERGY TRANSFER PARTNERS UNITS | COM | 29273r109 | 60 | 1402 | SH | Sole | 1402 |
| ENERPLUS CORPORATION | COM | 292766102 | 1411 | 108759 | SH | Sole | 108759 |
| ENGILITY HOLDINGS INC SHS WH | COM | 29285w104 | 4 | 187 | SH | Sole | 187 |
| ENTERPRISE PRD PRTNRS LP | COM | 293792107 | 1183 | 23615 | SH | Sole | 23615 |
| EOG RES INC | COM | 26875p101 | 507 | 4200 | SH | Sole | 4200 |
| ESSEX PROPERTY TRUST INC | COM | 297178105 | 4 | 24 | SH | Sole | 24 |
| EXACTECH INC | COM | 30064e109 | 17 | 1000 | SH | Sole | 1000 |
| EXCO RESOURCES INC | COM | 269279402 | 5 | 801 | SH | Sole | 801 |
| EXELON CORPORATION | COM | 30161n101 | 405 | 13629 | SH | Sole | 13629 |
| EXPRESS SCRIPTS HLDG CO | COM | 30219g108 | 259 | 4794 | SH | Sole | 4794 |
| EXXON MOBIL CORPORATION | COM | 30231G102 | 621 | 7172 | SH | Sole | 7172 |
| F5 NETWORKS INC | COM | 315616102 | 97 | 1000 | SH | Sole | 1000 |
| FACEBOOK INC CLASS A | COM | 30303m102 | 97 | 3650 | SH | Sole | 3650 |
| FAMILY DOLLAR STORES INC | COM | 307000109 | 4 | 60 | SH | Sole | 60 |
| FANNIE MAE | COM | 313586109 | 0 | 50 | SH | Sole | 50 |
| FEDEX CORPORATION | COM | 31428x106 | 6395 | 69723 | SH | Sole | 69723 |
| FIRST MAJESTIC SILVER CORP | COM | 32076v103 | 346 | 17170 | SH | Sole | 17170 |
| FIRST SOLAR INC | COM | 336433107 | 1 | 25 | SH | Sole | 25 |
| FIRST TR EXCHANGE TRADED FD II | COM | 33734x176 | 3 | 126 | SH | Sole | 126 |
| FIRST TR ISE GLOBAL WIND ENERG | COM | 33736g106 | 2 | 339 | SH | Sole | 339 |
| FIRST TRUST ETF DOW JONES INTE | COM | 33733e302 | 122 | 3129 | SH | Sole | 3129 |
| FIRST TRUST ISE CLOUD COMPUTIN | COM | 33734x192 | 63 | 3170 | SH | Sole | 3170 |
| FIVE STAR QUALITY CARE INC | COM | 33832d106 | 22 | 4360 | SH | Sole | 4360 |
| FMC CORP | COM NEW | 302491303 | 901 | 15400 | SH | Sole | 15400 |
| FORD MOTOR CO PAR \$0.01 | COM | 345370860 | 123 | 9462 | SH | Sole | 9462 |
| FORTUNE BRANDS HM & SEC | COM | 34964c106 | 16 | 533 | SH | Sole | 533 |
| FRACTIONAL KINDER MORGAN MGMT | COM | eke55u103 | 0 | 259616 | SH | Sole | 259616 |
| FRANCE TELECOM SPONSORED ADR | COM | 35177q105 | 28 | 2500 | SH | Sole | 2500 |

| | | | | | | | |
|---------------------------------|-----|-----------|------|--------|----|------|--------|
| FRANKLIN TEMPLETON LTD DURATIO | COM | 357472t10 | 3 | 192 | SH | Sole | 192 |
| FREEMPT-MCMRAN CPR & GLD | COM | 35671d857 | 904 | 26425 | SH | Sole | 26425 |
| FRONTIER COMMUNICATIONS | COM | 35906a108 | 8 | 1974 | SH | Sole | 1974 |
| GABELLI UTIL 5.625% PFD 5.625% | PFD | 36240a200 | 13 | 500 | SH | Sole | 500 |
| GAMCO GLOBAL GOLD NATRES & INC | COM | 36465a109 | 11 | 845 | SH | Sole | 845 |
| GASTAR EXPLORATION LTD | PFD | 36729P207 | 515 | 28432 | SH | Sole | 28432 |
| GENERAL ELECTRIC | COM | 369604103 | 460 | 21906 | SH | Sole | 21906 |
| GENERAL ELECTRIC CAPITAL CORP | PFD | 369622451 | 10 | 400 | SH | Sole | 400 |
| GENERAL MILLS | COM | 370334104 | 336 | 8325 | SH | Sole | 8325 |
| GENERAL MOTORS COMPANY | COM | 37045v100 | 3 | 104 | SH | Sole | 104 |
| GEOEYE INC | COM | 37250w108 | 46 | 1500 | SH | Sole | 1500 |
| GEVO INC | COM | 374396109 | 3 | 2250 | SH | Sole | 2250 |
| GILEAD SCIENCES INC COM | COM | 375558103 | 11 | 150 | SH | Sole | 150 |
| GLOBAL X FDS CHINA CONS ETF | COM | 37950e408 | 11 | 734 | SH | Sole | 734 |
| GLOBALSCAPE INC | COM | 37940g109 | 598 | 418437 | SH | Sole | 418437 |
| GLOBE SPECIALTY METALS INC | COM | 37954n206 | 225 | 16400 | SH | Sole | 16400 |
| GMX RESOURCES INC NEW | COM | 38011m603 | 1 | 2750 | SH | Sole | 2750 |
| GOLDMAN SACHS SER D PFD DEP SH | PFD | 38144g804 | 44 | 2125 | SH | Sole | 2125 |
| GOOGLE INC CL A | COM | 38259p508 | 3755 | 5309 | SH | Sole | 5309 |
| GRANITE CONSTRUCTION CO | COM | 387328107 | 3110 | 92500 | SH | Sole | 92500 |
| GUGGENHEIM CHINA SMALL CAP ETF | COM | 18383q853 | 11 | 445 | SH | Sole | 445 |
