### SECURITIES AND EXCHANGE COMMISSION

### FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2024-10-02** | Period of Report: **2024-09-30** SEC Accession No. 0000950170-24-111799

(HTML Version on secdatabase.com)

### REPORTING OWNER

	FTT		

CIK:315090

Type: 4 | Act: 34 | File No.: 001-06523 | Film No.: 241349297

Mailing Address 3555 FARNAM ST STE 1440 OMAHA NE 68131

Business Address 3555 FARNAM ST STE 1440 OMAHA NE 68131 402-346-1400

### **BERKSHIRE HATHAWAY INC**

CIK:1067983| State of Incorp.:DE | Fiscal Year End: 1231 Type: 4 | Act: 34 | File No.: 001-06523 | Film No.: 241349298

SIC: 6331 Fire, marine & casualty insurance

Mailing Address 3555 FARNAM STREET OMAHA NE 68131 Business Address 3555 FARNAM STREET OMAHA NE 68131 4023461400

### **ISSUER**

### **BANK OF AMERICA CORP /DE/**

CIK:70858| IRS No.: 560906609 | State of Incorp.:DE | Fiscal Year End: 1231 SIC: 6021 National commercial banks

Mailing Address
BANK OF AMERICA
CORPORATE CENTER
100 N TRYON ST
CHARLOTTE NC 28255

Business Address BANK OF AMERICA CORPORATE CENTER 100 N TRYON ST CHARLOTTE NC 28255 7043868486

### FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL							
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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address BERKSHIRE F	, ,	_	2. Issuer Name and Ticker or Trading Symbol BANK OF AMERICA CORP /DE/ [BAC]  3. Date of Earliest Transaction (Month/Day/Year) 09/30/2024	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  —— Director 10% Owner —— Officer (give title Other (specify below)  —— below)				
3555 FARNAM S	TREET							
OMAHA, NE 681	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line) Form Filed by One Reporting Person X_ Form Filed by More than One Reporting				
(City)	(State)	(Zip)		Person				

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	2A. Deemed Execution Date, if	I		' '			Securities Beneficially Owned	6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		any (Month/ Day/Year)	Code	V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (I) (Instr. 4)	
Common Stock	09/30/2024		<u>s</u>		4,985,553	D	\$39.6141 <sup>(1)</sup>	797,683,307	I	See footnotes (2) (3) (4) (5)
Common Stock	10/01/2024		<u>s</u>		1,839,871	D	\$39.3994 <sup>(<u>6</u>)</sup>	795,843,436	I	See footnotes (2) (3) (4) (5)
Common Stock	10/02/2024		<u>s</u>		1,722,523	D	\$39.4036 <sup>(Z)</sup>	794,120,913	I	See footnotes (2) (3) (4) (5)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transa Code (Instr. 8		5. Numl of Deriv Secu Acqu (A) o Dispo of (D (Instr 4, an	rative rities ired rosed ) . 3,	6. Date Exer and Expiratic (Month/Day/	on Date	7. Title a Amount Securitie Underlyi Derivativ Security and 4)	of es ng re	8. Price of Derivative Security (Instr. 5)	Beneficially Owned Following Reported Transaction(s)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	٧	(A)	(D)	Date Exercisable	Expiration Date		Amount or				

					Number		
					of		
					Shares		

#### **Reporting Owners**

Devention Occurs Names / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
BERKSHIRE HATHAWAY INC 3555 FARNAM STREET OMAHA, NE 68131		X				
BUFFETT WARREN E 3555 FARNAM STREET OMAHA, NE 68131		X				

### **Explanation of Responses:**

- 1. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.2500 to \$39.7850. The Reporting Persons undertake to provide Bank of America Corporation ("Bank of America"), any security holder of Bank of America, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote 1 to this Form 4.
- 2. 794,120,913 of the total reported securities are owned as of October 2, 2024 by the following subsidiaries of Berkshire Hathaway Inc. ("Berkshire"): AmGUARD Insurance Company (311,642), Berkshire Hathaway Direct Insurance Company (850,000), Berkshire Hathaway Homestate Insurance Company (11,900,000), Berkshire Hathaway Life Insurance Company of Nebraska (8,147,000), Berkshire Hathaway Specialty Insurance Company (1,400,000), BH Finance LLC (140,000,000), BHG Life Insurance Company (946,000), Central States Indemnity Co. of Omaha (3,920,000), Cypress Insurance Company (2,100,000), Finial Reinsurance Company (10,185,480), First Berkshire Hathaway Life Insurance Company (145,500),
- 3. Continued from footnote 2: Government Employees Insurance Company (230,580,000), GEICO Indemnity Company (81,200,000), General Reinsurance Corporation (14,000,000), General Star Indemnity Company (5,040,000), General Star National Insurance Company (1,960,000), The Medical Protective Company (560,000), Mount Vernon Fire Insurance Company (7,000,000), National Fire & Marine Insurance Company (25,105,972), National Indemnity Company (202,373,769), National Liability & Fire Insurance Company (28,000,000), National Indemnity Company of Mid America (2,380,000), National Indemnity Company of the South (2,800,000), NorGUARD Insurance Company (615,550), NRG America Holding Company (5,600,000),
- **4.** Continued from footnote 3: Oak River Insurance Company (4,200,000) and United States Liability Insurance Company (2,800,000). In order to avoid double counting, shares of Common Stock reported as being owned by each of these subsidiaries only reflect shares that are owned directly by such subsidiary, and do not reflect any shares that such subsidiary may be deemed to beneficially own by virtue of ownership or control of any other subsidiary otherwise reported in this Form 4.
- 5. As Berkshire is in the chain of ownership of each subsidiary listed, it may be deemed presently to both beneficially own and have a pecuniary interest in all shares presently directly owned by such subsidiaries. Warren E. Buffett, as the controlling stockholder of Berkshire, may be deemed presently to beneficially own, but only to the extent he has a pecuniary interest in, the shares presently owned by each of these subsidiaries. Mr. Buffett disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- 6. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.2500 to \$39.5350. The Reporting Persons undertake to provide Bank of America, any security holder of Bank of America, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote 6 to this Form 4.
- 7. The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.2500 to \$39.5400. The Reporting Persons undertake to provide Bank of America, any security holder of Bank of America, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote 7 to this Form 4.

#### **Signatures**

/s/ Warren E. Buffett, on behalf of himself and each other reporting person hereunder

Date

10/02/2024

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Persons who respond to the collisplays a currently valid OMB	Number.	Contained in this form	are not required to resp	John umess the form