## SECURITIES AND EXCHANGE COMMISSION

## FORM 4

Statement of changes in beneficial ownership of securities

Filing Date: **2013-01-10** | Period of Report: **2013-01-08** SEC Accession No. 0001140361-13-001725

(HTML Version on secdatabase.com)

## **ISSUER**

## **STEIN MART INC**

CIK:884940| IRS No.: 640466198 | State of Incorp.:FL | Fiscal Year End: 0128 SIC: 5651 Family clothing stores

Mailing Address 1200 RIVERPLACE BLVD JACKSONVILLE FL 32207 Business Address 1200 RIVERPLACE BLVD JACKSONVILLE FL 32207 9043461500

## REPORTING OWNER

## **METTLER ROBERT L**

CIK:1012766| State of Incorp.:IL | Fiscal Year End: 1231 Type: 4 | Act: 34 | File No.: 000-20052 | Film No.: 13522925 Mailing Address 11986 LOCKRIDGE ROAD STUDIO CITY CA 91604

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL											
OMB Number:	3235-0287										
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Estimated average burden											
nours per response	0.5										

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

' •			2. Issuer Name and Ticker or Trading Symbol STEIN MART INC [SMRT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director 10% Owner				
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 01/08/2013	Officer (give title Other (specify below)				
C/O STEIN MAI	RT, INC., 1200 R	IVERPLACE						
BOULEVARD								
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing (Check applicable line)  X Form Filed by One Reporting Person				
JACKSONVILLE, FL 32207				Form Filed by More than One Reporting Person				
(City)	(State)	(Zip)						

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/ Day/Year)	Deemed			4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v		(A) or (D)		Following Reported Transaction(s) (Instr. 3 and 4)	,	
Common Stock	01/08/2013		<u>A</u>		5,115 <sup>(1)</sup>	A	\$ 0	19,616	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Transa Code (Instr. 8		of Deriv	vative irities ired r osed )	6. Date Exer and Expiratio (Month/Day/	on Date	Amount Securitie Underlyi Derivativ	Underlying Derivative Security (Instr. 3		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	٧	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### **Explanation of Responses:**

1. Restricted shares acquired pursuant to Stein Mart, Inc. 2001 Omnibus Plan. The shares cliff vest 100% on the third anniversary of the date of grant.

### **Signatures**

/s/ Robert L. Mettler

01/10/2013

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.