| GUGGENHEIM S&P 500 EQUAL WEIGH | COM | 78355w106 | 6 | 113 | SH | Sole | 113 |
| H & E EQUIPMENT SERVICES | COM | 404030108 | 3 | 200 | SH | Sole | 200 |
| HALLIBURTON CO HLDG CO | COM | 406216101 | 1209 | 34855 | SH | Sole | 34855 |
| HARRIS CORP-DEL | COM | 413875105 | 42 | 850 | SH | Sole | 850 |
| HEALTH CARE REIT INC REIT | COM | 42217k106 | 249 | 4060 | SH | Sole | 4060 |
| HELMERICH & PAYNE INC | COM | 423452101 | 146 | 2600 | SH | Sole | 2600 |
| HENNES & MAURITZ AB ORDF STOCK | COM | W41422101 | 10 | 300 | SH | Sole | 300 |
| HEWLETT-PACKARD COMPANY | COM | 428236103 | 38 | 2632 | SH | Sole | 2632 |
| HOME DEPOT INC | COM | 437076102 | 1968 | 31820 | SH | Sole | 31820 |
| HOME LOAN SERVICING SOLUTIONS | COM | G6648D109 | 9 | 501 | SH | Sole | 501 |
| HONEYWELL INTL INC DEL | COM | 438516106 | 400 | 6300 | SH | Sole | 6300 |
| HYUNDAI MOTOR CO UK LISTED | COM | h001309 | 355 | 11000 | SH | Sole | 11000 |
| ILLINOIS TOOL WORKS INC | COM | 452308109 | 851 | 14000 | SH | Sole | 14000 |
| IMAGEWARE SYS INC | COM | 45245S108 | 8 | 10000 | SH | Sole | 10000 |
| IMMUNOGEN INC | COM | 45253h101 | 1277 | 100150 | SH | Sole | 100150 |
| INTEL CORP | COM | 458140100 | 1195 | 57968 | SH | Sole | 57968 |
| INTERNATIONAL PAPER CO | COM | 460146103 | 40 | 1000 | SH | Sole | 1000 |
| INTL BUSINESS MACHINES CORP I | COM | 459200101 | 2654 | 13856 | SH | Sole | 13856 |
| IROBOT CORP | COM | 462726100 | 19 | 1000 | SH | Sole | 1000 |
| IRONSTONE GROUP INC NEW | COM | 463228205 | 0 | 60 | SH | Sole | 60 |
| ISHARES BARCLAYS 3-7 YEAR TREA | COM | 464288661 | 32 | 263 | SH | Sole | 263 |
| ISHARES DJ SELECT DIV FD SELEC | COM | 464287168 | 6 | 100 | SH | Sole | 100 |
| ISHARES FTSE CHINA 25 FTSE CHI | COM | 464287184 | 45 | 1117 | SH | Sole | 1117 |
| ISHARES FTSE NAREIT MTG PLUS C | COM | 464288539 | 613 | 44846 | SH | Sole | 44846 |
| ISHARES GSCI COMMODITY INDEX T | COM | 46428r107 | 84 | 2574 | SH | Sole | 2574 |
| ISHARES IBOXX \$ HIGH YIELD COR | COM | 464288513 | 77 | 830 | SH | Sole | 830 |
| ISHARES INC MSCI AUSTRALIA IND | COM | 464286103 | 300 | 11926 | SH | Sole | 11926 |
| ISHARES INC MSCI MALAYSIA FREE | COM | 464286830 | 54 | 3550 | SH | Sole | 3550 |
| ISHARES INC MSCI SWEDENINDEX F | COM | 464286756 | 48 | 1603 | SH | Sole | 1603 |
| ISHARES MSCI ACWI EX US INDEX | COM | 464288240 | 6 | 148 | SH | Sole | 148 |
| ISHARES MSCI CDA INDX FD | COM | 464286509 | 449 | 15815 | SH | Sole | 15815 |
| ISHARES MSCI EMRG MKT FD EMERG | COM | 464287234 | 271 | 6113 | SH | Sole | 6113 |
| ISHARES MSCI EMU INDX FD MSCI | COM | 464286608 | 213 | 6372 | SH | Sole | 6372 |
| ISHARES MSCI GRMNY IDX GERMANY | COM | 464286806 | 30 | 1200 | SH | Sole | 1200 |
| ISHARES MSCI MEXICO INVESTABLE | COM | 464286822 | 48 | 687 | SH | Sole | 687 |
| ISHARES MSCI PACIFIC EX-JAP | COM | 464286665 | 333 | 7070 | SH | Sole | 7070 |
| ISHARES MSCI SOUTH KOREAINDEX | COM | 464286772 | 273 | 4315 | SH | Sole | 4315 |
| ISHARES NASDAQ BIOTECHNOLOGY I | COM | 464287556 | 605 | 4410 | SH | Sole | 4410 |
| ISHARES S&P EMERGING MARKETS I | COM | 464288216 | 7 | 196 | SH | Sole | 196 |
| ISHARES S&P GLOBAL S&P GLOBAL | COM | 464288224 | 4 | 593 | SH | Sole | 593 |
| ISHARES S&P NORTH AMERICAN TEC | COM | 464287549 | 345 | 5108 | SH | Sole | 5108 |
| ISHARES S&P NORTH AMERICANTECH | COM | 464287531 | 79 | 2790 | SH | Sole | 2790 |
| ISHARES SILVER TRUST INDEX FUN | COM | 46428q109 | 1009 | 34341 | SH | Sole | 34341 |
| ISHARES TR BARCLAYS TREAS INFL | COM | 464287176 | 219 | 1802 | SH | Sole | 1802 |
| ISHARES TR DJ US ENERGY US ENE | COM | 464287796 | 3 | 70 | SH | Sole | 70 |
| ISHARES TR DOW JONES US OIL EQ | COM | 464288844 | 14 | 270 | SH | Sole | 270 |
| ISHARES TR DOW JONES USMEDICAL | COM | 464288810 | 572 | 8465 | SH | Sole | 8465 |
| ISHARES TR HIGH DIVID EQUITY F | COM | 46429b663 | 3 | 55 | SH | Sole | 55 |
| ISHARES TR JPMORGAN USD EMERGI | COM | 464288281 | 2 | 18 | SH | Sole | 18 |

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|---------------------------------|-----|------------|-------|--------|----|------|--------|
| ISHARES TR MSCI EAFE FD MSCI E | COM | 464287465 | 17 | 293 | SH | Sole | 293 |
| ISHARES TR RUSSELL MICROCAP IN | COM | 464288869 | 105 | 2000 | SH | Sole | 2000 |
| ISHARES TR RUSSELL MIDCAP GROW | COM | 464287481 | 229 | 3648 | SH | Sole | 3648 |
| ISHARES TR RUSSELL MIDCAP VALU | COM | 464287473 | 299 | 5951 | SH | Sole | 5951 |
| ISHARES TR S&P GLOBALINFO TECH | COM | 464287291 | 44 | 650 | SH | Sole | 650 |
| ISHARES TR S&P U S PFD STK IND | COM | 464288687 | 128 | 3223 | SH | Sole | 3223 |
| ISHARES TRUST BARCLAYS 1-3 YEA | COM | 464287457 | 63 | 752 | SH | Sole | 752 |
| ISHARES TRUST BARCLAYS 20+YEAR | COM | 464287432 | 5075 | 41877 | SH | Sole | 41877 |
| ISHARES TRUST BARCLAYS BARCLAY | COM | 464288588 | 6 | 59 | SH | Sole | 59 |
| ISHARES TRUST BARCLAYS U S AGG | COM | 464287226 | 9 | 77 | SH | Sole | 77 |
| ISHARES TRUST DOW JONES DOW JO | COM | 464287721 | 45 | 640 | SH | Sole | 640 |
| ISHARES TRUST DOW JONES US REA | COM | 464287739 | 2 | 36 | SH | Sole | 36 |
| ISHARES TRUST IBOXX \$ INVESTOP | COM | 464287242 | 353 | 2916 | SH | Sole | 2916 |
| ISHARES TRUST RUSSELL 1000 GRO | COM | 464287614 | 35 | 530 | SH | Sole | 530 |
| ISHARES TRUST RUSSELL 2000 GRO | COM | 464287648 | 533 | 5592 | SH | Sole | 5592 |
| ISHARES TRUST RUSSELL 2000 IND | COM | 464287655 | 67 | 800 | SH | Sole | 800 |
| ISHARES TRUST S&P 500 S&P 500 | COM | 464287200 | 1488 | 10397 | SH | Sole | 10397 |
| ISHARES TRUST S&P GLOBAL ENERG | COM | 464287341 | 29 | 750 | SH | Sole | 750 |
| ISHARES TRUST S&P GLOBAL HEALT | COM | 464287325 | 109 | 1690 | SH | Sole | 1690 |
| ISHARES TRUST S&P NATL AMT FRE | COM | 464288414 | 11 | 100 | SH | Sole | 100 |
| ISIS PHARMACEUTICALS INC | COM | 464330109 | 209 | 20000 | SH | Sole | 20000 |
| JACOBS ENGINEERING | COM | 469814107 | 43 | 1000 | SH | Sole | 1000 |
| JOHNSON AND JOHNSON COM | COM | 478160104 | 1625 | 23178 | SH | Sole | 23178 |
| JP MORGAN CAP X 7.00%32 TR PFD | PFD | 46623d200 | 4 | 158 | SH | Sole | 158 |
| JPMORGAN CHASE & CO | COM | 46625h100 | 1494 | 33985 | SH | Sole | 33985 |
| KANSAS CITY SOUTHERN | COM | 485170302 | 134 | 1600 | SH | Sole | 1600 |
| KAYNE ANDERSON ENERGY FD TOTAL | COM | 48660p104 | 2 | 100 | SH | Sole | 100 |
| KERYX BIOPHARMACEUTICAL | COM | 492515101 | 12 | 4700 | SH | Sole | 4700 |
| KIMBERLY-CLARK CORP | COM | 494368103 | 338 | 4000 | SH | Sole | 4000 |
| KINDER MORGAN ENERGY PARTNERS | COM | 494550106 | 853 | 10690 | SH | Sole | 10690 |
| KINDER MORGAN MGMT LLC SHS | COM | 49455u100 | 294 | 3891 | SH | Sole | 3891 |
| KOHL'S CORP WISC PV 1CT | COM | 500255104 | 536 | 12475 | SH | Sole | 12475 |
| KONE CORPORATION NPV SHS B | COM | b09m9d2 | 66 | 900 | SH | Sole | 900 |
| KRAFT FOODS GROUP, INC. | COM | 50076q106 | 91 | 2001 | SH | Sole | 2001 |
| KRATOS DEFENSE & SEC SOLUTIONS | COM | 50077b207 | 3 | 600 | SH | Sole | 600 |
| L-3 COMMNTNS HLDGS | COM | 502424104 | 87 | 1130 | SH | Sole | 1130 |
| LAS VEGAS SANDS CORP | COM | 517834107 | 6 | 125 | SH | Sole | 125 |
| LEGGETT & PLATT INC | COM | 524660107 | 54 | 2000 | SH | Sole | 2000 |
| LENOVO GROUP LTD SPONSORED ADR | COM | 526250105 | 100 | 5500 | SH | Sole | 5500 |
| LIGAND PHARMACEUTICALS | COM | 53220k207 | 7 | 333 | SH | Sole | 333 |
| LILLY ELI & COMPANY | COM | 532457108 | 10168 | 206167 | SH | Sole | 206167 |
| LINN ENERGY | COM | 536020100 | 43 | 1220 | SH | Sole | 1220 |
| LOCATEPLUS HOLDINGS XXX REGIST | COM | 539570408 | 0 | 20 | SH | Sole | 20 |
| LOCKHEED MARTIN CORP | COM | 539830109 | 323 | 3500 | SH | Sole | 3500 |
| LOWES COMPANIES INC | COM | 548661107 | 578 | 16268 | SH | Sole | 16268 |
| LVMH MOET HENNESSY EURO.30 | COM | 4061412 | 220 | 1200 | SH | Sole | 1200 |
| MAGELLAN MIDSTREAM PARTNERS LP | COM | 559080106 | 935 | 21640 | SH | Sole | 21640 |
| MAGNUM HUNTER RES 13WTS WARRANT | COM | 55973b995 | 0 | 15 | SH | Sole | 15 |
| MAGNUM HUNTER RES CORP WT EXP | WT | 55973g119 | 0 | 100 | SH | Sole | 100 |
| MAGNUM HUNTER RESOURCES | COM | 55972f203 | 5 | 1150 | SH | Sole | 1150 |
| MAGNUM HUNTER RESOURCES CORPOR | PFD | 55973B300 | 8 | 200 | SH | Sole | 200 |
| MAKO SURGICAL CORP | COM | 560879108 | 10 | 750 | SH | Sole | 750 |
| MANAGED HIGH YIELD PLUS FUND I | COM | 561911108 | 166 | 77566 | SH | Sole | 77566 |
| MARATHON OIL CORP | COM | 565849106 | 285 | 9300 | SH | Sole | 9300 |
| MARKET VECTORS ETF TR AGRIBUSI | COM | 57060u605 | 12 | 236 | SH | Sole | 236 |
| MARKET VECTORS ETF TRUST GLOBA | COM | 57060u407 | 2 | 180 | SH | Sole | 180 |
| MARRIOTT INTERNATIONAL INC | COM | f7hb903208 | 0 | 41261 | SH | Sole | 41261 |
| MARTHA STEWART LVNG OMNI | COM | 573083102 | 3 | 1400 | SH | Sole | 1400 |
| MASCO CORP | COM | 574599106 | 3 | 200 | SH | Sole | 200 |
| MCDERMOTT INTL INC | COM | 580037109 | 3 | 254 | SH | Sole | 254 |
| MCDONALDS CORP COM | COM | 580135101 | 2030 | 23010 | SH | Sole | 23010 |
| MCKESSON CORPORATION COM | COM | 58155q103 | 38 | 387 | SH | Sole | 387 |
| MDU RESOURCES GRP INC | COM | 552690109 | 479 | 22530 | SH | Sole | 22530 |
| MEDTRONIC INC | COM | 585055106 | 109 | 2663 | SH | Sole | 2663 |
| MELA SCIENCES INC. | COM | 285192100 | 5 | 3000 | SH | Sole | 3000 |
| MEMC ELECTRNIC MATERIALS | COM | 552715104 | 26 | 8250 | SH | Sole | 8250 |
| MERCHANTS NAT'L PPTYS | COM | 589161108 | 870 | 731 | SH | Sole | 731 |
| MERCK & CO INC NEW | COM | 58933Y105 | 404 | 9879 | SH | Sole | 9879 |
| METLIFE INC A PERP PFD SERIES | PFD | 59156r504 | 5 | 200 | SH | Sole | 200 |
| MGM RESORTS INTL | COM | 552953101 | 22 | 1925 | SH | Sole | 1925 |

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|--------------------------------|------|-----------|------|--------|----|------|--------|
| MICROCHIP TECHNOLOGY INC | COM | 595017104 | 55 | 1700 | SH | Sole | 1700 |
| MICROSOFT CORP | COM | 594918104 | 1462 | 54747 | SH | Sole | 54747 |
| MOLYCORP INC | COM | 608753109 | 52 | 5550 | SH | Sole | 5550 |
| MOLYCORP INC DEL PFDCONV SER A | PF'D | 608753208 | 13 | 525 | SH | Sole | 525 |
| MOMENTA PHARMACEUTICALS INC | COM | 60877t100 | 24 | 2000 | SH | Sole | 2000 |
| MONDELEZ INTERNATIONAL, INC. | COM | 609207105 | 157 | 6162 | SH | Sole | 6162 |
| MONSANTO CO NEW DEL COM | COM | 61166w101 | 3628 | 38331 | SH | Sole | 38331 |
| MONSTER BEVERAGE CORPUSD0.005 | COM | 611740101 | 156 | 2950 | SH | Sole | 2950 |
| MONSTER WORLDWIDE INC | COM | 611742107 | 2 | 325 | SH | Sole | 325 |
| MORGAN STANLEY | COM | 617446448 | 0 | 12 | SH | Sole | 12 |
| MOSAIC CO NEW | COM | 61945c103 | 6 | 100 | SH | Sole | 100 |
| MOTORS LIQ CO GUC TRUST | COM | 62010u101 | 0 | 1 | SH | Sole | 1 |
| NATIONAL GRID PLC NEW SPONSORE | ADR | 636274300 | 101 | 1755 | SH | Sole | 1755 |
| NATIONAL OILWELL VARCO INC | COM | 637071101 | 499 | 7295 | SH | Sole | 7295 |
| NAT'L WESTERN LIFE INSURANCE C | COM | 638522102 | 315 | 2000 | SH | Sole | 2000 |
| NEMASKA LITHIUM INC COM | COM | 64045c106 | 1 | 2500 | SH | Sole | 2500 |
| NESTLE S A REG B ADR F 1 ADR R | ADR | 641069406 | 316 | 4850 | SH | Sole | 4850 |
| NEW YORK COMMUNITY BANCORP, IN | COM | 649445103 | 2340 | 178650 | SH | Sole | 178650 |
| NEWMONT MINING CORP | COM | 651639106 | 5 | 100 | SH | Sole | 100 |
| NIKE INC-CL B | COM | 654106103 | 430 | 8330 | SH | Sole | 8330 |
| NOBLE CORP F | COM | h5833n103 | 169 | 4860 | SH | Sole | 4860 |
| NOKIA CORP SPON ADR F 1 ADR RE | ADR | 654902204 | 4 | 1000 | SH | Sole | 1000 |
| NORTHEAST UTILITIES | COM | 664397106 | 3 | 75 | SH | Sole | 75 |
| NOVARTIS A G SPON ADR F SPONSO | ADR | 66987v109 | 510 | 8050 | SH | Sole | 8050 |
| NOVO NORDISK A/S-ADR REPSTG 1/ | ADR | 670100205 | 196 | 1200 | SH | Sole | 1200 |
| NUVEEN CALIFORNIA MUNICIPAL VA | COM | 67062c107 | 122 | 12200 | SH | Sole | 12200 |
| NUVEEN EGY MLP TOTAL RTN | COM | 67074u103 | 7 | 400 | SH | Sole | 400 |
| NUVEEN QUALITY MUNCIPAL FUND I | COM | 67062n103 | 15 | 1000 | SH | Sole | 1000 |
| OLAM INTERNATIONAL SGD0.1 | COM | b05q314 | 32 | 25500 | SH | Sole | 25500 |
| OLD REPUBLIC INTL CORP | COM | 680223104 | 106 | 10000 | SH | Sole | 10000 |
| ONEOK INC NEW | COM | 682680103 | 406 | 9500 | SH | Sole | 9500 |
| ORACLE CORPORATION | COM | 68389x105 | 1144 | 34330 | SH | Sole | 34330 |
| ORPHAN BIOVITRUM ADR F SPONSOR | COM | 870321106 | 0 | 200 | SH | Sole | 200 |
| OWENS & MINOR INC HOLDING CO | COM | 690732102 | 86 | 3000 | SH | Sole | 3000 |
| OWENS ILLINOIS | COM | 690768403 | 266 | 12500 | SH | Sole | 12500 |
| P G & E CORP | COM | 69331c108 | 50 | 1252 | SH | Sole | 1252 |
| PACCAR INC | COM | 693718108 | 27 | 600 | SH | Sole | 600 |
| PEABODY ENERGY CORP | COM | 704549104 | 317 | 11900 | SH | Sole | 11900 |
| PEMBINA PIPELINE CORP | COM | 706327103 | 114 | 4000 | SH | Sole | 4000 |
| PENGROWTH ENERGY CORPORATION | COM | 70706p104 | 10 | 2000 | SH | Sole | 2000 |
| PENN VA RESOURCE PART LP COM U | LP | 707884102 | 4 | 150 | SH | Sole | 150 |
| PENN WEST PETROLEUM LTD | COM | 707887105 | 509 | 46841 | SH | Sole | 46841 |
| PEOPLES UTD FINL INC | COM | 712704105 | 242 | 20000 | SH | Sole | 20000 |
| PEPCO HOLDINGS INC | COM | 713291102 | 98 | 5000 | SH | Sole | 5000 |
| PEPSICO INC | COM | 713448108 | 2087 | 30497 | SH | Sole | 30497 |
| PETROLEO BRASILEIRO ADRF SPONS | COM | 71654v408 | 3 | 150 | SH | Sole | 150 |
| PFIZER INC | COM | 717081103 | 2636 | 105117 | SH | Sole | 105117 |
| PHILIP MORRIS INTL INC | COM | 718172109 | 19 | 225 | SH | Sole | 225 |
| PHILLIPS 66 SHS | COM | 718546104 | 806 | 15183 | SH | Sole | 15183 |
| PIMCO CORPORATE & INCOME OPPOR | COM | 72201b101 | 107 | 5500 | SH | Sole | 5500 |
| PIMCO CORPORATE & INCOME STRAT | COM | 72200u100 | 954 | 54055 | SH | Sole | 54055 |
| PIMCO HIGH INCOME FUND | COM | 722014107 | 608 | 58011 | SH | Sole | 58011 |
| PINNACLE WEST CAPITAL CP | COM | 723484101 | 6 | 112 | SH | Sole | 112 |
| PIONEER HIGH INCOME FUND | COM | 72369h106 | 56 | 3502 | SH | Sole | 3502 |
| PLUM CREEK TIMBER CO REIT | COM | 729251108 | 69 | 1565 | SH | Sole | 1565 |
| PNM RESOURCES INC HOLDING COMP | COM | 69349h107 | 3 | 163 | SH | Sole | 163 |
| PORTLAND GENERAL ELEC | COM | 736508847 | 15 | 550 | SH | Sole | 550 |
| POTASH CORP SASK INC F | COM | 737551107 | 2063 | 50700 | SH | Sole | 50700 |
| POWER ONE INC | COM | 739308104 | 21 | 5000 | SH | Sole | 5000 |
| POWERSHARES DB MULTI-SECTOR CO | COM | 73936b200 | 1 | 19 | SH | Sole | 19 |
| POWERSHARES ETF TRUSTDYNAMIC S | COM | 73935x773 | 55 | 2019 | SH | Sole | 2019 |
| POWERSHARES EXCHANGE TRADED FD | COM | 73935x716 | 5 | 288 | SH | Sole | 288 |
| POWERSHS DB MULTI SECTOR POWER | COM | 73936b408 | 65 | 2311 | SH | Sole | 2311 |
| POWERSHS DB MULTI SECTOR POWER | COM | 73936b705 | 40 | 2098 | SH | Sole | 2098 |
| POWERSHS EXCH TRAD FD TR PREFE | COM | 73936t565 | 16 | 1085 | SH | Sole | 1085 |
| PPL CORPORATION | COM | 69351t106 | 26 | 900 | SH | Sole | 900 |
| PROCTER & GAMBLE CO | COM | 742718109 | 1178 | 17352 | SH | Sole | 17352 |
| PROGRESS SOFTWARE CORP. | COM | 743312100 | 630 | 30000 | SH | Sole | 30000 |
| PROSHS ULTRASHORT ETF ULTRASHO | COM | 74347r297 | 8 | 125 | SH | Sole | 125 |
| QUALCOMM INC | COM | 747525103 | 2966 | 47950 | SH | Sole | 47950 |

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|--------------------------------|-----|-----------|------|--------|----|------|--------|
| QUICKLOGIC CORP | COM | 74837p108 | 1 | 677 | SH | Sole | 677 |
| QUIKSILVER INC | COM | 74838c106 | 9 | 2232 | SH | Sole | 2232 |
| R R DONNELLEY & SONS | COM | 257867101 | 303 | 33700 | SH | Sole | 33700 |
| RANGE RESOURCES CORP | COM | 75281a109 | 1571 | 25000 | SH | Sole | 25000 |
| RAYTHEON CO DELAWARE NEW | COM | 755111507 | 478 | 8300 | SH | Sole | 8300 |
| REALTY INCOME CORP REIT | COM | 756109104 | 73 | 1827 | SH | Sole | 1827 |
| REAVES UTIL INCOME FD COM SH B | COM | 756158101 | 11 | 476 | SH | Sole | 476 |
| REDWOOD TRUST INC | COM | 758075402 | 599 | 35455 | SH | Sole | 35455 |
| RESEARCH FRONTIERS | COM | 760911107 | 34 | 9000 | SH | Sole | 9000 |
| RESEARCH IN MOTION LTD F | COM | 760975102 | 23 | 1900 | SH | Sole | 1900 |
| RIO TINTO PLC SPON ADR F SPONS | ADR | 767204100 | 56 | 970 | SH | Sole | 970 |
| RIVERBED TECHNOLOGY INC | COM | 768573107 | 20 | 1000 | SH | Sole | 1000 |
| ROCHE HLDG LTD SPON ADRF 1 ADR | ADR | 771195104 | 1900 | 37800 | SH | Sole | 37800 |
| ROGERS CORP | COM | 775133101 | 74 | 1500 | SH | Sole | 1500 |
| ROPER INDUSTRIES INC NEW | COM | 776696106 | 424 | 3800 | SH | Sole | 3800 |
| ROSETTA RESOURCES INC | COM | 777779307 | 9 | 200 | SH | Sole | 200 |
| ROYAL BANK OF CANADA | COM | 780087102 | 114 | 1900 | SH | Sole | 1900 |
| ROYAL DUTCH SHELL A ADRF SPONS | ADR | 780259206 | 69 | 1000 | SH | Sole | 1000 |
| S L GREEN REALTY CORP REIT | COM | 78440x101 | 1 | 10 | SH | Sole | 10 |
| S P D R S&P 500 ETF TR EXPIRIN | COM | 78462f103 | 3 | 18 | SH | Sole | 18 |
| SAFEWAY INC | COM | 786514208 | 137 | 7575 | SH | Sole | 7575 |
| SANDRIDGE ENERGY INC | COM | 80007p307 | 21 | 3247 | SH | Sole | 3247 |
| SANOFI ADR F SPONSORED ADR 2 A | ADR | 80105n105 | 5 | 100 | SH | Sole | 100 |
| SAREPTA THERAPEUTICS | COM | J6997K107 | 3 | 125 | SH | Sole | 125 |
| SCANA CORPORATION NEW | COM | 80589m102 | 12 | 252 | SH | Sole | 252 |
| SCHLUMBERGER LTD | COM | 806857108 | 835 | 12050 | SH | Sole | 12050 |
| SEADRILL LTD F | COM | g7945e105 | 6310 | 171462 | SH | Sole | 171462 |
| SEADRILL PARTNERS LLC | COM | Y7545w109 | 5 | 200 | SH | Sole | 200 |
| SEAGATE TECHNOLOGY PLC | COM | g7945m107 | 91 | 3000 | SH | Sole | 3000 |
| SEATTLE GENETICS INC | COM | 812578102 | 695 | 30000 | SH | Sole | 30000 |
| SECTOR SPDR - FINANCIAL SHS BE | COM | 81369y605 | 9 | 576 | SH | Sole | 576 |
| SECTOR SPDR INDL SELECT SHARES | COM | 81369y704 | 2 | 50 | SH | Sole | 50 |
| SECTOR SPDR TR CON STPLS SHARE | COM | 81369y308 | 4 | 128 | SH | Sole | 128 |
| SECTOR SPDR UTIL SELECT SHARES | COM | 81369y886 | 16 | 457 | SH | Sole | 457 |
| SELECT SECTOR SPDR FUND SHS BE | COM | 81369y407 | 4 | 82 | SH | Sole | 82 |
| SELECT SECTOR SPDR FUND SHS BE | COM | 81369y209 | 10 | 257 | SH | Sole | 257 |
| SELECT SECTOR SPDR FUND SHS BE | COM | 81369y100 | 447 | 11920 | SH | Sole | 11920 |
| SELECT SECTOR SPDR TRUST SHS B | COM | 81369y506 | 4 | 57 | SH | Sole | 57 |
| SIEMENS A G ADR F 1 ADR REP 1 | ADR | 826197501 | 1215 | 11100 | SH | Sole | 11100 |
| SIGMA DESIGNS INC | COM | 826565103 | 1 | 150 | SH | Sole | 150 |
| SIMON PPTY GRP INC | COM | 828806109 | 334 | 2115 | SH | Sole | 2115 |
| SINGAPORE TLMC ADR NEW F SPONS | COM | 82929r304 | 30 | 1100 | SH | Sole | 1100 |
| SIRIUS XM RADIO INC | COM | 82967n108 | 6 | 2000 | SH | Sole | 2000 |
| SMITH & WESSON HOLDING, CORP. | COM | 831756101 | 294 | 34850 | SH | Sole | 34850 |
| SMITH MICRO SOFTWARE INC. | COM | 832154108 | 4 | 3000 | SH | Sole | 3000 |
| SOCIEDAD QUIMICA MINERA DE CHI | ADR | 833635105 | 231 | 4000 | SH | Sole | 4000 |
| SOMAXON PHARMACEUTICALS | COM | 834453102 | 2 | 587 | SH | Sole | 587 |
| SOUTHERN COPPER CORP | COM | 84265v105 | 1975 | 52160 | SH | Sole | 52160 |
| SOUTHWESTERN ENERGY CO | COM | 845467109 | 277 | 8300 | SH | Sole | 8300 |
| SPDR BARCLAYS CAPITAL HIGH YIE | COM | 78464a417 | 88 | 2163 | SH | Sole | 2163 |
| SPDR BARCLAYS CAPITAL SHORT TE | COM | 78468r408 | 25 | 803 | SH | Sole | 803 |
| SPDR GOLD TRUST SPDR GOLD SHAR | COM | 78463v107 | 8460 | 52218 | SH | Sole | 52218 |
| SPDR S&P MIDCAP 400 ETFTR UNIT | COM | 78467y107 | 10 | 55 | SH | Sole | 55 |
| SPDR SER TR S&P DIVIDEND ETF | COM | 78464a763 | 3 | 52 | SH | Sole | 52 |
| SPDR SER TR S&P PHARMACEUTICAL | COM | 78464a722 | 3 | 57 | SH | Sole | 57 |
| SPDR SERIES TRUST SPDR BRCLYS | COM | 78464a516 | 9 | 155 | SH | Sole | 155 |
| SPRINT NEXTEL CORP | COM | 852061100 | 7 | 1200 | SH | Sole | 1200 |
| ST JUDE MED INC COM | COM | 790849103 | 76 | 2100 | SH | Sole | 2100 |
| STARBUCKS CORP | COM | 855244109 | 94 | 1760 | SH | Sole | 1760 |
| STILLWATER MINING CORP | COM | 86074q102 | 2 | 150 | SH | Sole | 150 |
| STUDENT TRANSPORTATION INC | COM | 86388a108 | 1502 | 243710 | SH | Sole | 243710 |
| SYMANTEC CORP | COM | 871503108 | 335 | 17800 | SH | Sole | 17800 |
| T J X COS INC | COM | 872540109 | 5 | 128 | SH | Sole | 128 |
| TALISON LITHIUM LTD ORDF CANAD | COM | 088128105 | 1 | 200 | SH | Sole | 200 |
| TARGA RESOURCES PTNR LP | LP | 87611x105 | 7 | 175 | SH | Sole | 175 |
| TARGET CORP | COM | 87612e106 | 444 | 7500 | SH | Sole | 7500 |
| TEEKAY LNG PARTNERS L P UNIT L | COM | y8564m105 | 30 | 800 | SH | Sole | 800 |
| TELECOM CORP OF NEW ZEALAND LT | ADR | 879278208 | 38 | 4000 | SH | Sole | 4000 |
| TELEFONICA S A SPON ADRF SPONS | COM | 879382208 | 27 | 2005 | SH | Sole | 2005 |
| TELLABS INC | COM | 879664100 | 437 | 191537 | SH | Sole | 191537 |

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|--------------------------------|-----|-----------|------|--------|----|------|--------|
| TELSTRA LTD SPON ADR F 1 ADR R | COM | 87969n204 | 5 | 200 | SH | Sole | 200 |
| TESCO CORP | COM | 88157K101 | 6 | 490 | SH | Sole | 490 |
| TEVA PHARMACTCL INDS ADR | COM | 881624209 | 765 | 20500 | SH | Sole | 20500 |
| THE SOUTHERN COMPANY | COM | 842587107 | 30 | 700 | SH | Sole | 700 |
| THERMO FISHER SCIENTIFICINC | COM | 883556102 | 112 | 1750 | SH | Sole | 1750 |
| TIFFANY & CO NEW | COM | 886547108 | 6 | 100 | SH | Sole | 100 |
| TIME WARNER INC NEW | COM | 887317303 | 24 | 500 | SH | Sole | 500 |
| TIVO INC | COM | 888706108 | 102 | 8300 | SH | Sole | 8300 |
| TORTOISE MLP FUND INC | COM | 89148b101 | 15 | 600 | SH | Sole | 600 |
| TOTAL S.A. 1 ADR REPRESENTING | ADR | 89151e109 | 62 | 1200 | SH | Sole | 1200 |
| TRANSOCEAN LTD | COM | h8817h100 | 1005 | 22507 | SH | Sole | 22507 |
| TRIANGLE PETROLEUM NEW | COM | 89600b102 | 61 | 10200 | SH | Sole | 10200 |
| TRINITY INDUSTRIES INC | COM | 896522109 | 4 | 115 | SH | Sole | 115 |
| TURQUOISE HILL RESOURCES LTD. | COM | 900435108 | 1 | 125 | SH | Sole | 125 |
| UGI CORP HOLDING CO | COM | 902681105 | 107 | 3260 | SH | Sole | 3260 |
| ULTRA PETROLEUM CORP | COM | 903914109 | 2901 | 160000 | SH | Sole | 160000 |
| UMPQUA HLDGS CORP | COM | 904214103 | 20 | 1669 | SH | Sole | 1669 |
| UNILEVER PLC ADR NEW F SPONSOR | COM | 904767704 | 5 | 125 | SH | Sole | 125 |
| UNION PACIFIC CORP | COM | 907818108 | 951 | 7568 | SH | Sole | 7568 |
| UNITED PARCEL SVC INC CL B | COM | 911312106 | 177 | 2395 | SH | Sole | 2395 |
| UNITED ST NAT GAS FD NEW MASTE | COM | 912318201 | 8 | 418 | SH | Sole | 418 |
| UNITED TECHS CORP COM | COM | 913017109 | 1950 | 23781 | SH | Sole | 23781 |
| UNITEDHEALTH GROUP INC | COM | 91324P102 | 111 | 2050 | SH | Sole | 2050 |
| UNIVERSAL DISPLAY CORP | COM | 91347p105 | 20 | 800 | SH | Sole | 800 |
| UNIVERSAL HEALTH SVCS INC CL B | COM | 913903100 | 435 | 9000 | SH | Sole | 9000 |
| UPM-KYMMENE CP SPON ADRF SPONS | COM | 915436109 | 3 | 225 | SH | Sole | 225 |
| US BANCORP (NEW) | COM | 902973304 | 3 | 100 | SH | Sole | 100 |
| US BANCORP 7.875% PFD DEP SHS | PFD | 902973882 | 10 | 400 | SH | Sole | 400 |
| US BANCORP DEL 6.00% SERIES G | PFD | 902973817 | 33 | 1200 | SH | Sole | 1200 |
| VALE SA ADR F SPONSORED ADR 1 | ADR | 91912e105 | 16 | 779 | SH | Sole | 779 |
| VANGUARD BOND INDEX FUND TOTAL | COM | 921937835 | 39 | 463 | SH | Sole | 463 |
| VANGUARD CONSUMER DISCRE | COM | 92204A108 | 6 | 79 | SH | Sole | 79 |
| VANGUARD CONSUMER STAPLE | COM | 92204A207 | 7 | 81 | SH | Sole | 81 |
| VANGUARD DIV APPRCIATION | COM | 921908844 | 24 | 410 | SH | Sole | 410 |
| VANGUARD ENERGY ETF | COM | 92204A306 | 6 | 60 | SH | Sole | 60 |
| VANGUARD FINANCIALS ETF | COM | 92204A405 | 2 | 60 | SH | Sole | 60 |
| VANGUARD FTSE EMERGING MARKETS | COM | 922042858 | 8 | 180 | SH | Sole | 180 |
| VANGUARD INDEX FUNDS SMALLCAP | COM | 922908595 | 30 | 342 | SH | Sole | 342 |
| VANGUARD INDUSTRIALS ETF | COM | 92204A603 | 7 | 100 | SH | Sole | 100 |
| VANGUARD INFORMATION TECHNO | COM | 92204A702 | 32 | 457 | SH | Sole | 457 |
| VANGUARD INTERMEDIATE TERM BON | COM | 921937819 | 8 | 86 | SH | Sole | 86 |
| VANGUARD MATERIALS ETF | COM | 92204A801 | 4 | 46 | SH | Sole | 46 |
| VANGUARD NATURAL RES LLC | COM | 92205f106 | 121 | 4650 | SH | Sole | 4650 |
| VANGUARD SHORT TERM BOND ETF | COM | 921937827 | 19 | 240 | SH | Sole | 240 |
| VANGUARD TELECOMMUN SVCS | COM | 92204a884 | 6 | 89 | SH | Sole | 89 |
| VANGUARD WORLD FUND ETF MEGA C | COM | 921910816 | 26 | 469 | SH | Sole | 469 |
| VANTAGE DRILLING CO F | COM | G93205113 | 2 | 1000 | SH | Sole | 1000 |
| VARIAN MEDICAL SYSTEMS | COM | 92220p105 | 7 | 100 | SH | Sole | 100 |
| VERIZON COMMUNICATIONS | COM | 92343v104 | 793 | 18325 | SH | Sole | 18325 |
| VISA INC CL A CLASS A | COM | 92826c839 | 1455 | 9600 | SH | Sole | 9600 |
| VIVENDI ORD SHS | COM | 4834777 | 2 | 103 | SH | Sole | 103 |
| VMWARE INC CL A | COM | 928563402 | 292 | 3100 | SH | Sole | 3100 |
| VODAFONE GROUP NEW ADR F SPONS | COM | 92857w209 | 56 | 2224 | SH | Sole | 2224 |
| VODAFONE GROUP PLC SPONSORED A | COM | 92857w209 | 4 | 150 | SH | Sole | 150 |
| W P CAREY & CO LLC | COM | 92930y107 | 145 | 2772 | SH | Sole | 2772 |
| WALGREEN COMPANY | COM | 931422109 | 840 | 22700 | SH | Sole | 22700 |
| WAL-MART STORES INC | COM | 931142103 | 474 | 6950 | SH | Sole | 6950 |
| WARNER CHILCOTT PLC F | COM | G94368100 | 11 | 904 | SH | Sole | 904 |
| WASTE MANAGEMENT INC DEL | COM | 941061109 | 9 | 275 | SH | Sole | 275 |
| WELLPOINT INC | COM | 94973v107 | 366 | 6000 | SH | Sole | 6000 |
| WELLS FARGO & CO NEW DEL | COM | 949746101 | 2298 | 67220 | SH | Sole | 67220 |
| WESTERN DIGITAL | COM | 958102105 | 297 | 7000 | SH | Sole | 7000 |
| WESTFIRE ENERGY LTD ORD | COM | 54287V102 | 1 | 208 | SH | Sole | 208 |
| WILLIAMS COMPANIES INC | COM | 969457100 | 453 | 13850 | SH | Sole | 13850 |
| WINDSTREAM CORP | COM | 974280109 | 21 | 2500 | SH | Sole | 2500 |
| WISDOM TREE EMERGING MARKETS E | COM | 97717w315 | 11 | 200 | SH | Sole | 200 |
| WISDOMTREE TRUST DIEFA FD ETF | COM | 97717w703 | 47 | 1007 | SH | Sole | 1007 |
| WISDOMTREE TRUST DIVID EX FINA | COM | 97717w406 | 94 | 1700 | SH | Sole | 1700 |
| WPX ENERGY INC | COM | 98212b103 | 97 | 6500 | SH | Sole | 6500 |
| WTS GENERAL MOTORS COMPANY | WT | 37045v118 | 0 | 4 | SH | Sole | 4 |

| | | | | | | |
|-------------------------------|-----|---------------|--------|----|------|--------|
| WTS GENERAL MOTORS COMPANY | WT | 37045v126 0 | 4 | SH | Sole | 4 |
| XOMA CORP | COM | g9825r107 2 | 700 | SH | Sole | 700 |
| YAHOO INC | COM | 984332106 2 | 100 | SH | Sole | 100 |
| YM BIOSCIENCES INC | COM | 984238105 343 | 120000 | SH | Sole | 120000 |
| YOUKU INC ADR F SPONSORED ADR | ADR | 98742u100 5 | 250 | SH | Sole | 250 |
| YUM BRANDS INC | COM | 988498101 773 | 11645 | SH | Sole | 11645 |
| ZILLOW INC CL A CLASS A | COM | 98954A107 11 | 400 | SH | Sole | 400 |
| ZIMMER HOLDINGS INC | COM | 98956p102 8 | 120 | SH | Sole | 120 |
| ZYNGA INC CLASS A | COM | 98986t108 39 | 16725 | SH | Sole | 16725 |

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